

**Matt Brolley, Village President**  
**Tiffany Francis, Village Clerk**  
**Stan Bond, Trustee**  
**Pete Heinz, Trustee**  
**Steve Jungermann, Trustee**  
**Denny Lee, Trustee**  
**Doug Marecek, Trustee**  
**Theresa Sperling, Trustee**



**Village Board Meeting**  
**Monday, February 22, 2016**  
**7:00 PM, Village Hall**

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THIS MEETING IS BEING RECORDED AND WILL BE AVAILABLE FOR VIEWING ON THE VILLAGE YOUTUBE CHANNEL.

## **AGENDA**

**1. Call to Order**

**2. Pledge of Allegiance**

**3. Roll Call**

**4. Public Participation**

*Members of the public are welcome to speak at this time. Please provide the Clerk with your name, address & phone number.*

- A. Public Comments (Two Minute Opportunity).
- B. National Engineers Week Proclamation.

**5. Consent Agenda**

*The following items are considered routine business by the Village Board and will be approved in a single vote in the form listed below.*

- A. Minutes of the Village Board Meeting of February 8, 2016.
- B. Executive Session Minutes of February 8, 2016.
- C. Building Report for January 2016.
- D. Accounts Receivable Report for January 2016.
- E. Accounts Payable through February 18, 2016 in the Amount of \$299,374.17.
- F. Professional Services Agreement with Lauterbach & Amen LLP for Auditing Services.
- G. Ordinance 1713 Granting a Special Use for Outdoor Storage for 1400 Bohr Avenue (H. Linden & Sons) (Second Reading).

**6. Items for Separate Action**

- A. Recommendation of the Plan Commission on PC 2016-002 SU Amendment to the Special Use for Outdoor Storage and a Special Use for Alternative Surfaces Located at 1065 and 1079 Sard Avenue – JPC Tree Care, LLC.
- B. Ordinance 1714 Granting an Amendment to a Special Use for Outdoor Storage and a Special Use for Alternative Surfaces for 1065 and 1079 Sard (JPC Tree Care) (First Reading).
- C. Ordinance 1715 Amending the Village Code Section 20-32 (a) Regarding Tapping Fees (First Reading).
- D. Award of a Contract to Geneva Construction for the 2016 Infrastructure Roadway Program.
- E. Purchase of Parkway Trees.
- F. Resolution 2016-002 Authorizing Application for Kane County Riverboat Funds and Execution of All Necessary Documents.

**7. Items for Discussion**

*The following items are listed for discussion only.  
No decision or vote will be taken during this meeting.*

- A. Fiscal Year 2017 Capital and Other Funds Budget.
- B. Quarterly Financial Report.
- C. Private Property Traffic Enforcement Agreement.

**8. New or Unfinished Business**

**9. Future Meetings**

- A. Plan Commission – Thursday, March 3, 2016 at 7:00 p.m.
- B. Village Board Meeting – Monday, March 14, 2016 at 7:00 p.m.
- C. Beautification Committee – Wednesday, March 16, 2016 at 6:00 p.m.
- D. Historic Preservation Commission – Monday, March 21, 2016 at 6:30 p.m.
- E. Committee of the Whole Meeting – Tuesday, March 22, 2016 at 7:00 p.m.
- F. Intergovernmental Committee – Monday, March 28, 2016 at 6:00 p.m.
- G. Village Board Meeting – Monday, March 28, 2016 at 7:00 p.m.

**10. Executive Session (if applicable)**

- A. To Discuss the Employment of an Employee Pursuant to 5 ILCS 120/2 (c)(1).

**11. Adjournment**



## **National Engineers Week Proclamation**

WHEREAS, engineers have used their scientific and technical knowledge and skills in creative and innovative ways to improve society's quality of life; and

WHEREAS, engineers face the major technological challenges of our time – from rebuilding towns devastated by natural disasters to revolutionizing our infrastructure and technical progress; and

WHEREAS, engineers are encouraging our young math and science students to realize the practical power of their knowledge; and

WHEREAS, we look more than ever to engineers and their knowledge and skills to meet the challenges of the twenty-first century:

NOW, THEREFORE, I, Matthew T. Brolley, as president of the Village of Montgomery, on behalf of its citizens and Village Officials, do hereby proclaim the week of February 21-27, 2016 to be National Engineers Week in all of Montgomery, Illinois.

Dated this February 22<sup>nd</sup> of 2016

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Matthew T. Brolley, Village President

Village of Montgomery  
200 N. River Street  
Montgomery, Illinois 60538

Village Board Meeting  
February 8, 2016

Village President Brolley called the meeting to order at 7:00 p.m. followed by the Pledge of Allegiance.

### Roll Call

Trustee Sperling	Yea	Trustee Jungermann	Yea
Trustee Lee	Yea	Trustee Marecek	Yea
Trustee Heinz	Yea	Trustee Bond	Yea

Also present: Administrator Jeff Zoepfel, Attorney Steve Andersson, Chief of Police Daniel Meyers, Deputy Chief Armando Sanders, Attorney Laura Julien, Director of Public Works Todd Hoppenstedt, Director of Finance Justin VanVooren, Director of Community Development Rich Young, Engineer Peter Wallers, Members of the Press and others.

### Public Participation

#### A. Public Comments (Two Minute Opportunity).

No public comments this evening.

#### B. Recognition of Eric Biegalski for Saving a Life.

President Matt Brolley gave a detailed overview of how Eric Biegalski saved a man's life by performing the Heimlich maneuver. Mr. Beigalski was then recognized by the Board.

### Consent Agenda

#### A. Minutes of the Village Board Meeting of January 25, 2016.

#### B. Accounts Payable through February 4, 2016 in the Amount of \$362,503.41.

#### C. Request from Family Outreach Program to Conduct Tag Days on February 19 and 20, 2016.

#### D. Request from Montgomery VFW to Conduct a Poppy Drive on May 13 and 14, 2016.

Trustee Jungermann **moved to approve items A-D on the Consent Agenda.** Trustee Heinz seconded this motion.

6 Yea. 0 No. Motion carried. Trustee Jungermann, Trustee Marecek, Trustee Bond, Trustee Sperling, Trustee Lee, Trustee Heinz voting yea.

### Items for Separate Action

#### A. Recommendation of the Plan Commission on PC 2016-003 SU Special Use for Outdoor Storage at 1400 Bohr Avenue – H. Linden & Sons Development/Steve Linden.

Director of Community Development Young gave an overview of this item. He stated that the Plan Commission and staff both recommend approval.

President Brolley asked if anyone spoke for or against this item at the Plan Commission meeting. Director Young stated that the petitioner's attorney made a presentation, but there were not any residents or businesses that were opposed to this item.

Trustee Lee stated some residents are concerned regarding possibly having large items such as vehicles that are in need of repair on this property. The petitioner's attorney, Dan Kramer, stated there is no plan to store any "junk" vehicles. He also gave an overview of the plans and detailed the recommendations that were given by the Plan Commission.

Trustee Sperling thanked Attorney Kramer for getting back to her on the questions that she addressed with him.

Trustee Sperling moved to **approve the Recommendation of the Plan Commission on PC 2016-003 SU Special Use for Outdoor Storage at 1400 Bohr Avenue – H. Linden & Sons Development/Steve Linden.** Trustee Marecek seconded this motion.

6 Yea. 0 No. Motion carried. Trustee Sperling, Trustee Lee, Trustee Heinz, Trustee Jungermann, Trustee Marecek, Trustee Bond voting yea.

**B. Ordinance 1713 Granting a Special Use for Outdoor Storage for 1400 Bohr Avenue (H. Linden & Sons) (First Reading).**

This is the first reading; no action taken. Trustee Marecek reiterated that there will be an addition added to the ordinance regarding storage of "junk" vehicles.

**C. Recommendation of the Plan Commission on PC 2016-002 SU Amendment to the Special Use for Outdoor Storage and a Special Use for Alternative Surfaces Located at 1065 and 1079 Sard Avenue – JPC Tree Care, LLC (To Be Postponed until the February 22, 2016 Village Board Meeting).**

President Brolley stated that this item has been postponed and will not be discussed this evening. Director Young added that several residents attended and/or spoke at the Plan Commission meeting and the Village made an attempt to contact all of them to let them know that the item was postponed until the next meeting.

Trustee Heinz stated his concerns that this business has not kept up with the requests of the Village. Director Young agreed that they exceed the limits of the original special use that was granted in 2013 and they have not been able to comply with the requirements of the special use at this time.

Trustee Sperling echoed that there were several residents at the Plan Commission meeting and requested that the Board members get the recording and listen to that meeting.

President Brolley stated that there will be no action taken on this item.

**D. Ordinance 1712 Declaring Surplus Property (Waiver of First and Passage on Second Reading).**

Director of Public Work Todd Hoppenstedt gave an overview regarding the various items.

Trustee Bond moved to approve the Ordinance 1712 Declaring Surplus Property (Waiver of First and Passage on Second Reading). Trustee Marecek seconded this motion.

6 Yea. 0 No. Motion carried. Trustee Bond, Trustee Sperling, Trustee Lee, Trustee Heinz, Trustee Jungermann, Trustee Marecek voting yea.

**E. Resolution 16-001 Authorizing Execution of a Recreational Lease Agreement with Commonwealth Edison.**

Attorney Julien outlined the additions and changes made to the agreement.

President Brolley asked if there are funds budgeted to install the path in the future. Director of Community Development Young stated that there are funds available to cover a majority of the cost.

Trustee Bond moved to approve the Resolution 16-001 Authorizing Execution of a Recreational Lease Agreement with Commonwealth Edison. Trustee Jungermann seconded this motion.

6 Yea. 0 No. Motion carried. Trustee Bond, Trustee Sperling, Trustee Lee, Trustee Heinz, Trustee Jungermann, Trustee Marecek voting yea.

<b>Items for Discussion</b>
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**A. Discussion of Audit Proposal Results.**

Director of Finance VanVooren gave an overview of this item. He stated that eleven RFPs were sent out to audit firms and five firms submitted proposals by the deadline. One firm's proposal came after the deadline, three firms stated they would not bid and two firms did not respond at all. Of the five firms that submitted proposals by the deadline, one does not provide services to any municipalities in Illinois; however, staff feels the remaining four firms are all qualified to provide services to the Village. He gave an overview of the price for each firm and stated that staff recommends accepting the proposal from the lowest bidder.

Trustee Jungermann questioned if since there is nothing regarding a comptroller or CAFR from the low bidder, if that would be an extra cost. Director VanVooren stated that it is included in the cost of the audit and it is not an extra cost.

Trustee Marecek asked for more clarification on the comptroller line. Administrator Zoepfel gave an overview of the comptroller report that is prepared. He reviewed some of the reports that may or not be needed each year and reiterated that staff feels any of the four would do a great job for the Village.

Trustee Lee stated that he feels Sikich has done a great job for the Village for many years; however, he was surprised at their cost compared to the other companies. At the request of Trustee Lee, Director VanVooren gave an overview of where the different firms are located and how long they have been in business.

President Brolley asked what the Village currently pays for the audit every year. Director VanVooren stated that the Village paid roughly \$30,000 last year for the audit.

Trustee Heinz stated that he believes the Village should stick with Sikich.

Trustee Bond stated that he believes that it would be beneficial to have a different set of eyes to look at the books. He is in favor of the Village getting bids since there is a chance to save money.

Trustee Jungermann asked if staff has had any experience with Lauterbach and Amen. Director VanVooren stated that he has worked with this company before and Chief Meyers stated that the pension board has worked with them as well.

President Brolley asked if the Village is protected against possible additions after the proposal is accepted. Director VanVooren stated that everything that the Village needs is included.

Trustee Lee asked why the CAFR and the Comptroller did not have anything on those line items for a couple of the bidders. Administrator Zoepfel stated that it is included in the audit number. President Brolley verified with Director VanVooren that the Village gave a template to the companies to fill out.

Trustee Marecek stated that he is hesitant regarding the low bid due to previous experiences.

Director VanVooren stated that he has worked with Lauterbach and Amen previously and their quality of work is as high as any other listed. Trustee Lee asked Chief of Police Meyers how they worked with them. The Chief stated that it is a respectable firm and comes highly recommended.

Trustee Sperling stated she agrees with Trustee Bond in that it would be a good thing to get another set of eyes and is in favor of using someone different this time. President Brolley stated that he also agrees that they need to move forward with looking at another company.

The Board was in consensus to move forward with the Lauterbach and Amen proposal.

<b>New or Unfinished Business</b>
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Trustee Sperling stated that she attended the State of the Village for Oswego and there were quite a few state representatives. She was able to put her plug in for Orchard and Rt. 30.

Trustee Marecek stated he attended the opening of the Waterford Resource Center adjacent to Rush Copley Hospital. He spoke regarding how wonderful it is and that there is no cost to anyone who goes there as it is all done through philanthropy.

Trustee Lee stated that he has not seen anything regarding the utility audit. Director VanVooren gave an update on the Nicor and ComEd audits. He also gave an update on the sales tax. Lastly, Director VanVooren stated they have tried to work with Comcast; however, they have run into some issues. He summarized the results thus far for the Board.

#### Future Meetings

- A. Committee of the Whole Meeting – Tuesday, February 16, 2016 at 7:00 p.m.
- B. Beautification Meeting – Wednesday, February 17, 2016 at 6:00 p.m.
- C. Intergovernmental Committee – Monday, February 22, 2016 at 6:00 p.m.
- D. Village Board Meeting – Monday, February 22, 2016 at 7:00 p.m.

#### Executive Session – 7:37 pm. – 9:05

- A. To Discuss the Employment of an Employee Pursuant to 5 ILCS 120/2 (c)(1).
- B. To Discuss the Acquisition of Real Property Pursuant to 5 ILCS 120/2(c)(5).

Trustee Jungermann moved to adjourn to Executive Session to Discuss the Employment of an Employee Pursuant to 5 ILCS 120/2 (c)(1) and to Discuss the Acquisition of Real Property Pursuant to 5 ILCS 120/2(c)(5). Trustee Bond seconded the motion.

6 Yea. 0 No. Motion carried. Trustee Jungermann, Trustee Marecek, Trustee Bond, Trustee Sperling, Trustee Lee, Trustee Heinz voting yea.

#### Adjournment: 9:07 p.m.

Seeking no further business to come before the Board, it was moved by Trustee Heinz and seconded by Trustee Marecek to adjourn the meeting.

5 Yea. 0 No. Motion carried. Trustee Heinz, Trustee Jungermann, Trustee Marecek, Trustee Bond, Trustee Sperling, Trustee Lee voting yea.

Respectfully submitted,

Tiffany Francis  
Village Clerk

**BUILDING REPORT**  
**MONTH OF: January 2016**

TYPE	NUMBER	VALUATION	BUILDING FEE
RESIDENTIAL	0	0.00	0.00
MULTI-FAMILY	0	0.00	0.00
INSTITUTIONAL	0	0.00	0.00
COMMERCIAL	0	0.00	0.00
RESIDENTIAL ADDITIONS & ALTERATIONS	7	40,959.00	601.00
INDUSTRIAL ADDITIONS & ALTERATIONS	0	0.00	0.00
COMMERCIAL ADDITIONS & ALTERATIONS	0	0.00	0.00
GARAGE	0	0.00	0.00
SWIMMING POOL	0	0.00	0.00
MISCELLANEOUS	12	20,000.00	895.00
REINSPECTIONS			
<b>TOTAL</b>	<b>19</b>	<b>60,959.00</b>	<b>1,496.00</b>
<b>YEAR TO DATE VALUATION</b>		34,232	
<b>PREVIOUS YEAR</b>	13	688,494.00	2,455.00
<b>PERMITS FINALIZED</b>	Jan. = 33	<b>OCCUPANCIES ISSUED</b>	<b>January = 2</b>
<b>PERMITS PENDING:</b>	604	<b>SINGLE FAMILY-</b>	1
		<b>COMMERCIAL</b>	1
<b>INSPECTIONS:</b>		<b>VIOLATIONS:</b>	January = 44
<b>FINAL</b>	6	<b>CORRECTED:</b>	41
<b>FINAL BUILDING</b>	1		
<b>FINAL ELECTRICAL</b>	1	<b>ROUGH MECHANICAL</b>	1
<b>FINAL FIRE</b>	1	<b>WALL</b>	1
<b>FINAL FRAMING</b>	2	<b>WATER METER</b>	2
<b>FINAL MECHANICAL</b>	2	<b>PLUMBING</b>	9
<b>ROUGH CONCRETE PREPO</b>	4	<b>ELECTRIC SERVICE</b>	1
<b>ROUGH ELECTRICAL</b>	2	<b>INSULATION</b>	1
<b>ROUGH FRAMING</b>	1		
<b>TOTAL</b>	<b>35</b>		
<b>Completed Inspections on foreclosed properties</b>			

VILLAGE OF MONTGOMERY - WATER DEPARTMENT

**ACCOUNTS RECEIVABLE REPORT FOR Jan, 2016**

<b>ACCOUNTS RECEIVABLE BALANCE - END OF LAST MONTH</b>	<b>\$ 282,262.23</b>
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<b>WATER BILLING ACTIVITY</b>				
	1,000 Gals.	Water Charge	Service Charge	Totals
<b>MONTGOMERY</b>				
Residential	57,683	\$305,720.04	\$24,936.33	\$ 330,656.37
Commercial & Ind.	17,866	\$94,689.81		\$ 94,689.81
Bulk water	15	\$286.20		\$ 286.20
<b>Totals</b>	<b>75,564</b>	<b>\$400,696.05</b>	<b>\$24,936.33</b>	<b>\$ 425,632.38</b>
<b>OUT OF TOWN</b>				
Boulder Hill Residential	23,435	\$149,281.92	\$23,352.17	\$ 172,634.09
Boulder Hill Commercial	1,961	\$12,471.96		\$ 12,471.96
Blackberry	819	\$5,208.84		\$ 5,208.84
<b>Totals</b>	<b>26,215</b>	<b>\$166,962.72</b>	<b>\$23,352.17</b>	<b>\$ 190,314.89</b>
WATER METER CHARGES				\$ -
TAP ON & FOOTAGE FEES				\$ -
CODE VIOLATIONS				\$ -
MISCELLANEOUS CHARGES				\$ -
<b>TOTAL WATER BILLING</b>	<b>101,779</b>	<b>\$567,658.77</b>	<b>\$48,288.50</b>	<b>\$ 615,947.27</b>

<b>OTHER CHARGES</b>	
SEWER	\$ 15,586.03
PENALTIES	\$ 21,070.83
BHINF	\$ 84,637.00
ADJUSTMENTS	\$ 7,524.93
MISC - GARBAGE	\$ 216,101.30
<b>TOTAL OTHER CHARGES</b>	<b>\$ 344,920.09</b>

<b>LESS: PAYMENTS RECEIVED DURING THE MONTH</b>	<b>\$ 216,195.83</b>
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<b>ACCOUNTS RECEIVABLE BALANCE - END OF CURRENT MONTH</b>	<b>\$ 1,026,933.76</b>
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**MONTGOMERY PUBLIC WORKS-WATER PRODUCTION REPORT**

**PUMPAGE TO PLANT**

WELL NO. 3		18.801	MG
WELL NO. 4		5.517	MG
WELL NO. 10		0.008	MG
WELL NO. 11		2.529	MG
WELL NO. 12		0.000	MG
WELL NO. 13		10.213	MG
<b>SUBTOTAL</b>		<b>37.068</b>	<b>MG</b>

**DIRECT DISTRIBUTION PUMPAGE**

WELL NO. 8		9.365	MG
WELL NO. 14		8.778	MG
WELL NO. 15		11.862	MG
LESS WATER USED IN PROCESS:		0.642	MG
<b>SUBTOTAL</b>		<b>29.363</b>	<b>MG</b>

**TOTAL PUMPAGE**

<b>SUBTOTAL</b>		<b>66.431</b>	<b>MG</b>
LESS WATER USED IN LSWTP PROCESS:			
FILTER BACKWASH		0.600	MG
CONCENTRATOR BACKWASH		0.130	MG
<b>TOTAL TO DISTRIBUTION</b>		<b>65.701</b>	<b>MG</b>

**MONTHLY PRODUCTION SUMMARY**

DAILY MAXIMUM		2.817	MG
DAILY MINIMUM		1.537	MG
DAILY AVERAGE		2.164	MG

**ESTIMATED WATER DISTRIBUTION LOSS**

0	2 INCH WATER MAIN BREAKS	0	GAL
0	3 INCH WATER MAIN BREAKS	0	GAL
0	4 INCH WATER MAIN BREAKS	0	GAL
6	6 INCH WATER MAIN BREAKS	119,700	GAL
2	8 INCH WATER MAIN BREAKS	250,800	GAL
0	10 INCH WATER MAIN BREAKS		GAL
0	12 INCH WATER MAIN BREAKS		GAL
Misc.	HYDRANT FLUSHING	253,000	GAL
<b>TOTAL ESTIMATE</b>		<b>623,500</b>	<b>GAL</b>

**WATER SYSTEM SERVICE/REPAIRS**

0	SERVICE LINE REPAIRS
9	B-BOX REPAIRS
0	VALVE BOXES
128	PUBLIC WORKS SERVICE ORDERS
519--Mont.	SHUT OFF NOTICES
83--Mont.	SHUT OFFS
50	METER READS

**Village of Montgomery  
Bills to be Paid  
February 22, 2016**

<b><u>All Departments</u></b>	<b><u>Amount</u></b>
AI Warren	4,450.67
CDW	317.56
Call One	2,762.90
Call One	2,836.32
EEl	62,378.75
Kendall Printing	875.00
Mickey Wilson	10,983.50
Responsive Network Services	6,985.00
Shoretel	2,781.01
 <b><u>Community Development/Building Department</u></b>	
Canon Financial	280.07
Kendall Printing	168.10
Republic Services	250.00
 <b><u>Elected Officials</u></b>	
Tower Hill Stables	625.00
Van Duser	75.00
 <b><u>Finance Department/Water Billing</u></b>	
Kathleen Field Orr	650.00
Montgomery Economic Development	4,920.00
Sungard	14,203.55
Third Millennium	2,467.57
Water Refund	1,558.69
 <b><u>Human Resources/Payroll</u></b>	
Blue Cross	73,839.58
Jenna Constable	100.00
Kathy Priegel	100.00
Melanie Smith	100.00
Metropolitan Alliance of Police	528.00
Nationwide 457	100.00
Nationwide IRA	435.00
Rich Young	100.00
Rush Copley	43.00
Schaefer's	69.90
Society for HR Mgt	190.00
State Disbursement Unit	957.53

**Police Department**

Capital Printing	306.00
Clean Earth	87.00
Comcast	272.01
Fullers Car Wash	252.00
GFC	165.34
Il Sec of State	190.00
Kendall Cty Chief of Police	100.00
Marberry Cleaner	20.00
Northwestern	2,200.00
Paul & Bill	12.00
Quill	69.49
Schaefers	44.90
Uline	100.27
Verizon	722.19
Wagner Investigative	450.00

**Public Works Department**

Airgas	122.08
Alarm Detection	313.17
Alarm Detection	537.48
B&K Equipment	98.00
BDK Door	555.00
Bonnell	180.00
CES	627.91
CES	168.43
Chicago Tribune	286.80
City of Aurora	18.00
Coffman Truck	411.94
Coffman Truck	3,610.87
ComEd	659.93
ComEd	1,101.59
Compass	16,257.91
Connor Co.	20.96
Constellation	6,629.75
Crescent Electric	835.34
Dukane	3,701.00
DuTek	213.00
Dynegy	4,522.67
Edmonds	699.61
Factory Motor Parts	367.72
Factory Motor Parts	173.77
Fox Metro	6,315.84
Fox Metro	2,964.60
Fox Valley Sewer	1,635.00
Frank Marshall Electric	691.30
G&K	166.89

GFC	145.62
HD Supply	12,275.00
HD Supply	340.00
IDOT	2,947.85
Illco	476.42
Illco	306.53
Illco	280.67
Kane County Water Association	40.00
KDOT	403.35
Kendall County Concrete	40.00
Kendall County Concrete	301.04
Kendall County Concrete	306.90
Kimball Midwest	289.48
Kimball Midwest	533.75
Linde	3,222.09
Mailbox Reimbursements	100.00
MCCann	304.20
Meade	390.00
Meade	1,203.34
Menards	156.56
Menards	129.31
Menards	558.50
Metro West	270.00
Mid American Water	406.92
Motion Industries	81.14
Motion Industries	1,043.23
Municipal Fleet Managers	30.00
Nicor	1,171.88
Northeastern IL Public Safety	315.00
O'Malley Welding	835.00
Pomp's Tire	(91.00)
Schaefers	599.94
Security Builders	385.00
Superior Asphalt	643.75
Traffic Control & Protection	813.55
UPS	11.70
Viking Chemical	5,241.46
Water Products	1,929.87
Wholesale Direct	552.60

**Village Administrator's Office/Village Hall**

Engler, Callaway	5,106.00
US Bank	268.06

**Grand Total** 299,374.17



**REQUEST FOR BOARD OF TRUSTEES ACTION  
FOR INCLUSION ON BOARD AGENDA**

- Resolution or Ordinance (Blue)
- Recommendation of Boards, Commissions & Committees (Green)
- Other Business (Pink)

**To:** Village President and Board of Trustees

**From:** Jeff Zoepfel, Village Administrator

**Date:** February 18, 2016

**B of T Date:** February 22, 2016

**Subject:** Professional Services Agreement with Lauterbach & Amen LLP for Auditing Services

**Submitted By:** Justin VanVooren, Director of Finance

**Background/Policy Implications:**

The Village's contract with Sikich expired with the audit for the fiscal year ended April 30, 2015. The Village released a Request for Proposals (RFP) for professional auditing services for the fiscal years ending April 30, 2016 through 2020. The proposal results were discussed at the February 8, 2016 Village Board meeting. Staff is requesting approval of the attached Professional Services Agreement (PSA) with Lauterbach & Amen LLP for Auditing Services. The Lauterbach & Amen LLP Proposal and Engagement Letter are included as exhibits to the PSA.

**Describe Fiscal Impact/Budget Account Number and Cost:**

The Village will pay the applicable audit fees of \$120,000 throughout the life of the contract, which will be included in the budget each year.

**Review:**

Village Administrator Jeff Zoepfel

**NOTE:** All materials must be submitted to and approved by the Village Administrator by 12:00 noon, Thursday, prior to the Agenda distribution.

## PROFESSIONAL SERVICES AGREEMENT FOR AUDITING SERVICES

THIS AGREEMENT, by and between the Village of Montgomery, hereinafter referred to as the "Village" and **Lauterbach & Amen, LLP**, hereinafter referred to as the "Contractor" agrees as follows:

A. Services: Contractor agrees to furnish to the Village the following services:

See attached *Exhibit 1* (Proposal)

See attached *Exhibit 2* (Engagement Letter)

B. Term:

Services will be provided for the period beginning upon execution and in substantial accordance with the schedule attached hereto as *Exhibit 1*. The Village may terminate this agreement upon 7 days written notice to the Contractor, provided that the Village shall make payment for all services rendered until the termination date.

C. Compensation:

Contractor shall receive as compensation for all work and services to be performed herein, in an amount in accordance with *Exhibit 1*. Upon receipt of invoices from the Contractor for services rendered, all payments will be made according to the Illinois State Prompt Payment Act and not less than once every thirty days. Any provisions labeled as optional in *Exhibit 1* shall only be utilized if the Director of Finance so elects.

D. Ownership of Records and Documents:

Contractor agrees that all books and records and other recorded information developed specifically in connection with this agreement shall remain the property of the Village. Contractor agrees to keep such information confidential and not to disclose or disseminate the information to third parties without the consent of the Village. This confidentiality shall not apply to material or information, which would otherwise be subject to public disclosure through the freedom of information act or if already previously disclosed by a third party. Upon termination of this agreement, Contractor agrees to return all such materials to the Village.

E. Governing Law:

This contract shall be governed and construed in accordance with the laws of the State of Illinois. Venue shall be in Kane County, Illinois.

F. Independent Contractor:

Contractor shall have sole control over the manner and means of providing the work and services performed under this agreement, including but not limited to, any sub-contractors it may utilize in the performance of this agreement. The Village's relationship to the Contractor under this agreement shall be that of an independent contractor. Contractor will not be considered an employee to the Village for any purpose.

G. Certifications:

*Employment Status*: The Contractor certifies that if any of its personnel are an employee of the State of Illinois, they have permission from their employer to perform the service.

*Anti-Bribery* : The Contractor certifies it is not barred under 30 Illinois Compiled Statutes 500/50-5(a) - (d) from contracting as a result of a conviction for or admission of bribery or attempted bribery of an officer or employee of the State of Illinois or any other state.

*Loan Default*: If the Contractor is an individual, the Contractor certifies that he/she is not in default for a period of six months or more in an amount of \$600 or more on the repayment of any educational loan guaranteed by the Illinois State Scholarship Commission made by an Illinois institution of higher education or any other loan made from public funds for the purpose of financing higher education (5 ILCS 385/3).

*Felony Certification:* The Contractor certifies that it is not barred pursuant to 30 Illinois Compiled Statutes 500/50-10 from conducting business with the State of Illinois or any agency as a result of being convicted of a felony.

*Barred from Contracting:* The Contractor certifies that it has not been barred from contracting as a result of a conviction for bid-rigging or bid rotating under 720 Illinois Compiled Statutes 5/33E or similar law of another state.

*Drug Free Workplace:* The Contractor certifies that it is in compliance with the Drug Free Workplace Act (30 Illinois Compiled Statutes 580) as of the effective date of this contract. The Drug Free Workplace Act requires, in part, that Contractors, with 25 or more employees certify and agree to take steps to ensure a drug free workplace by informing employees of the dangers of drug abuse, of the availability of any treatment or assistance program, of prohibited activities and of sanctions that will be imposed for violations; and that individuals with contracts certify that they will not engage in the manufacture, distribution, dispensation, possession, or use of a controlled substance in the performance of the contract.

*Non-Discrimination, Certification, and Equal Employment Opportunity:* The Contractor agrees to comply with applicable provisions of the Illinois Human Rights Act (775 Illinois Compiled Statutes 5), the U.S. Civil Rights Act, the Americans with Disabilities Act, Section 504 of the U.S. Rehabilitation Act and the rules applicable to each. The equal opportunity clause of Section 750.10 of the Illinois Department of Human Rights Rules is specifically incorporated herein. The Contractor shall comply with Executive Order 11246, entitled Equal Employment Opportunity, as amended by Executive Order 11375, and as supplemented by U.S. Department of Labor regulations (41 C.F.R. Chapter 60). The Contractor agrees to incorporate this clause into all subcontracts under this Contract.

*International Boycott:* The Contractor certifies that neither it nor any substantially owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the U.S. Export Administration Act of 1979 or the regulations of the U.S. Department of Commerce promulgated under that Act (30 ILCS 582).

*Record Retention and Audits:* If 30 Illinois Compiled Statutes 500/20-65 requires the Contractor (and any subcontractors) to maintain, for a period of 3 years after the later of the date of completion of this Contract or the date of final payment under the Contract, all books and records relating to the performance of the Contract and necessary to support amounts charged to the Village under the Contract. The Contract and all books and records related to the Contract shall be available for review and audit by the Village and the Illinois Auditor General. If this Contract is funded from contract/grant funds provided by the U.S. Government, the Contract, books, and records shall be available for review and audit by the Comptroller General of the U.S. and/or the Inspector General of the federal sponsoring agency. The Contractor agrees to cooperate fully with any audit and to provide full access to all relevant materials.

*United States Resident Certification:* (This certification must be included in all contracts involving personal services by non-resident aliens and foreign entities in accordance with requirements imposed by the Internal Revenue Services for withholding and reporting federal income taxes.) The contractor certifies that he/she is a: United States Citizen/Corporation. The Internal Revenue Service requires that taxes be withheld on payments made to non resident aliens for the performance of personal services at the rate of 30%.

*Tax Payer Certification:* Under penalties of perjury, the Contractor certifies that it's Federal Tax Payer Identification Number or Social Security Number is 36-4133681 and is doing business as a (check one):  
 Individual     Real Estate Agent     Sole Proprietorship     Government Entity     Partnership  
 Tax Exempt Organization (IRC 501(a) only)     Corporation     Not for Profit Corporation  
 Trust or Estate     Medical and Health Care Services Provider Corp.

#### H. Indemnification:

Contractor shall indemnify and hold harmless the Village and Village's agents, servants, and employees against all loss, damage, and expense which it may sustain or for which it will become liable on account of injury to or death of persons, or on account of damage to or destruction of property resulting from the performance of work under his agreement by Contractor or its Subcontractors, or due to or arising in any manner from the wrongful act or negligence of Contractor or its Subcontractors of any employee of any of them.

I. Insurance (Check one):

The contractor agrees that it has either attached a copy of all required insurance certificates or that said insurance is not required due to the nature and extent of the types of services rendered hereunder.

Required (certificate of insurance attached)  
 Not Required

J. Additional Terms or Modification (Check one):

The terms of this agreement shall be further modified as provided on the attached *Exhibit 1*. Except for those terms included on *Exhibit 1*, no additional terms are included as a part of this agreement. All prior understandings and agreements between the parties are merged into this agreement, and this agreement may not be modified orally or in any manner other than by an agreement in writing signed by both parties.

K. Notices:

All notices required to be given under the terms of this Agreement shall be addressed to the parties as follows:

For the Village:

Village of Montgomery  
200 North River Street  
Montgomery, IL 60538

For the Contractor:

Lauterbach & Amen LLP  
27W457 Warrenville Road  
Warrenville, IL 60555

Either of the parties may designate in writing from time to time substitute addresses or persons in connection with required notices.

Agreed to this 22nd day of February, 2016.

Village of Montgomery

Lauterbach & Amen LLP

\_\_\_\_\_  
Village President

\_\_\_\_\_

\_\_\_\_\_  
Village Clerk

## **List of Exhibits**

Exhibit 1 – Proposal to Provide Professional Services

Exhibit 2 – Engagement Letter

Proposal to Provide  
Professional Services to

# VILLAGE OF MONTGOMERY



For the Years Ending April 30, 2016, 2017, 2018, 2019 and 2020

Lauterbach and Amen, LLP

Certified Public Accountants  
27W457 Warrenville Road  
Warrenville, Illinois 60555-3902  
[www.lauterbachamen.com](http://www.lauterbachamen.com)

Proposal to Provide  
Professional Services to

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## VILLAGE OF MONTGOMERY

For the Years Ending  
April 30, 2016, 2017, 2018, 2019 and 2020

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**Submitted by:**

Lauterbach & Amen, LLP  
27W457 Warrenville Road  
Warrenville, IL 60555-3902  
630.393.1483 Phone  
630.393.2516 Fax  
[www.lauterbachamen.com](http://www.lauterbachamen.com)

**Contact:**

Ronald J. Amen, Partner  
630.393.1483 Ext. 227  
[ramen@lauterbachamen.com](mailto:ramen@lauterbachamen.com)

January 29, 2016

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Lauterbach and Amen, LLP

Certified Public Accountants  
27W457 Warrenville Road  
Warrenville, Illinois 60555-3902  
[www.lauterbachamen.com](http://www.lauterbachamen.com)



January 29, 2016

Village of Montgomery  
Justin VanVooren  
Director of Finance  
200 N. River Street  
Montgomery, IL 60538

Lauterbach & Amen, LLP (L & A) is pleased to respond to your request to provide auditing services to the Village of Montgomery (Village).

Enclosed in this proposal are details about our practice, our people and our reputation for quality service to governments, as well as an outline of our audit approach and scope of the audit process. L & A is a firm entirely specialized in the governmental sector, allowing us to provide an unrivaled commitment to exceeding your expectations with regards to quality service, government specific expertise, and timing and approach of the audit process. Our five partners share a combined 100 years of exclusive government experience, with past experience in not-for-profit, tax and commercial clients. Their years of experience in the governmental sector will directly benefit the Village. L & A possesses the resources and drive to continually exceed your expectations.

At L & A our mission is to provide accounting, compilation, assurance, and consulting services to governments in the spirit of excellence and altruism. As a firm, we are committed to adhering to stringent moral standards in addition to the legally imposed professional standards and guidelines, priding ourselves in our flexible attitude towards accommodating our clients, and continually striving to be an elite personal client service firm. L & A will attain the highest quality employees who share our core values and capacities in order to continue to service our clients at the level at which they are accustomed. Our staff utilizes their expertise to educate clients with the anticipation that it will lead to accurate solutions to challenges that may arise in the daily course of financial activities. Our goal is to maintain personalized relationships with our current clients, while continuing to acquire new clients with the hopes of creating equally valuable bonds. At L & A we continually strive to distinguish ourselves from other accounting firms by emphasizing the following:

- Conducting annual training sessions with our clients in a small group setting covering such topics as: new GASB pronouncements, understanding the financial statements, identification of finance department efficiencies and best practices, etc.
- Providing professional audit and consulting services to over 150 local governments on an annual basis, and providing compilation and benefit services to over 200 police and firefighters' pension funds.
- Engaging in audit planning, preliminary work and continual communication with our clients to ensure an efficient and quality audit process. This also ensures that audit issues will be identified early and solutions will be implemented timely.
- Possessing exclusive expertise in the area of local government, as evidenced by our *exemplary* retention rate of the Certificate of Achievement for Excellence in Financial Reporting for clients with continual submissions to the program. L & A is also in the top ten firms nationally that submit audits to the Certificate of Achievement Program.

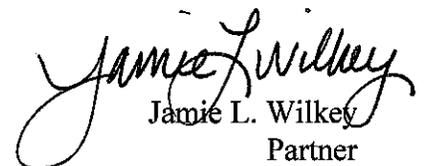
- Allowing our clients to dictate scheduling needs related to the audit process and adhering to those needs. We continually meet or exceed the deadlines established by our clients.
- Identifying, communicating and assisting in the implementation of identified opportunities for improved and more efficient financial or procedural operations.
- Maintaining a highly professional staff, each of which possess extensive knowledge in the area of local government, continually broadening their knowledge of local government issues through in-house training, involvement in various local government organizations and through continuing professional education programs.
- Maintaining a program of continuity for multi-year engagements, including minimal rotation of staff and in-charge auditors, allowing for the establishment of efficient working relationships with the Village.
- Continually promoting communication with management from the beginning of our engagement and throughout the year. This includes an entrance conference and planning meetings with the Village, weekly meetings with management to review the progress of the engagement, if requested, an exit conference to discuss our findings and report production issues, meetings with elected officials during the evenings to answer questions regarding the audit and any other related issues, and continual communication throughout the year. We are committed to listening to your needs and customizing the audit process to meet those needs.
- Striving to continually be a proven leader in the government accounting industry and assisting our clients on the implementation of new, complex pronouncements and issues affecting local government, including one-on-one assistance with the understanding and implementation of these new pronouncements and issues. We will provide thorough and timely answers to any of your questions or needs.
- Customizing our audit approach to focus on those areas that represent significant risk to the Village and any of the Village's uniquely complex issues.
- Forming professional resource alliances with other businesses in the areas of human resources, tax and information technology so, if required and if permitted by current audit independence standards, we would be able to provide the Village the highest level of service.

We are very excited about the opportunity to serve the Village and are committed to providing the Village with quality service and commit to perform the work within the established time period. We believe we have talented people and the appropriate experience to provide you with a quality audit at a fair and competitive price. The Partners that are signing this proposal are entitled to represent the Firm, empowered to submit the proposal and authorized to sign a contract with the Village. This proposal is a firm and irrevocable offer for 60 days. If you have any questions about this proposal or need to discuss these matters further, please contact us. We look forward to your reply.



Ronald J. Amen  
Partner

Respectfully Submitted,  
LAUTERBACH & AMEN, LLP



Jamie L. Wilkey  
Partner

# PROFILE OF THE FIRM



Lauterbach and Amen, LLP

Certified Public Accountants  
27W457 Warrenville Road  
Warrenville, Illinois 60555-3902  
[www.lauterbachamen.com](http://www.lauterbachamen.com)

# PROFILE OF THE FIRM

## *Professional Personnel*

---

Our office is located in Warrenville, Illinois, and staffed professionally as follows:

Partners	5
Managers / Senior Accountants	20
Staff Accountants	<u>70</u>
TOTAL	<u><u>95</u></u>

## *Firm Structure and Philosophy*

---

L & A was founded nineteen years ago with the goal of providing an unrivaled level of service and expertise to local governments. We are different from most accounting firms in that we are specialized in the governmental sector, and specifically in the area of governmental auditing and consulting. In addition, we provide a wide range of accounting services, some of which include: tax, monthly accounting and bookkeeping for units of governments, and a specialty in Police and Firefighter Pension accounting and consulting. As a client of L & A, you will be served by partners whose experience and depth of knowledge will become a valuable management resource.

A close working relationship with management on a year-round basis is the best way to provide our clients with the benefit of our knowledge. This approach also allows for the timely resolution of questions and problems as they arise rather than after the fact.

Through understanding the client's activities and a close working relationship with management, L & A can best respond to and help initiate programs that lead to improved operations and efficiencies.

*L & A is specialized  
in the governmental  
sector.*

*L & A focuses on a  
close working  
relationship with  
management.*

## PROFILE OF THE FIRM – CONTINUED

### *Firm Structure and Philosophy - Continued*

---

We at L & A recognize that we are involved in a people-oriented endeavor. Our goals and objectives, therefore, are centered on this understanding. We strive:

- To create an environment that encourages a high level of communication between the client and staff.
- To provide clients with the highest attainable level of staff capability through selective recruiting and creation of a work environment that aids personal growth.
- To continually improve the quality of our services.
- To support our financial, business, professional and social communities.

We have a full-time commitment to governmental accounting and reporting. Through our experience, we are able to help clients anticipate and prepare for changes in their operating environment. The value of our services lies not only in the performance of an efficient audit, but in our detailed review of finance practices, and our extensive knowledge of key topics affecting local governments.

L & A continually reviews our audit services to ensure an efficient audit process, exemplary communication with our clients, and education of issues affecting local governments on a year-round basis. This education includes annual small group training sessions with our clients covering such topics as the implementation of new GASB pronouncements and SAS's, understanding the financial statements, identification of finance department efficiencies and best practices, etc.

We have extensive experience in the field of local governmental accounting and auditing, including serving a substantial number of municipalities, park districts, library districts, special districts, pension funds, joint ventures and other various governmental organizations. We have included a partial list of such entities references, and would encourage you to contact any or all of them for references as to our qualifications and level of service. We have also provided our current client listing as evidence of our expertise in and commitment to governmental accounting and auditing.

*L & A provides  
annual small group  
training sessions to  
educate clients on  
key issues affecting  
local government.*

## PROFILE OF THE FIRM – CONTINUED

### *Firm Structure and Philosophy - Continued*

---

It has been our experience that questions or problems may arise during the year for which a client may call upon us for assistance. The following is a partial list of services we can provide to governmental entities in addition to the required annual audit:

- Financial reporting - assistance in the implementation of authoritative pronouncement requirements, assistance in obtaining or securing the Certificate of Achievement from the GFOA
- Taxpayer compliance - specialized audits for selected revenue sources
- Utility or enterprise funds - analysis, forecasting, rate structure, consulting
- Federal, State and Local Grants
- Budget (providing assistance in obtaining the Distinguished Budget Award from the GFOA), appropriation and tax levy documents
- Personnel issues - evaluation and executive search
- Policies and procedures documentation and implementation
- Temporary staffing and training
- Pension Fund related issues, including benefit calculations, funding analysis, etc.
- Human resources - taxability issues, fringe benefits, policies, etc.

*L & A can provide  
many other services  
in addition to the  
annual audit.*

We consider it essential to maintain the lines of communication throughout the year. To attain this we both formally (via meetings, letters, etc.) and informally (via telephone calls, emails, etc.) keep our clients advised of changes and recent developments related to government.

The success of our engagements results from the dedication to service from our key personnel. Following are the resumes of key personnel that would be working on your engagement:

# KEY ENGAGEMENT PERSONNEL

## **RONALD J. AMEN** **MANAGING PARTNER**

Mr. Amen has over 25 years of experience serving clients in the governmental sector. He has participated in numerous governmental engagements, including municipalities, park districts, school districts, State and Federal governments, Universities and other governments.

University of Nebraska  
Certified Public Accountant  
American Institute of Certified Public Accountants  
Member of Illinois CPA Society (ICPAS)  
Member of Government Finance Officers Association  
(GFOA) and Illinois GFOA  
Member of AICPA Government Audit Quality Center  
Illinois Municipal Treasurers Association (IMTA)  
Illinois Association of Park Districts/Illinois Parks &  
Recreation Association (IAPD/IPRA)  
GFOA Special Review Committee for the Certificate of  
Achievement  
Instructor for IGFOA Training Courses  
Instructor for IMTA Training Courses

Mr. Amen has managed numerous governmental engagements during his experience in public accounting. Assignments ranging from audits, single audits, TIF audits, performance reviews, budgeting, strategic planning, and other projects is a brief history of his background. Due to his extensive government background, Mr. Amen is often used as a resource for providing creative solutions to issues affecting local governments. Mr. Amen functions as a working partner, in that he is available and present during each phase of the audit process.

Mr. Amen has also participated in the management of some large commercial and not-for-profit engagements. This experience includes audits, tax preparation, consulting and strategic planning for both commercial and not-for-profit clients.

## **Educational and Membership Background**

## **Governmental Accounting and Auditing Experience**

# KEY ENGAGEMENT PERSONNEL

## **JAMIE L. WILKEY** **TECHNICAL PARTNER**

Ms. Wilkey has 14 years of professional accounting experience exclusively in the governmental sector. She has participated in numerous governmental engagements, including municipalities, park districts, school districts, libraries, special districts and various other units of government.

Truman State University  
Northern Illinois University  
Member of IGFOA  
GFOA Special Review Committee for the Certificate of  
Achievement  
Member of the Technical Accounting Review Committee  
(TARC)  
Illinois Municipal Treasurers Association (IMTA)  
Instructor for IGFOA Training Courses  
Instructor for IMTA Training Courses

Ms. Wilkey's experience in the government sector includes management of numerous units of government. Such assignments include annual audits, single audits, TIF audits, grant specific audits, and other related projects. Ms. Wilkey has been responsible for the management of numerous annual audits for government units, all of which have either received the Certificate of Achievement for Excellence in Financial Reporting award from the Government Finance Officers Association (GFOA) in the first year of their submittal to the program or maintained their Certificate standing.

Ms. Wilkey also has extensive government consulting experience, which includes the responsibility for all financial operations of the government unit, including, but not limited to, the maintaining of the general ledger, trial balance, balance sheet, and consolidated financials. Ms. Wilkey has also assisted numerous clients with payroll processing, budget preparation, supervision of accounts receivable, accounts payable and utility billing processing, tax levies and related documents, and the recommendation and implementation of various finance/accounting department procedures and policies.

### **Educational and Membership Background**

### **Governmental Accounting and Auditing Experience**

# KEY ENGAGEMENT PERSONNEL

## **MATT R. BERAN** ***OPERATIONS PARTNER***

Mr. Beran has 11 years of professional accounting experience, 6 of those years are exclusively in the governmental sector. Prior to working in governmental, Mr. Beran was a Supervisor at one of the Big 4 accounting firms. He has participated in numerous governmental engagements, including municipalities, park districts, libraries, school districts, special districts, not-for-profit, and various other units of government.

Truman State University  
Certified Public Accountant  
American Institute of Certified Public Accountants  
Member of IGFOA  
Illinois Municipal Treasurers Association  
Instructor for IMTA Training Courses

Mr. Beran's experience in the government sector includes managing numerous governmental engagements. Assignments ranging from audits, single audits, TIF audits, performance reviews, budgeting, strategic planning, and other projects is a brief history of his background.

Mr. Beran has been responsible for the training of staff at Lauterbach and Amen. The training includes audit methodology and the specifics of governmental accounting, such as property taxes, debt, and full accrual versus modified accrual accounting. He researches new GASB pronouncements and gains an understanding of the change and how it will affect clients, audit staff, and the audit process. Then Mr. Beran passes along this knowledge to clients to ensure they understand what is changing.

Mr. Beran has also been responsible for various agreed-upon procedures including forensic audits. He has been able to observe various municipalities, park districts and libraries and prepare an extensive report on their current internal controls and procedures. Mr. Beran will also then give various suggestions on how to improve internal controls and procedures to improve efficiency and accuracy while still having proper segregation of duties.

## **Educational and Membership Background**

## **Governmental Accounting and Auditing Experience**

## KEY ENGAGEMENT PERSONNEL

Ann has 6 years of professional accounting experience exclusively in the governmental sector. She is a graduate of Marquette University and is a Certified Public Accountant. Ann is one of L&A's report writers who handles the draft process of the Comprehensive Annual Financial Reports. She also specializes in auditing and performing consulting services for municipalities.

**Ann Vanvooren**

Dell has 27 years of professional accounting experience, 18 of those years are exclusively in the governmental sector. She is a graduate of the University of Phoenix. Dell specializes in Park Districts and Pension Fund audits.

**Dell Duckworth**

Don has 7 years of professional accounting experience, 5 of those years are exclusively in the governmental sector. He is a graduate of Northern Illinois University and is a Certified Public Accountant. Don specializes in municipalities, school districts and OMB A-133 single audit testing, reporting and submission.

**Don Shaw**

Erin has 5 years of professional accounting experience exclusively in the governmental sector. She is a graduate of Missouri State University. Erin specializes in auditing municipalities and internal control testing for all government clients. She has completed various agreed upon procedures for clients and prepared reports on their internal controls.

**Erin Kuhn**

Jen has 5 years of professional accounting experience exclusively in the governmental sector. She is a graduate of the University of Miami. Jen specializes in municipal audits, staff training and new hire training. The training includes audit methodology and the specifics of governmental accounting, such as property taxes, debt, and full accrual adjustments.

**Jen Krueger**

Lia has 9 years of professional accounting experience exclusively in the governmental sector. She is a graduate of the University of Illinois at Chicago. Lia specializes in Library, Pension and Fire Protection District audits.

**Lia Lopez**

# PROFILE OF THE FIRM – CONTINUED

## ***Industry Involvement***

---

Our involvement in the local government field includes active membership and participation in those professional organizations which serve the financial and management staffs of local government:

- Government Finance Officers Association (GFOA)
- Illinois Government Finance Officers Association (IGFOA)
- Illinois CPA Society Committees on Governmental Accounting
- AICPA Government Audit Quality Center
- American Institute of Certified Public Accounting
- Illinois Municipal Treasurers Association (IMTA)
- Illinois Public Pension Fund Association (IPPPFA)
- Illinois Association of Park Districts/Illinois Park & Recreation Association (IAPD/IPRA)
- Special Review Committee - GFOA - Certificate of Achievement Program
- Illinois Library Association
- Township Officials of Illinois
- Member of AICPA Government Audit Quality Center
- IGFOA Associate Board
- IGFOA Technical Accounting Review Committee (Responds to GASB Pronouncement Exposure Drafts)
- Illinois Department of Insurance - Task Force

*L & A maintains a high level of involvement in professional organizations.*

In addition, we have written articles for publication, instructed training courses and done public presentations for a number of the organizations listed above.

## ***Quality Assurance and Peer Review***

---

Our Quality Assurance Team is responsible for reviewing all financial statements before issuance, assisting in technical inquiries and reviewing workpapers and reports of all engagements to verify compliance with professional standards and Firm policies.

## PROFILE OF THE FIRM – CONTINUED

### *Quality Assurance and Peer Review - Continued*

---

At L & A we pride ourselves in providing a quality audit. As such, our Firm is a member of the Private Companies Practice Section (PCPS) of the Division for CPA Firms of the AICPA, submitting our accounting and auditing practice to quality control reviews of our compliance with professional standards as established by the AICPA.

See the appendices of this proposal for a copy of our successful completion of an independent peer review report of our accounting and auditing practice. This review was undertaken as a condition of membership in the American Institute of Certified Public Accountants (AICPA), the national organization of CPAs in public practice, industry, government and education.

*L & A participates  
in peer reviews to  
ensure quality  
control.*

Our reports have been reviewed by numerous federal and state oversight agencies as well as other external professional agencies and organizations. The feedback from these independent reviews of our clients' financial statements indicates that L & A's reports meet, if not exceed, industry standards and reporting requirements.

Furthermore, there has never been any action taken against our Firm with any state or federal regulatory body or professional organization to which we have submitted our reports.

### *Professional Development*

---

Guidelines and requirements have been established for the Firm's professional development program and are communicated to all personnel. Each professional is required to complete a minimum of 40 hours of continuing professional education each year. The types of programs used include those of the GFOA, IGFOA, AICPA and the Illinois CPA Society, as well as self-study and training programs relevant for the purpose of improving the knowledge of professional personnel. As our resumes indicate, we encourage involvement in professional organizations.

*L & A encourages  
involvement in  
professional  
organizations.*

## **PROFILE OF THE FIRM – CONTINUED**

### ***License to Practice***

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The Firm and all key personnel are duly licensed to practice in the State of Illinois. Our State of Illinois license number is 066-003655.

### ***Independence***

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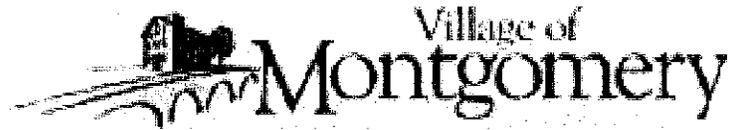
We require that all personnel inform the Firm of any lack of independence with respect to all clients. Specific guidelines to be followed are those set forth in the AICPA's Code of Professional Ethics. Additionally, we are in compliance with the standards established by the General Accounting Office (GAO).

L&A currently provides actuary services to the Village. Per AICPA's Code of Professional Ethics, we are completely independent with respect to the Village of Montgomery.

L&A has had no federal or state desk reviews or field reviews of its audits during the past three (3) years. In addition, L&A has no disciplinary action taken or pending during the past three (3) years with state regulatory bodies or professional organizations.

L&A has no pending or previous litigations over the past three (3) years which dealt with the quality of audit work or of pricing of auditing services rendered.

# AUDIT APPROACH



Lauterbach and Amen, LLP

Certified Public Accountants  
27W457 Warrenville Road  
Warrenville, Illinois 60555-3902  
[www.lauterbachamen.com](http://www.lauterbachamen.com)

# AUDIT APPROACH

## *Overview*

---

We are prepared to meet or exceed all requirements and expectations of the Village. The partners of L & A will be involved in all phases of the audit of the Village. L & A does not use statistical sampling in any phase of the audit process. Sample sizes used for testing are in accordance with standards established by the profession and will be determined in the planning phase.

Throughout the audit process we will inform management of audit issues as they arise and maintain the highest level of professionalism in the identification and communication of these issues. Discussion of these issues will take place immediately following their discovery and will allow management ample time to rectify any issues.

## *GFOA Certificate of Achievement*

---

L & A fully supports the GFOA's Certificate of Achievement for Excellence in Financial Reporting Program. We have assisted many clients in obtaining their Certificates and work with clients in subsequent years on the implementation of any recommendations provided by the Program. We will respond to GFOA comments for improvement and take care of the filing of necessary documents each year. We currently submit approximately seventy reports to the Program and are in the top ten firms nationally that submit to the Program.

## *Audit Scope and Standards*

---

L & A will issue an opinion on the governmental activities, business-type activities, each major fund and the aggregate remaining fund information, which collectively comprise the Village's financial statements with "in-relation-to" coverage provided on the combining and individual fund financial statements and on any supplementary information. We will not provide an opinion on the Management Discussion and Analysis, but will provide customary review of this document. Introductory and Statistical sections of the Comprehensive Annual Financial Report will not be audited by us, as applicable.

The audit will be conducted in accordance with generally accepted auditing standards; and, if a single audit becomes necessary, the standards for financial audits contained in *Government Auditing Standards* (2004), issued by the Comptroller General of the United States, and the Single Audit Act of 1996; and the provisions of Uniform Grant Guidance 2CFR-200/OMB Circular A-133, *Audits of State and Local Governments and Non-profit Organizations*.

# AUDIT APPROACH - CONTINUED

## ***Planning***

---

*To Be Performed:*

*March 2016*

The purpose of the planning phase is to provide the foundation for the direction that the audit will take. During the planning phase of the audit we will hold an entrance conference with the Village to discuss the audit approach, develop a schedule for completing the audit, and review the areas that we will be focused on during our audit procedures and testing.

The following is an outline of the key steps performed during the planning phase of the audit:

- Discussing and agreeing upon report formats to provide information which complies with generally accepted accounting principles. The format should be agreed upon during the planning phase of the audit to ensure timely issuance of the report. Our plan is to adopt a format similar to the previous year.
- Discussing availability of accounting records and source documents and developing a detailed list of schedules to be prepared by the Village.
- Developing a schedule for completing the subsequent phases of the audit.

## ***Preliminary Fieldwork***

---

*To Be Performed:*

*April 2016*

Preliminary fieldwork is the next phase of the audit process and involves expanding our understanding of the Village and its finances through a review of various documents and through discussions with the Village. During this phase, we will begin the required study and evaluation of internal accounting control as a part of the financial and compliance audit.

The purpose of our study and evaluation will be to obtain sufficient knowledge and understanding of the internal accounting and administrative control systems used by the Village for reliance on the system of internal control and the degree of such reliance; or to aid us in designing substantive tests in the absence of such reliance. We will hold progress meetings with key management, as necessary, to keep you apprised of the results of our preliminary review and to discuss the key internal controls to be tested.

# AUDIT APPROACH – CONTINUED

## *Preliminary Fieldwork - Continued*

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Our approach to the study and evaluation of the internal accounting and administrative controls will be accomplished through the following techniques:

- We will perform an in-depth review of internal control documentation and working papers made available by the prior audit firm and the Village.
- We will use internal control questionnaires, narratives and/or flowcharting techniques to document key flows of information. Because of our extensive commitment to government, the questionnaires utilized are designed specifically for use on governmental engagements and, therefore, will provide you with the most meaningful information. We will utilize this information and identify key internal control procedures which will be tested in order to warrant reliance on the identified controls. The objectives of such reliance will be to reduce the extent of substantive work performed, resulting in a more cost-efficient audit approach.
- We will evaluate audit risk for all key financial statement assertions and compliance determinations using the procedures outlined above. Audit risk is the risk that material financial statement misstatements or material noncompliance will not be prevented or will not be detected and corrected in a timely manner.

At the completion of the preliminary fieldwork phase, we will have sufficient knowledge of the internal control systems of the Village and we will be able to design substantive audit procedures based upon the degree of reliance we can place on those systems.

## *Fieldwork*

---

*To Be Performed:*

*July 2016*

This phase of the audit will consist primarily of substantive testing of year-end balances. If any audit issues come to our attention during the course of our work, we will immediately inform you so that action can be taken before the completion of our fieldwork.

We will design our detail testing procedures to provide both compliance and financial audit coverage where applicable. We utilize custom designed audit programs that are specifically designed for government clients and, therefore, provide for the most efficient and effective approach.

## AUDIT APPROACH – CONTINUED

### *Fieldwork - Continued*

---

At a minimum, management is to provide supporting schedules for the following areas once we return for fieldwork:

Cash	Accounts Payable
Investments	Payroll
Governmental Revenues/Receivables	Debt Service
Proprietary Revenues/Receivables	Fund Balance/Net Position
Inventories	Grants
Interfunds	Risk Management
Capital Assets	

For financial audit purposes, we will assess the risk of material misstatement associated with a given objective, and perform substantive and compliance procedures. Our substantive procedures will gather evidence as to the completeness, accuracy, or validity of the information contained in the financial statements. These procedures include confirmation of year-end balances, vouching documents and analytical reviews. Through our compliance procedures, we will gather evidence related to the existence and effectiveness of specific internal controls. These procedures include examinations of documents for proper approval and review of procedures for compliance with rules, regulations and Village policies.

At the conclusion of fieldwork, workpapers will be reviewed by the engagement partner and we will prepare the Comprehensive Annual Financial Report in accordance with generally accepted accounting principles for government entities. In addition, we will prepare a management letter and other required communication letters that we will review and discuss with the Village during the draft phase of the audit.

Our firm has state of the art production hardware and software. We believe the investment to stay on the cutting edge of technology benefits our clients not only in reporting, but also in suggestions and recommendations.

## AUDIT APPROACH – CONTINUED

### *Drafts*

---

*To Be Performed:*

*August 2016*

The final completion and quality review of the initial draft of the Comprehensive Annual Financial Report will be completed at our office and a draft of the Comprehensive Annual Financial Report and related communication letters will be provided to the Village no later than the date agreed to during the entrance conference. The Village will then have a sufficient amount of time to review the draft for questions and/or changes. L & A will then return to the Village's location for the final draft where we will review the Village's questions and/or changes to the Comprehensive Annual Financial Report as well as the client communication letters and submit a final draft of the Comprehensive Annual Financial Report to the Village.

### *Audit Completion*

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*To Be Performed:*

*September 2016*

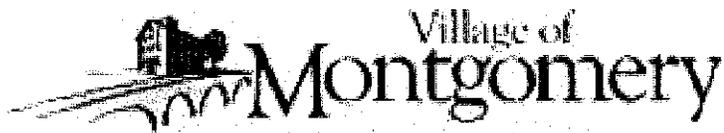
Upon approval of the drafts by the Village, we will deliver final, bound Comprehensive Annual Financial Reports. At the completion of our audit, we will also provide the final management letter addressing reportable conditions, if any, and other comments and observations for improvements. The management letter will be provided as a separate document.

The management letter will contain, as warranted and appropriate:

- Specific recommendations for improvement of the accounting practice and procedures and the internal accounting and administrative controls.
- Comments on the design, controls and audit trails of new and redesigned automated systems, along with suggestions to improve processing methods and procedures.
- Suggestions for operational improvements or cost efficiencies noted during the course of our work.
- Findings relative to compliance with the applicable rules and regulations.
- Comments regarding compliance with laws.
- Other comments or recommendations that we believe may be relevant.
- Upcoming GASB Pronouncements and/or SAS's that may affect the Village's financial statements in the near future.

L & A strives for continual communication with Village staff and management as well as the Board. As such, the engagement partner will be available for meetings and/or formal presentations of the Comprehensive Annual Financial Report and communication letters with representatives of the Village.

# PRICES AND BILLING



Lauterbach and Amen, LLP

Certified Public Accountants  
27W457 Warrenville Road  
Warrenville, Illinois 60555-3902  
[www.lauterbachamen.com](http://www.lauterbachamen.com)

# PRICES AND BILLING

Please use the following information to provide fee information for use with the submitted proposal.

A schedule of professional fees for the audit of the financial statements of the Village of Montgomery, Illinois for the fiscal years ending April 30, 2016 through 2020. These fees include all direct and indirect costs.

**Fees**

	<u>FYE 2016</u>	<u>FYE 2017</u>	<u>FYE 2018</u>	<u>FYE 2019</u>	<u>FYE 2020</u>	<u>TOTAL</u>
Audit/Management Letter	\$ 18,400	\$ 18,800	\$ 19,200	\$ 19,600	\$ 20,000	\$ 96,000
CAFR *	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Comptroller Report *	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
IDOI Report	\$ 1,200	\$ 1,220	\$ 1,240	\$ 1,260	\$ 1,280	\$ 6,200
TIF Audit Report	\$ 700	\$ 720	\$ 740	\$ 760	\$ 780	\$ 3,700
Single Audit Report	\$ 2,700	\$ 2,760	\$ 2,820	\$ 2,880	\$ 2,940	\$ 14,100
Total Fees	\$ 23,000	\$ 23,500	\$ 24,000	\$ 24,500	\$ 25,000	\$ 120,000

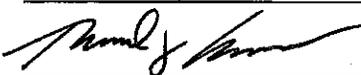
\* Included in Audit price

Schedule of professional fees for additional service (quoted hourly rates)

	<u>FYE 2016</u>	<u>FYE 2017</u>	<u>FYE 2018</u>	<u>FYE 2019</u>	<u>FYE 2020</u>
Partner	\$ 130	\$ 135	\$ 135	\$ 140	\$ 140
Manager	\$ 100	\$ 100	\$ 102	\$ 102	\$ 104
Supervisor	\$ 60	\$ 60	\$ 62	\$ 62	\$ 64
Staff					
Other					

Firm Name: Lauterbach & Amen, LLP

Address: 27W457 Warrenville Road  
Warrenville, IL 60555

Signature:  Date: January 29, 2016

Printed Name: Ronald J. Amen

Title: Partner

Telephone Number: 630.393.1483

## **PRICES AND BILLING - CONTINUED**

In over nineteen years of providing auditing services, Lauterbach & Amen, LLP has never increased an audit price from what was proposed in the RFP. This includes implementation of all GASB's.

Our firm stresses that we are available throughout the year to provide technical accounting and financial reporting assistance and support to the Village. We encourage clients to contact us with questions that may arise. In addition, we provide annual client training to introduce new pronouncements that may affect the Village and training on other topics based on client request.

## PRICES AND BILLING - CONTINUED

### *Schedules Requested*

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The proposed annual prices are based upon staff support at all levels from Village personnel and that the Village will provide adjusted trial balances and support (detail schedules that reconcile to the trial balances) for all balance sheet accounts. At a minimum, management is to provide supporting schedules for the areas outlined in the fieldwork phase of the audit approach section of this proposal.

### *Additional Services*

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Should it become necessary for the Village to request us to render additional services to either supplement the services requested in the RFP or to perform additional work as a result of the specific recommendations included in any report issued on this engagement or new accounting standards, then such additional work will be performed only after discussing with management the level of effort and estimated costs prior to performing any such work.

As independence standards have recently become more stringent related to the types of additional services auditors can perform, L & A would review these independence standards and the type of services requested prior to proposing on any additional services.

### *Conclusion*

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In closing, we would like to thank the Village for the opportunity to respond to your request for auditing services, and would like to emphasize that if chosen, L & A is committed to providing the resources needed to assure an unrivaled level of service and quality, the highest attention to detail, and a relationship with a firm of highly trained professionals exclusively working in the governmental sector.

*L & A will provide  
an unrivaled level of  
service and  
expertise.*

# REFERENCES



Lauterbach and Amen, LLP

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Warrenville, Illinois 60555-3902  
[www.lauterbachamen.com](http://www.lauterbachamen.com)

## REFERENCES

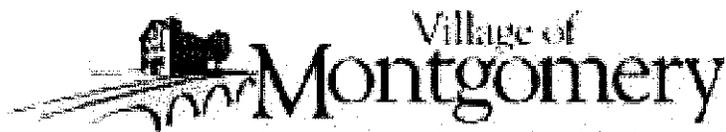
We know that our best endorsement comes from satisfied clients. We invite you to contact the following individuals or any other governments who have been served by our firm.

Village of Homewood Dennis Bubenik 2020 Chestnut Road Homewood, IL 60430 708.206.3370 Audit, April FYE, L&A client for 3 years	Village of Northfield * Steve Noble 361 Happ Road Northfield, IL 60093 847.446.9200 Audit, April FYE, L&A client for 9 years
Village of Warrenville * Kevin Dahlstrand 3 S 258 Manning Avenue Warrenville, IL 60555 630.393.5053 Audit, April FYE, L&A client for 2 years	United City of Yorkville * Rob Fredrickson 800 Game Farm Road Yorkville, IL 60560 630.553.8534 Audit, April FYE, L&A client for 5 years

Additional references can be provided upon request.

\* Asterisk indicates governments currently holding the GFOA Certificate of Achievement.

# CLIENT LISTING



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Certified Public Accountants  
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Warrenville, Illinois 60555-3902  
[www.lauterbachamen.com](http://www.lauterbachamen.com)

# CLIENT LISTING

## MUNICIPALITIES

Antioch \*  
 Arlington Heights \*  
 Barrington \*  
 Bartlett \*  
 Beach Park \*  
 Belvidere  
 Bensenville \*  
 Brookfield \*  
 Burlington  
 Cary \*  
 Champaign \*  
 Cherry Valley  
 Chicago Heights \*  
 Cortland  
 Country Club Hills  
 Cuba  
 DeKalb \*  
 Dolton  
 East Hazel Crest  
 Elburn  
 Elk Grove \*  
 Elwood \*  
 Frankfort \*  
 Franklin Park \*  
 Freeport  
 Gilberts \*  
 Glen Ellyn \*  
 Glencoe \*  
 Glenview \*  
 Golf  
 Gurnee \*  
 Hampshire  
 Harvey  
 Homewood  
 Justice  
 Kenilworth  
 Kildeer \*  
 Lake Barrington  
 Libertyville \*  
 Lincolnwood \*  
 Lombard \*  
 Manhattan \*  
 Maple Park  
 North Riverside \*  
 Northbrook \*  
 Northfield \*  
 Palos Park \*  
 Pingree Grove \*  
 Plano  
 Poplar Grove  
 Riverdale  
 Riverside \*  
 Rockford \*  
 Rolling Meadows \*  
 Rosemont  
 Sleepy Hollow  
 South Barrington  
 South Chicago Heights  
 South Elgin \*  
 Stone Park  
 Streamwood \*  
 Sugar Grove \*

## MUNICIPALITIES- Cont.

Thornton \*  
 University Park  
 Warrenville \*  
 Wauconda \*  
 West Chicago \*  
 Wilmette \*  
 Winnetka \*  
 Yorkville \*

## PARK DISTRICTS

Bloomington Park District \*  
 Bolingbrook Park District \*  
 Butterfield Park District  
 Byron Park District  
 Crystal Lake Park District \*  
 Downers Grove Park District \*  
 Elk Grove Park District \*  
 Elmhurst Park District \*  
 Geneseo Park District \*  
 Geneva Park District \*  
 Glen Ellyn Park District \*  
 Glencoe Park District  
 Glenview Park District \*  
 Gurnee Park District \*  
 Highland Park Park District \*  
 Joliet Park District  
 Kenilworth Park District  
 LaGrange Park District \*  
 LaGrange Park Park District  
 Lake Bluff Park District  
 Lan-Oak Park District  
 Lemont Park District \*  
 Manhattan Park District  
 Memorial Park District  
 Morton Grove Park District \*  
 Mundelein Park District \*  
 Naperville Park District \*  
 Norridge Park District  
 Northbrook Park District \*  
 Northfield Park District  
 Oak Brook Park District \*  
 Park Ridge Park District \*  
 Plainfield Township Park District \*  
 Round Lake Area Park District  
 Schaumburg Park District  
 St. Charles Park District \*  
 Sycamore Park District  
 Vernon Hills Park District \*  
 Warrenville Park District \*  
 Wilmette Park District \*  
 Winnetka Park District \*

## LIBRARIES

Addison Public Library  
 Algonquin Public Library  
 Batavia Public Library  
 Brookfield Public Library  
 Cary Area Public Library District  
 DeKalb Public Library  
 Des Plaines Public Library

## LIBRARIES- Cont.

Elk Grove Public Library  
 Forest Park Public Library  
 Fountaindale Public Library District  
 Fox River Grove Memorial Library  
 Fox River Valley Public Library  
 Glencoe Public Library  
 Glenside Public Library  
 Helen Plum Memorial Public Library  
 Riverside Public Library  
 Thornton Public Library  
 Villa Park Public Library  
 Warren-Newport Public Library  
 Winfield Public Library  
 Winnetka-Northfield Public Library District

## SCHOOL DISTRICTS

LaGrange Highlands School District 106  
 Lincolnwood School District 74

## OTHER

Broadview Westchester JWA  
 Co-Op 90's Medical and Dental Plans  
 Cuba Township  
 Deerfield-Bannockburn FPD  
 Downers Grove Sanitary District  
 DU-COMM  
 East Dundee Countryside FPD  
 Elburn & Countryside Fire Prot. District  
 Fox Lake Fire Prot. District  
 Glenbard Waste Water Authority  
 Grayslake Fire Prot. District  
 Homer Township Fire Prot. District  
 IMET  
 IPPFA  
 Kane County Forest Preserve District  
 MABAS - IL  
 MABAS - Northbrook  
 Metro Risk Mgmt Agency  
 NEDSRA  
 NIPSTA  
 North Aurora Countryside FPD  
 North Suburban Employee Benefit  
 Northern Will County Special Recreation Assoc.  
 Northlake FPD  
 Norwood Park FPD  
 NSSRA  
 Regional Emergency Dispatch  
 Rutland Dundee Fire Prot. District  
 SEASPARS  
 SOUTHCORP  
 Southwest Central Dispatch  
 SWANCC  
 Warrenville FPD  
 Wauconda Fire Prot. District  
 West Chicago Fire Prot. District  
 West Suburban SRA  
 Zion Township

L&A services over 110 Firefighters' Pension Funds for monthly accounting/administration services

L&A services over 110 Police Pension Funds for monthly accounting/administration services

\* Asterisk indicates government currently holding the GFOA Certificate of Achievement.

# APPENDICES



Lauterbach and Amen, LLP

Certified Public Accountants  
27W457 Warrenville Road  
Warrenville, Illinois 60555-3902  
[www.lauterbachamen.com](http://www.lauterbachamen.com)

Thomas G. Wieland  
David A. Grotkin  
Joel A. Joyce  
Brian J. Mechenich



Carrie A. Gindt  
Patrick G. Hoffert  
Jason J. Wrasse

## System Review Report

July 8, 2015

To the Partners of Lauterbach & Amen, LLP  
and the Illinois CPA Society Peer Review Committee

We have reviewed the system of quality control for the accounting and auditing practice of Lauterbach & Amen, LLP (the firm) in effect for the year ended March 31, 2015. Our peer review was conducted in accordance with the Standards for Performing and Reporting on Peer Reviews established by the Peer Review Board of the American Institute of Certified Public Accountants. As a part of our peer review, we considered reviews by regulatory entities, if applicable, in determining the nature and extent of our procedures. The firm is responsible for designing a system of quality control and complying with it to provide the firm with reasonable assurance of performing and reporting in conformity with applicable professional standards in all material respects. Our responsibility is to express an opinion on the design of the system of quality control and the firm's compliance therewith based on our review. The nature, objectives, scope, limitations of, and the procedures performed in a System Review are described in the standards at [www.aicpa.org/prsummary](http://www.aicpa.org/prsummary).

As required by the standards, engagements selected for review included engagements performed under Government Auditing Standards.

In our opinion, the system of quality control for the accounting and auditing practice of Lauterbach & Amen, LLP in effect for the year ended March 31, 2015, has been suitably designed and complied with to provide the firm with reasonable assurance of performing and reporting in conformity with applicable professional standards in all material respects. Firms can receive a rating of *pass*, *pass with deficiency(ies)* or *fail*. Lauterbach & Amen, LLP has received a peer review rating of *pass*.

A handwritten signature in black ink that reads 'Reilly, Penner &amp; Benton LLP'. The signature is written in a cursive, flowing style.

Reilly, Penner & Benton LLP

Reilly, Penner & Benton LLP

1233 N. Mayfair Road Suite #302 • Milwaukee, WI 53226-3255 • 414-271-7800

[www.rpb.biz](http://www.rpb.biz)



February 11, 2016

The Honorable President  
Members of the Board of Trustees  
Village of Montgomery, Illinois

We are pleased to confirm our understanding of the services we are to provide the Village of Montgomery, Illinois for the years ended April 30, 2016, April 30, 2017, April 30, 2018, April 30, 2019 and April 30, 2020. We will audit the financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information, including the related notes to the financial statements, which collectively comprise the basic financial statements of the Village as of and for the years ended April 30, 2016, April 30, 2017, April 30, 2018, April 30, 2019 and April 30, 2020. Accounting standards generally accepted in the United States of America provide for certain required supplementary information (RSI), such as management's discussion and analysis (MD&A), to supplement the Village's basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. As part of our engagement, we will apply certain limited procedures to the Village's RSI in accordance with auditing standards generally accepted in the United States of America. These limited procedures will consist of inquiries of management regarding the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We will not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance. The following RSI is required by generally accepted accounting principles and will be subjected to certain limited procedures, but will not be audited: management's discussion and analysis, the budgetary comparison schedules, pension plan employer contribution schedules, changes in the employer's net pension liability schedules, pension plan investment return schedules, and other postemployment benefit obligation funding progress and employer contribution schedules.

We have also been engaged to report on supplementary information other than RSI that accompanies the Village's financial statements. We will subject the following supplementary information to the auditing procedures applied in our audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America and will provide an opinion on it in relation to the financial statements as a whole: combining and individual fund statements and budgetary comparison schedules, and other information listed as supplemental and schedules.

The following other information accompanying the financial statements will not be subjected to the auditing procedures applied in our audit of the financial statements, and our auditor's report will not provide an opinion or any assurance on that other information: introductory and statistical information.

*Audit Objective*

The objective of our audit is the expression of opinions as to whether your basic financial statements are fairly presented, in all material respects, in conformity with generally accepted accounting principles and to report on the fairness of the supplementary information referred to in the second paragraph when considered in relation to the financial statements as a whole. Our audit will be conducted in accordance with auditing standards generally accepted in the United States of America and will include tests of the accounting records and other procedures we consider necessary to enable us to express such opinions. We will issue a written report upon completion of our audit of the Village's financial statements. Our report will be addressed to the Board of Trustees of the Village. We cannot provide assurance that unmodified opinions will be expressed. Circumstances may arise in which it is necessary for us to modify our opinions or add emphasis-of-matter or other-matter paragraphs. If our opinions on the financial statements are other than unmodified, we will discuss the reasons with you in advance. If, for any reason, we are unable to complete the audit or are unable to form or have not formed opinions, we may decline to express opinions or may withdraw from this engagement.

*Audit Procedures – General*

An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements; therefore, our audit will involve judgment about the number of transactions to be examined and the areas to be tested. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements. We will plan and perform the audit to obtain reasonable rather than absolute assurance about whether the financial statements are free of material misstatement, whether from (1) errors, (2) fraudulent financial reporting, (3) misappropriation of assets, or (4) violations of laws or governmental regulations that are attributable to the entity or to acts by management or employees acting on behalf of the government.

Because of the inherent limitations of an audit, combined with the inherent limitations of internal control, and because we will not perform a detailed examination of all transactions, there is a risk that material misstatements may exist and not be detected by us, even though the audit is properly planned and performed in accordance with U.S. generally accepted auditing standards. In addition, an audit is not designed to detect immaterial misstatements, or violations of laws or governmental regulations that do not have a direct and material effect on the financial statements. However, we will inform the appropriate level of management of any material errors, any fraudulent financial reporting, or misappropriation of assets that come to our attention. We will also inform the appropriate level of management of any violations of laws or governmental regulations that come to our attention, unless clearly inconsequential. Our responsibility as auditors is limited to the period covered by our audit and does not extend to any later periods for which we are not engaged as auditors.

*Audit Procedures – General (Continued)*

Our procedures will include tests of documentary evidence supporting the transactions recorded in the accounts, and may include tests of the physical existence of inventories, and direct confirmation of receivables and certain other assets and liabilities by correspondence with selected individuals, funding sources, creditors, and financial institutions. We will request written representations from your attorneys as part of the engagement, and they may bill you for responding to this inquiry. At the conclusion of our audit, we will also require certain written representations from you about the financial statements and related matters.

*Audit Procedures – Internal Control*

Our audit will include obtaining an understanding of the Village and its environment, including internal control, sufficient to assess the risks of material misstatement of the financial statements and to design the nature, timing, and extent of further audit procedures. An audit is not designed to provide assurance on internal control or to identify deficiencies in internal control. However, during the audit, we will communicate to management and those charged with governance internal control related matters that are required to be communicated under AICPA professional standards.

*Audit Procedures – Compliance*

As part of obtaining reasonable assurance about whether the financial statements are free of material misstatement, will perform tests of the Village's compliance with the provisions of applicable laws, regulations, contracts, and agreements. However, the objective of our audit will not be to provide an opinion on overall compliance and we will not express such an opinion.

*Other Services*

We will also assist in preparing the financial statements and required audit adjustments, if any, for the Village in conformity with U.S. generally accepted accounting principles based on information provided by you. You agree to assume all management responsibilities for any nonattest services we provide; oversee the services by designating an individual with suitable skill, knowledge, or experience; evaluate the adequacy and results of the services; and accept responsibility for them.

*Management Responsibilities*

Management is responsible for establishing and maintaining effective internal controls, including monitoring ongoing activities; for the selection and application of accounting principles; and for the preparation and fair presentation in the financial statements in conformity with U.S. generally accepted accounting principles.

Management is also responsible for making all financial records and related information available to us and for the accuracy and completeness of that information. You are also responsible for providing us with (1) access to all information of which you are aware that is relevant to the preparation and fair presentation of the financial statements, (2) additional information that we may request for the purpose of the audit, and (3) unrestricted access to persons within the government from whom we determine it necessary to obtain audit evidence.

*Management Responsibilities (Continued)*

Your responsibilities include adjusting the financial statements to correct material misstatements and confirming to us in the management representation letter that the effects of any uncorrected misstatements aggregated by us during the current engagement and pertaining to the latest period presented are immaterial, both individually and in the aggregate, to the financial statements taken as a whole.

You are responsible for the design and implementation of programs and controls to prevent and detect fraud, and for informing us about all known or suspected fraud affecting the government involving (1) management, (2) employees who have significant roles in internal control, and (3) others where the fraud could have a material effect on the financial statements. Your responsibilities include informing us of your knowledge of any allegations of fraud or suspected fraud affecting the government received in communications from employees, former employees, regulators, or others. In addition, you are responsible for identifying and ensuring that the government complies with applicable laws and regulations.

You are responsible for the preparation of the supplementary information in conformity with U.S. generally accepted accounting principles. You agree to include our report on the supplementary information in any document that contains and indicates that we have reported on the supplementary information. You also agree to make the audited financial statements readily available to users of the supplementary information no later than the date the supplementary information is issued with our report thereon. Your responsibilities include acknowledging to us in the representation letter that (1) you are responsible for presentation of the supplementary information in accordance with GAAP; (2) you believe the supplementary information, including its form and content, is fairly presented in accordance with GAAP; (3) the methods of measurement or presentation have not changed from those used in the prior period (or, if they have changed, the reasons for such changes); and (4) you have disclosed to us any significant assumptions or interpretations underlying the measurement or presentation of the supplementary information.

You agree to assume all management responsibilities for financial statement preparation services and any other nonattest services we provide; oversee the services by designating an individual, preferably from senior management, with suitable skill, knowledge, or experience; evaluate the adequacy and results of the services; and accept responsibility for them.

Village of Montgomery, Illinois  
February 11, 2016  
Page 5

*Engagement Administration, Fees, and Other*

Our fees for the April 30, 2016, April 30, 2017, April 30, 2018, April 30, 2019 and April 30, 2020 audit will be as stated in our proposal.

We appreciate the opportunity to be of service to the Village of Montgomery, Illinois and believe this letter accurately summarizes the significant terms of our engagement. If you have any questions, please let us know. If you agree with the terms of our engagement as described in this letter, please sign below and return it to us.

Cordially,



LAUTERBACH & AMEN, LLP

RESPONSE:

This letter correctly sets forth the understanding of the Village of Montgomery, Illinois.

By: \_\_\_\_\_

Title: \_\_\_\_\_



**VILLAGE OF MONTGOMERY  
REQUEST FOR BOARD OF TRUSTEES ACTION  
FOR INCLUSION ON BOARD AGENDA**

- Resolution or Ordinance (Blue)  
 Recommendation of Boards, Commissions & Committees (Green)  
 Other Business (Pink)

**To:** Village President and Board of Trustees

**From:** Jeff Zoepfel, Village Administrator

**Date:** February 11, 2016

**B of T Date:** February 22, 2016

**Subject:** Ordinance 1713 Granting a Special Use for Outdoor Storage for 1400 Bohr Avenue (H. Linden & Sons)

**Submitted By:** Steven A. Andersson & Laura M. Julien, Village Attorneys

**Background/Policy Implications:**

The attached ordinance grants a special use for outdoor storage for the US 30 Storage facility. A special use was granted in 2004 for the operation of a self-service storage facility and was amended in 2006 to allow the construction of additional self-storage buildings. However, the special use expressly prohibited the parking of storage vehicles on the property. Since the time the amendment was passed, not all additional buildings were constructed and there is an empty, paved surface that Petitioner would like to use for parking and vehicle storage. The Petitioner intends to supply a landscape plan, which will act as Exhibit B when reviewed and approved.

These matters are outlined in greater detail in the Plan Commission Advisory Report (PC 2016-003).

The Plan Commission reviewed Petitioner's request, and recommended the approval of the special use by the Village Board in accordance with the findings of fact set forth in the PC 2016-003 Advisory Report, subject to the submission and approval of a landscaping plan as well as a 15' height limit for the vehicles to be stored in the aforementioned area.

**Describe Fiscal Impact/Budget Account Number and Cost:** N/A

**Review:**

Village Administrator Jeff Zoepfel

**NOTE:** All materials must be submitted to and approved by the Village Administrator by 12:00 noon, Thursday, prior to the Agenda distribution.



**VILLAGE OF MONTGOMERY**

**ORDINANCE NO. 1713**

---

**AN ORDINANCE GRANTING A SPECIAL USE FOR OUTDOOR STORAGE FOR  
1400 BOHR AVENUE,  
VILLAGE OF MONTGOMERY, KANE AND KENDALL COUNTIES, ILLINOIS  
(H. LINDEN & SONS DEVELOPMENT/STEVE LINDEN)**

---

PASSED BY THE PRESIDENT AND BOARD OF TRUSTEES  
OF THE VILLAGE OF MONTGOMERY, KANE AND KENDALL COUNTIES, ILLINOIS  
THIS \_\_\_\_ DAY OF \_\_\_\_\_, 2016.

PUBLISHED IN PAMPHLET FORM BY AUTHORITY  
OF THE PRESIDENT AND BOARD OF TRUSTEES  
OF THE VILLAGE OF MONTGOMERY, KANE AND KENDALL COUNTIES,  
ILLINOIS, THIS \_\_\_\_ DAY OF \_\_\_\_\_, 2016.

**ORDINANCE NO. 1713**

**AN ORDINANCE GRANTING A SPECIAL USE FOR OUTDOOR STORAGE FOR  
1400 BOHR AVENUE,  
VILLAGE OF MONTGOMERY, KANE AND KENDALL COUNTIES, ILLINOIS  
(H. LINDEN & SONS DEVELOPMENT/STEVE LINDEN)**

**BE IT ORDAINED** by the Board of Trustees of the Village of Montgomery, Kane and Kendall Counties, Illinois as follows:

**WHEREAS**, the Village of Montgomery is not a home rule municipality within Article VII, Section 6A of the 1970 Constitution of the State of Illinois, and therefore, acts pursuant to those powers granted to it under 65 ILCS 5/1-1 *et seq.*; and,

**WHEREAS**, the land described in Section One of this ordinance is within the boundaries of the Village of Montgomery and presently zoned (M-2) General Manufacturing District, and is sought by the petitioner to be granted special use for outdoor storage; and,

**WHEREAS**, all hearings required to be held before agencies of the Village took place pursuant to proper legal notice including publication; and,

**WHEREAS**, the Plan Commission, after consideration of an application to grant a special use for said property, has made the following findings of fact and the Village Board has adopted said findings of fact: (1) the establishment, maintenance, or operation of the special use will not be detrimental to or endanger the public health, safety, comfort, or general welfare; (2) the special use will not be injurious to the use and enjoyment of other property in the immediate vicinity for the purpose already permitted, and will not substantially diminish or impair property values in the neighborhood; (3) the establishment of the special use will not impede the normal and orderly development and improvement of the surrounding property for uses permitted in the district; (4) adequate utilities, access roads, drainage and/or necessary facilities have been, or are being, provided; (5) adequate measures have been, or will be, taken to provide ingress and egress so designed as to minimize traffic congestion in public streets; (6) the special use shall, in all other respects, conform to the applicable regulations of the district in which it is located, except as such regulations may, in each instance, be modified by the Village Board pursuant to recommendations by the Planning Commission; and,

**WHEREAS**, the Plan Commission recommended to the Village Board of Trustees approval of said application pending review and approval of final plat and consultant review; and,

**NOW, THEREFORE, BE IT ORDAINED** by the President and Board of Trustees of the Village of Montgomery, Kane and Kendall Counties, Illinois, as follows:

**SECTION ONE: SPECIAL USE**

That the property legally described on the attached **Exhibit A** (hereby incorporated by reference) is granted a special use as follows:

Petitioner is granted a special use for outdoor storage conditioned upon its fulfillment of the items set forth below.

## **SECTION TWO: CONDITIONS**

The special use is granted upon the following conditions and restrictions pursuant to Sections 14.09(1-9) of the Village of Montgomery Zoning Ordinance:

- 1) The development of the site shall be in substantial conformance with **Exhibit B**, except with such deviations as are approved by Village staff in the finalization of the plans.
- 2) The use may not be expanded or changed, without prior Village approval pursuant to Section 14.09(1-9).
- 3) Said use is conditioned upon continued compliance with all Village ordinances and all regulations of the Village Zoning Ordinance, it being acknowledged that no Variances from said Ordinance have been granted in conjunction with this special use ordinance described herein and that any Variation shall require separate action by the Village Board.
- 4) The petitioner, and owner of record of the property in question (and their heirs assigns, lessees, etc.), by applying for this special use, agrees to be bound by all the terms of this ordinance and waives any claims of vested rights, reliance or other defenses, of any type or character, to defend against a revocation of said special use based on any violation of the above provisions. The provisions of this special use shall be binding on the heirs, successors and/or assigns of the petitioner and/or owners of record of the parcel described in **Exhibit A** hereof.
- 5) This special use shall expire if not commenced by owners within one year of the date of passage of this ordinance.
- 6) That in the event that the use of the property for outdoor storage ceases active operation for a period of more than six months, said use will be conclusively deemed abandoned, and may not be reinstated or continued without prior Village approval pursuant to Section 14.09(1-9).

The Village further conditions the special use on the following standards:

- 1) The Petitioner shall submit a landscape plan for review and approval by the Director of Community Development or his or her designee.
- 2) Upon approval of said plan, Petitioner shall install and maintain all landscaping and fencing in accordance with the Landscape Plan submitted with its application so as to ensure that the storage area is adequately buffered and screened from view.

- 3) That the vehicles stored in the aforementioned area be of no more than 15' in height, so as to maintain the aesthetics of the area and ensure the landscaping provides adequate screening.

The Zoning Ordinance of the Village of Montgomery, Kane and Kendall Counties, Illinois is hereby amended to provide for said special use on said property and the clerk is directed to amend the zoning map of the Village of Montgomery to reflect this amendment.

**SECTION THREE: GENERAL PROVISIONS**

**REPEALER:** All ordinances or portions thereof in conflict with this ordinance are hereby repealed.

**SEVERABILITY:** Should any provision of this Ordinance be declared invalid by a court of competent jurisdiction, the remaining provisions will remain in full force and effect the same as if the invalid provision had not been a part of this Ordinance.

**EFFECTIVE DATE:** This Ordinance shall be in full force and effect from and after its approval, passage and publication in pamphlet form as provided by law.

**PASSED AND APPROVED** by the President and Board of Trustees of the Village of Montgomery, Kane and Kendall Counties, Illinois this \_\_\_\_ day of \_\_\_\_\_, 2016.

\_\_\_\_\_  
 Matthew Brolley,  
 President of the Board of Trustees of the Village of Montgomery

ATTEST:

\_\_\_\_\_  
 Tiffany Francis,  
 Clerk of the Village of Montgomery

	<b>Aye</b>	<b>Nay</b>	<b>Absent</b>	<b>Abstain</b>
Trustee Stan Bond	___	___	___	___
Trustee Pete Heinz	___	___	___	___
Trustee Steve Jungermann	___	___	___	___
Trustee Denny Lee	___	___	___	___
Trustee Doug Marecek	___	___	___	___
Trustee Theresa Sperling	___	___	___	___
Village President Matthew Brolley	___	___	___	___

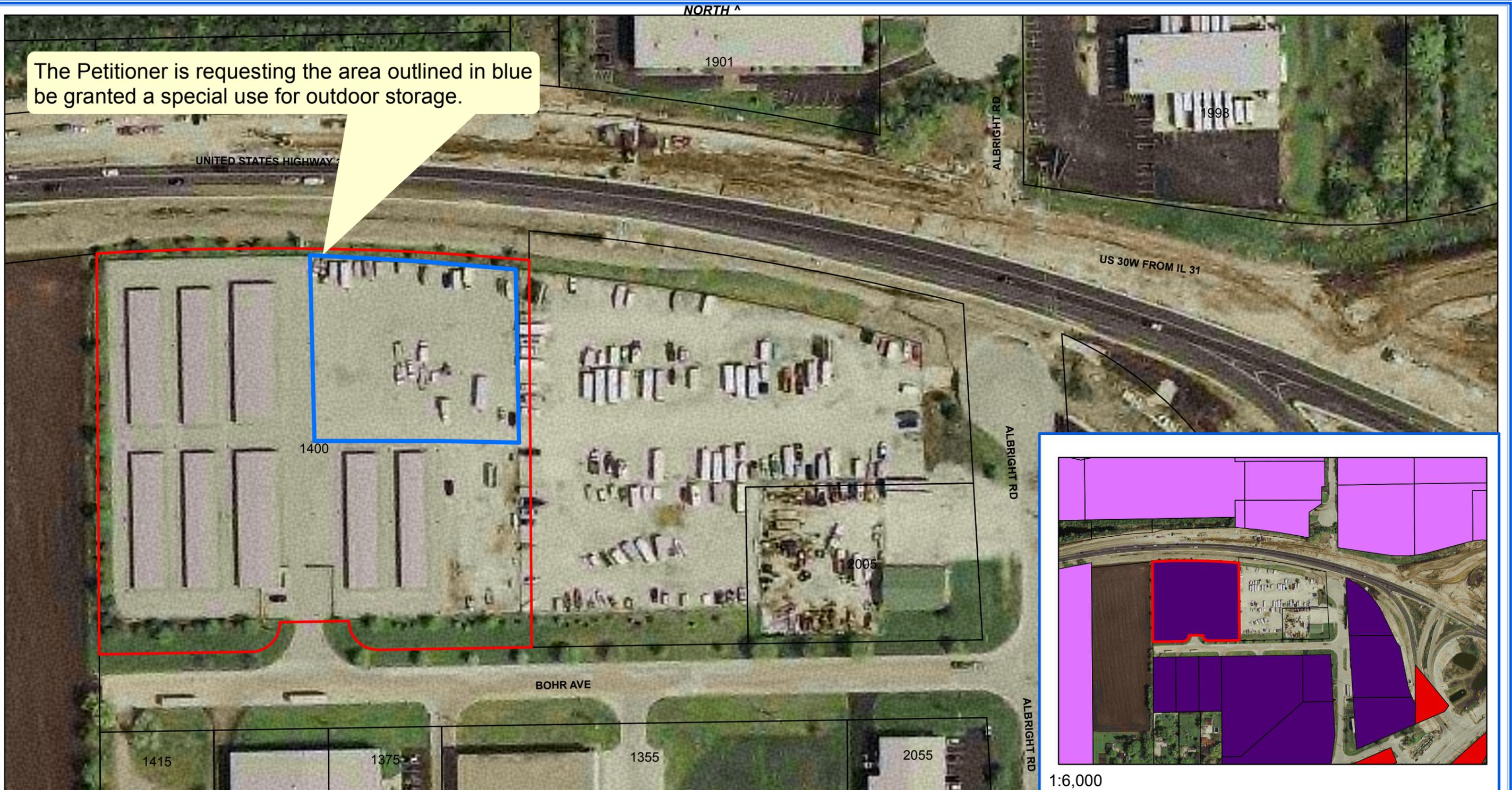
**LIST OF EXHIBITS**

**Exhibit A: Legal Description**  
**Exhibit B: Site Plan**

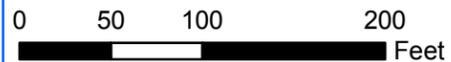
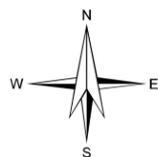
LEGAL DESCRIPTION

LOT 8 IN BOHR INDUSTRIAL PARK, A SUBDIVISION IN PART OF THE SOUTHWEST QUARTER OF THE SOUTHWEST QUARTER OF SECTION 32, TOWNSHIP 38 NORTH, RANGE 8 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN THE VILLAGE OF MONTGOMERY, KANE COUNTY, ILLINOIS

The Petitioner is requesting the area outlined in blue be granted a special use for outdoor storage.



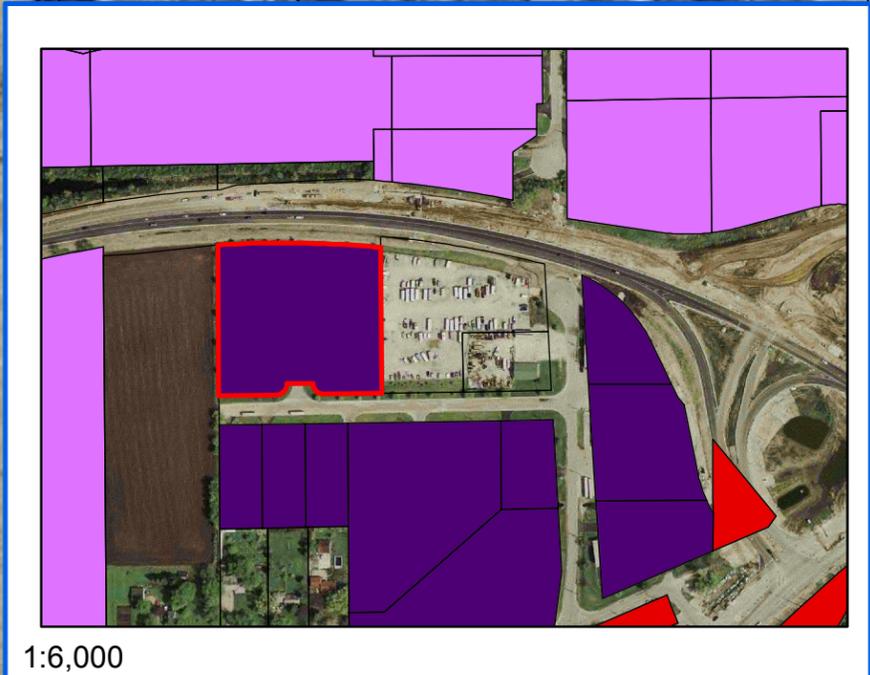
Village of Montgomery  
200 N. River Street  
Montgomery, IL 60538  
630-896-8080



Print at 11 x 17 for correct scale

North Arrow font may not be compatible with computer see top of page for north label

## Plan Commission Case #2016-003 SU Special Use for Outdoor Storage H. Linen & Sons Development/Steve Linden 1400 Bohr Avenue



1:6,000

- Legend**
- MD MILL DISTRICT
  - B-1 LOCAL RETAIL BUSINESS DISTRICT
  - B-2 GENERAL RETAIL BUSINESS DISTRICT
  - B-3 GENERAL AUTOMOTIVE AND WHOLESALE BUSINESS DISTRICT
  - FLOOD
  - M-1 LIMITED MANUFACTURING DISTRICT
  - M-2 GENERAL MANUFACTURING DISTRICT
  - R-2 ONE-FAMILY RESIDENCE DISTRICT
  - R-3 TRADITIONAL NEIGHBORHOOD RESIDENCE DISTRICT
  - R-4 TRADITIONAL NEIGHBORHOOD RESIDENCE DISTRICT
  - R-5A TWO-FAMILY RESIDENCE DISTRICT
  - R-5B ATTACHED SINGLE FAMILY RESIDENCE DISTRICT
  - R-6 MULTIPLE FAMILY RESIDENCE DISTRICT



VILLAGE OF MONTGOMERY  
REQUEST FOR BOARD OF TRUSTEES ACTION  
FOR INCLUSION ON BOARD AGENDA

- Resolution or Ordinance (Blue)
- Recommendation of Boards, Commissions & Committees (Green)
- Other Business (Pink)

**To:** Village President and Board of Trustees

**From:** Jeff Zoepfel, Village Administrator

**Date:** February 18, 2016

**B of T Date:** February 22, 2016

**Subject:** Recommendation of the Plan Commission on PC 2016-002 SU Amendment to the Special Use for Outdoor Storage and a Special Use for Alternative Surfaces Located at 1065 and 1079 Sard Avenue – JPC Tree Care, LLC

**Submitted By:** Jerad Chipman AICP, Senior Planner

**Background/Policy Implications:**

The Plan Commission discussed and recommended denial of the proposed special uses for JPC Tree Care, LLC. The Petitioner was granted a special use in 2013 for outdoor storage. The previous special use restricted the height and location of the outdoor storage materials. The Petitioner is requesting permission to expand the area that the business is allowed to store materials in and the maximum height of the storage be increased from ten (10) feet to fifteen (15) feet. The storage is proposed to take place on an aggregate surface. The type of surface permitted is subject to the Village Engineer’s review and approval. Screening of the site has been proposed in the form of landscaping, to complement the previously install eight (8) foot opaque fence.

The Plan Commission recommended denial of 2016-002 SU amended special use for outdoor storage and special use for alternative surfaces for JPC Tree Care, LLC. The motion passed 6-1.

**Describe Fiscal Impact/Budget Account Number and Cost:**

**Review:**

Village Administrator Jeff Zoepfel

**NOTE:** All materials must be submitted to and approved by the Village Administrator by 12:00 noon, Thursday, prior to the Agenda distribution.



**PC 2016-002**  
**PLAN COMMISSION ADVISORY REPORT**

**To:** Chair Hammond and Members of the Plan Commission

**From:** Jerad Chipman, AICP  
Senior Planner

**Date:** January 28, 2016

**Subject:** Outdoor Storage and Alternative Surfaces Located at 1065 and 1079 Sard Avenue -  
*Special Use and Site Plan.*

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**Petitioner:** JPC Tree Care, LLC.

**Location/Address:** 1065 and 1079 Sard Avenue

**Requests:** Amendment to the Special Use for Outdoor Storage and a Special Use for Alternative Surfaces.

**Current Zoning:** M-2 General Manufacturing District

**Comprehensive Plan:** Light Industrial/Business Park

**Surrounding Land Uses:**

<b>Location</b>	<b>Adjacent Land Use</b>	<b>Adjacent Zoning</b>
North	Residential/Open Space	R-4 and City of Aurora
East	Residential/Industrial	R-4 and M-1
South	Industrial	M-2
West	Industrial	M-1

**Background:**

The Petitioner is requesting approval of an amendment to their special use for outdoor storage and a special use for alternative surfaces. The Petitioner received a special use for outdoor storage in 2013. The special use restricted the location of the storage to the southwest corner of the property due to the fact that the southwest corner had been previously paved. As the history of this case has recently been in question, a brief timeline of the past events that have led to this request follows:

Winter of 2013: Staff informed the Petitioner that they were operating illegally on the site.

February 25, 2013: The Petitioner applied for a special use for outdoor storage.

April 11, 2013: The Plan Commission discussed and tabled the Petitioner's special use request. During the meeting, the storage of wood and mulch was indicated and that there would be a small pile of mulch and that the mulch would be used immediately.

May 16, 2013: The Plan Commission recommended approval of the special use for outdoor storage in the areas that were previously paved on the site as the attached map indicates.

May 21, 2013: The special use was discussed at the Committee of the Whole meeting. At that meeting the Petitioner stated that logs would be brought on to the site, ground into wood chips and hauled off-site.

May 28, 2013: The Village Board discussed the special use and voted to approve the special use including limiting the location and height that the material could be store at. The Petitioner expressed their intent to place mulch on the property and the special use was approved without prohibiting mulch to be stored on the property.

After the special use was approved the Petitioner installed the fence and continued to operate the business.

August 3, 2015: Staff sent a violation notice to the Petitioner stating that the height and location of the outdoor storage material was in violation of the special use and gave a timeframe for compliance.

Staff granted several extensions to the compliance timeframe to allow the Petitioner to comply with the special use. The Petitioner applied for the amendment to the Special Use after the extensions were granted.

The Petitioner is requesting permission to store material such as logs, wood mulch and vehicles to the north of the previously authorized storage area. The expansion area is currently composed of gravel and turf surfaces. The Petitioner has previously installed an eight (8) foot high opaque wood fence, per the Zoning Ordinance, to screen the site. The Petitioner is requesting that the maximum height that the outdoor storage be increased from the ten (10) feet maximum to fifteen (15) feet. The Petitioner currently has more material than will fit into the previously approved storage area at the maximum height of ten (10) feet, and has stored material well above ten (10) feet on site.

**Conformance with the Comprehensive Plan:**

The proposed land use is more intense than the Industrial/Business Park land use category contemplates and would be better suited in an area designated as Heavy Industrial. A general description of the Industrial/Business Park land use category can be found below:

**Industrial/Business Park**

Light Industrial and business parks are less intensive industrial uses that can complement commercial land uses and the Heavy Industrial areas. Light industrial uses should consist of office complexes or smaller service- and/or consumer-oriented businesses as opposed to large manufacturers. While light industrial and business park uses are desirable and contribute to the economic health of the community, they have the potential to negatively impact the environment and the quality of life for residents living in adjacent neighborhoods. Accordingly,

the Land Use Plan identifies a land use arrangement that seeks to minimize land use conflicts, promoting separation from residential areas where possible.

**Zoning:**

The property is zoned M-2 General Manufacturing District. Outdoor storage and alternative surfaces are allowed as special uses in the M-2 District. The Petitioner is requesting relief from the Zoning Ordinance in the form of a variance from the alternative surfaces setback, 250 feet, which is from residentially zoned property and a public right-of-way. The variance is being processed through the subsequent Zoning Board of Appeals meeting.

**Plat:**

The Petitioner has submitted a plat of survey, which is attached.

**Bulk Standards:**

Front Yard: Approximately thirty feet (30'). This amount exceeds the required twenty-five feet (25') setback.

Side Yard: Some existing parking encroaches into the side yards, however, the encroachment is an existing condition and the Petitioner has the authority to operate in the side yard per the original special use.

Rear Yard: The current configuration meets the rear yard setback requirement.

Floor Area Ratio: The site complies with the Village's standard.

Maximum Building Height: No additional buildings are being proposed and those that are present comply with the standard.

**Parking: Number of Spaces, Handicap Spaces, Drive Aisles & Dimensions:**

The existing parking spaces, drive aisles, and loading areas meet the ordinance requirements for the building.

**Landscaping/Screening:**

A landscape plan has been submitted. The plan indicates the installation of fifteen (15) trees of coniferous, deciduous and deciduous ornamental varieties. These trees are planned to be spread across the three hundred and forty (340) foot frontage of the existing fence. It is staff's opinion that the material indicated on the plan is inadequate to screen the site and that a revised landscape plan shall be submitted containing additional material.

The Zoning Ordinance requires an eight (8) foot tall wood privacy fence for outdoor storage uses. The Petitioner has previously installed an eight (8) foot tall wood privacy fence, complying with the Zoning Ordinance.

**Lighting:**

Lighting currently exists on the site. The Petitioner does not intend to install additional lighting, and due to the proximity to residential property staff is recommending that no additional lighting is required. In

the event that there are any addition or alteration to the site lighting, those additions or alterations shall comply with the lighting standards in the Zoning Ordinance.

**Access:**

Two (2) access point exists into the site and the storage area. That access is located on Sard Avenue, which is a low traffic volume street. Therefore, adequate access to the site has been provided.

**Alternative Surfaces:**

The Petitioner is requesting use of an alternative surface. There are two primary concerns with allowing an alternative surface, which are the creation of dust and tracking debris onto public streets. These concerns prompted the Village to enact greater setbacks from residential districts and public right-of-ways. In recent other outdoor storage special use requests staff has recommended the following conditions be included.

1. The Petitioner is required to submit a detailed plan describing the type and weight of vehicles, items to be stored on the surface, average daily vehicle trips conducted on the surface, other operations conducted on the site and dust mitigation activities. Processing of aggregate materials is prohibited.
2. Storage spaces, drives and aisles shall be constructed of a minimum of 10 inches of material uniformly compacted and approved by the Village Engineer. Specified material shall be approved by the Village Engineer. Additional material depth may be required based on the use of the site subject to Village Engineer approval.
3. The Petitioner is required to engage in periodic dust control measures, including treating the alternative surface with calcium chloride on an as needed basis.
4. The Petitioner shall provide the Village an access easement over all areas utilizing an alternative surface pursuant to these provisions and a \$5,000 nuisance deposit. In accordance with said easement, the Village will conduct periodic reviews of the site's dust control and to perform any mitigation actions it deems necessary. Prior to engaging in site remediation, the Village will provide the Petitioner with written notice identifying the violation and the Petitioner will be afforded seventy-two (72) hours to resolve. To the extent that the Petitioner fails to adequately address said violation to the satisfaction of the Village, thereby requiring Village to remediate said nuisance, the Village will deduct all applicable funds from the Petitioner's deposit. The Petitioner will be required to replenish said deposit within fifteen (15) days of receiving notice so that the account remains its proper balance of \$5,000.00.
6. The alternative surface shall be maintained by the Petitioner to the satisfaction of the Director of the Community Development Department or other designee of the Village Administrator, including re-grading or restoration as needed due to traffic use, or storm related degradation. In the event that the alternative surface material degrades to a point that increases the nuisance occurrences the material shall be removed and replaced with fresh material.

**Drainage:**

The Village Engineer has evaluated the site for the need to provide stormwater detention and to minimize any impacts to neighboring parcels. The Village Engineer has concluded that stormwater detention is necessary for the northern section of the property that is currently covered by a mix of gravel and turf. Engineering plans will need to be submitted in the future indicating the location and amount of detention to be provided.

**Odor:**

The Zoning Ordinance contains a provision prohibiting odorous matter from creating a nuisance or hazard. Staff is concerned that a greater concentration of mulch will create just such a nuisance.

**Height:**

The Petitioner is requesting the ability to stack their outdoor storage higher than the Village's maximum. The Zoning Ordinance allows for a maximum height of ten (10) feet, however, the Zoning Ordinance states that the maximum storage height can be increased through the special use process. Staff recommends maintaining the ten (10) foot maximum height, per the Zoning Ordinance, as it would be difficult to screen the storage if the height is increased.

**Special Use:**

The Petitioner is requesting a special uses for outdoor storage and alternative surfaces. The Commission should consider whether the use is in keeping with the vision of the area and whether its impacts can be properly mitigated. According to the Montgomery Zoning Ordinance, whose language hereafter is in italics, "*no special use shall be recommended by the Plan Commission unless the Commission shall find that the following standards have been satisfied:*

Staff has provided findings of fact following the standards for the Plan Commissioner's consideration.

A. *That the establishment, maintenance or operation of the special use will not be detrimental to endanger the public health, safety, comfort or general welfare;*

The proposed amendment to the special use for outdoor storage has the potential to affect the comfort of the public as mulch produces an odor that may be detected at nearby residences.

The proposed alternative surface has the potential to affect the health, safety, comfort or general welfare of the surrounding properties due to the generation of dust and the tracking of debris onto public right-of ways, however, it is staff's opinion that the proposed restrictions and maintenance provisions will adequately mitigate the dust and tracking of debris concerns;

B. *That the special use will not be injurious to the use and enjoyment of other property in the immediate vicinity for the purpose already permitted, not substantially diminish or impair property values within the neighborhood;*

The storage of mulch may create an odor that is offensive to some members of the community potentially affecting the enjoyment of other properties in the vicinity.

C. *That the establishment of the special use will not impede the normal and orderly development and improvement of the surrounding property for uses permitted in the district;*

The proposed use should not prohibit the use of surrounding property unless the dust, tracking of debris or odor proliferates;

D. *That adequate utilities, access roads, drainage and/or necessary facilities have been, or are being, provided;*

The Village Engineer is concerned about the drainage that the proposed special use would alter and is proposing the installation of stormwater detention;

E. *That adequate measures have been, or will be, taken to provide ingress and egress so designed as to minimize traffic congestion in public streets;*

The property provides adequate ingress and egress; and

F. *That the special use shall, in all other respects, conform to the applicable regulations of the district in which it is located, except as such regulations may, in each instance, be modified by the Village Board pursuant to the recommendations of the Plan Commission.*

All aspects of the proposed development that have been submitted to this point that do not meet the Zoning Ordinance have been discussed in the above report and recommendations have been made accordingly.

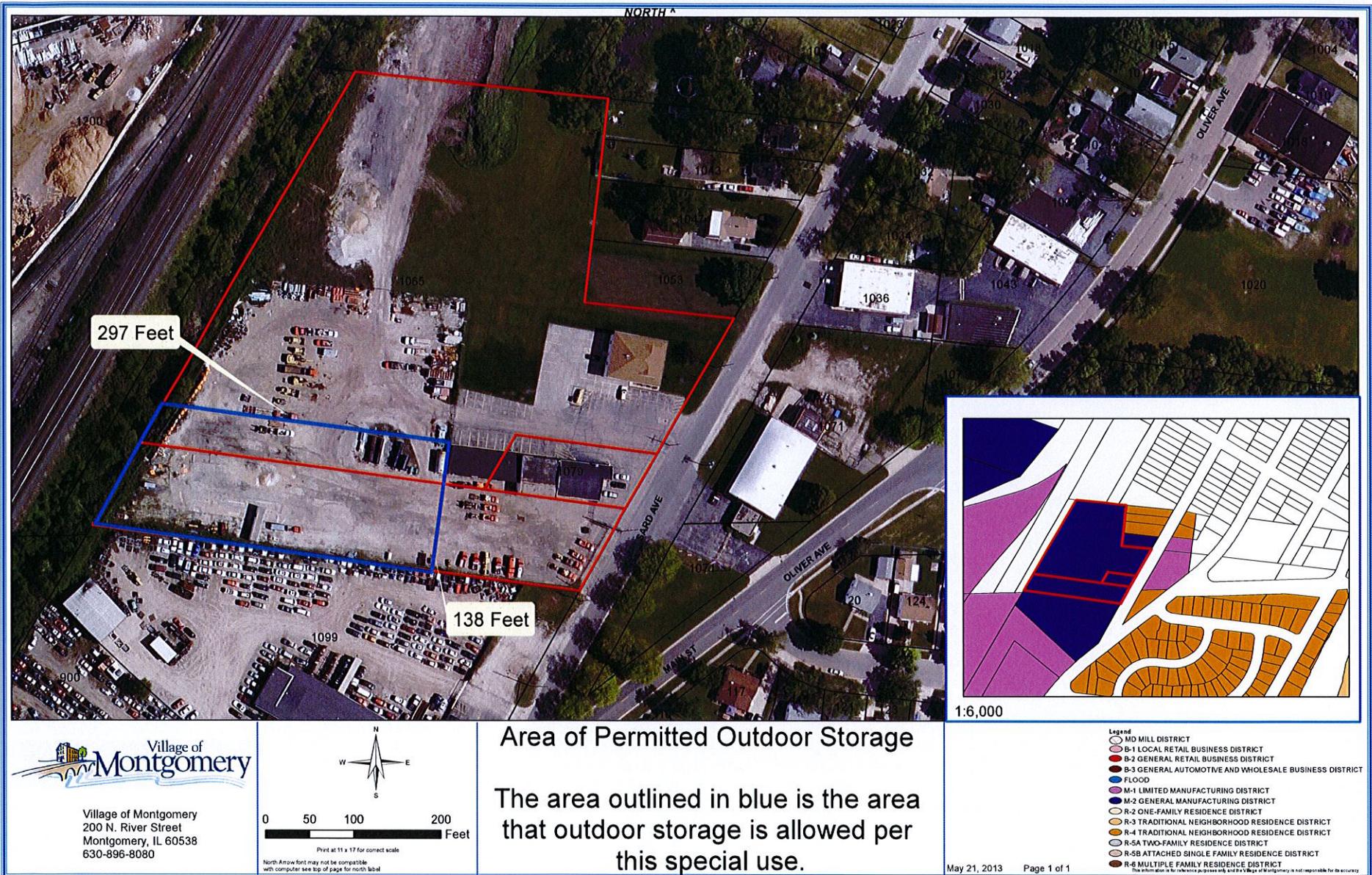
The Plan Commission should discuss each of these criteria and make findings of fact as to whether the proposed use meets the criteria. If the Commission finds that the use should be permitted, they may impose additional conditions in order to mitigate any impacts of the proposed use.

**Recommendation:**

**Staff is recommending denial of the special use amendment for outdoor storage and the special use for alternative surfaces. It is staff's opinion that there are potential impacts to the surrounding residents, and is therefore recommending that the Petitioner comply with the terms of the original special use. Those terms include storing material at a maximum height of ten (10) feet and in a certain location on the property as indicated on the attached exhibit.**

Exhibit 1

This map is attached to the current special use approved in 2013.



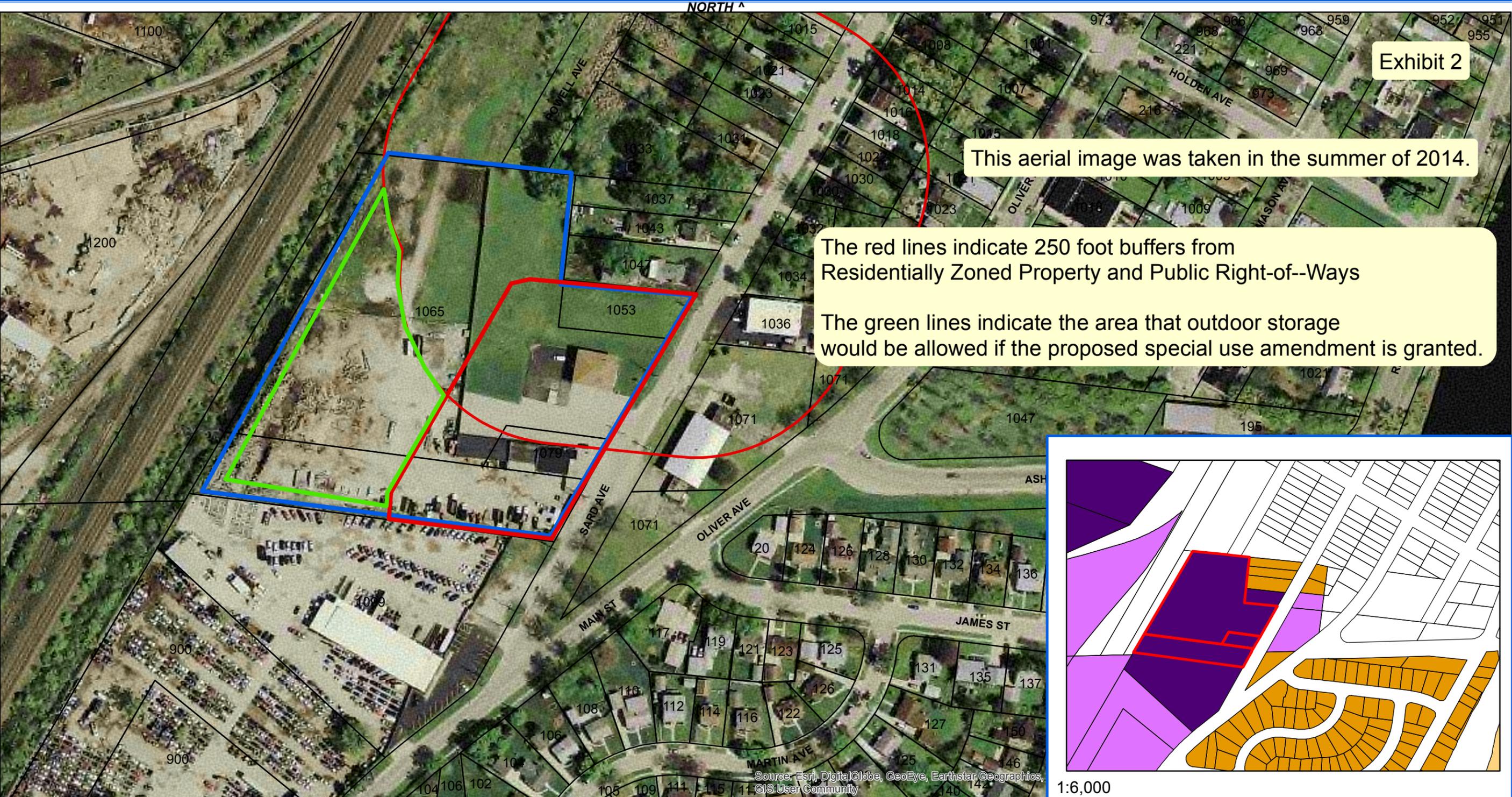


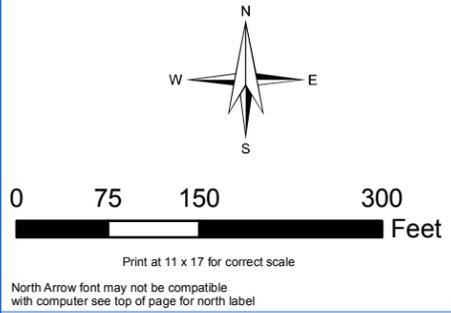
Exhibit 2

This aerial image was taken in the summer of 2014.

The red lines indicate 250 foot buffers from Residentially Zoned Property and Public Right-of--Ways  
 The green lines indicate the area that outdoor storage would be allowed if the proposed special use amendment is granted.



Village of Montgomery  
 200 N. River Street  
 Montgomery, IL 60538  
 630-896-8080



## Alternative Surfaces Setbacks from Residential and Public Right-of-Ways J.P.C. Tree Care L.L.C. 1065 and 1079 Sard Avenue

- Legend
- MD MILL DISTRICT
  - B-1 LOCAL RETAIL BUSINESS DISTRICT
  - B-2 GENERAL RETAIL BUSINESS DISTRICT
  - B-3 GENERAL AUTOMOTIVE AND WHOLESALE BUSINESS DISTRICT
  - FLOOD
  - M-1 LIMITED MANUFACTURING DISTRICT
  - M-2 GENERAL MANUFACTURING DISTRICT
  - R-2 ONE-FAMILY RESIDENCE DISTRICT
  - R-3 TRADITIONAL NEIGHBORHOOD RESIDENCE DISTRICT
  - R-4 TRADITIONAL NEIGHBORHOOD RESIDENCE DISTRICT
  - R-5A TWO-FAMILY RESIDENCE DISTRICT
  - R-5B ATTACHED SINGLE FAMILY RESIDENCE DISTRICT
  - R-6 MULTIPLE FAMILY RESIDENCE DISTRICT
- This information is for reference purposes only and the Village of Montgomery is not responsible for its accuracy

Exhibit 3

Google aerial from the summer of 2015

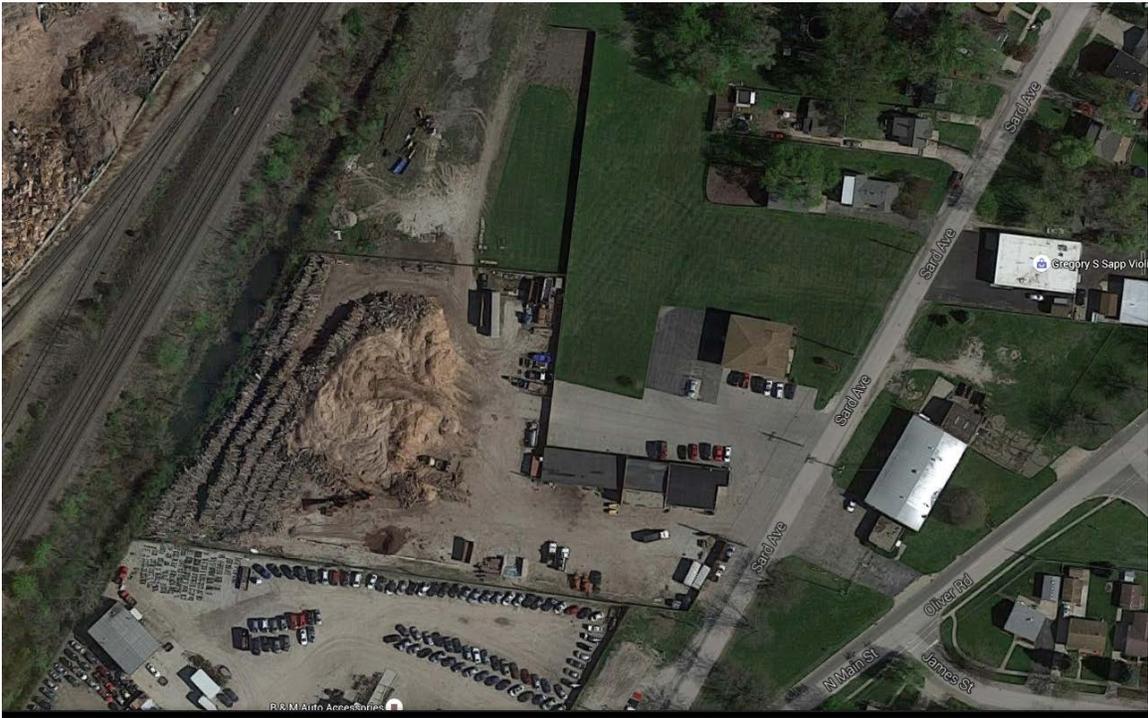


Exhibit 4

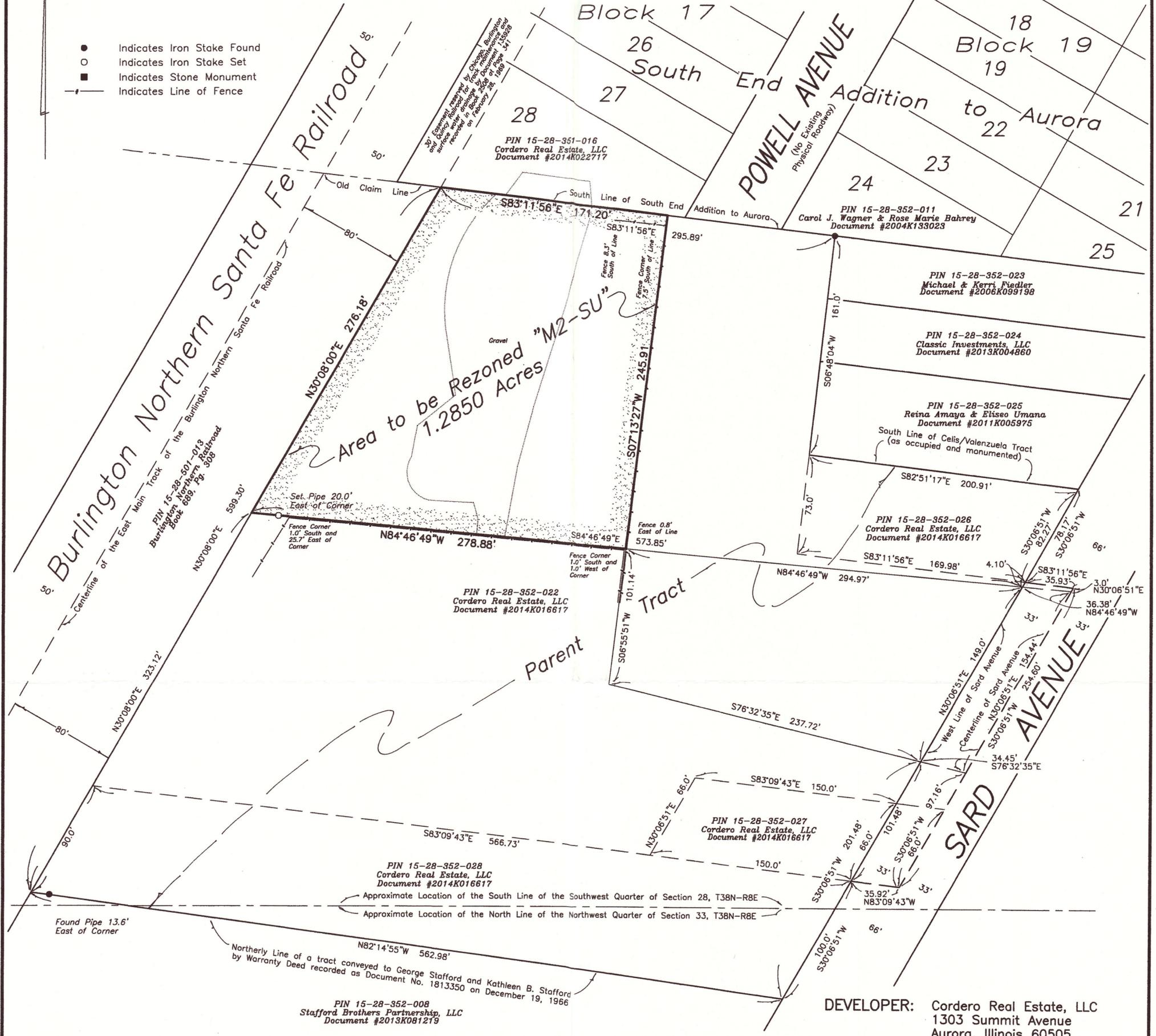
Photograph of the site taken January 26, 2016



**Zoning Plat of  
PART OF THE SOUTHWEST QUARTER OF SECTION 28, T38N-R8E, 3rd PM  
VILLAGE OF MONTGOMERY KANE COUNTY ILLINOIS**

N  
SCALE  
1"=50'

- Indicates Iron Stake Found
- Indicates Iron Stake Set
- Indicates Stone Monument
- - - Indicates Line of Fence



**DEVELOPER:** Cordero Real Estate, LLC  
1303 Summit Avenue  
Aurora, Illinois 60505

**AREA TO BE REZONED:** 55,976 Sq.Ft. = 1.2850 Acres  
**PRESENT ZONING:** M2 (General Manufacturing District)  
**PROPOSED ZONING:** M2-SU (Gen. Manufacturing Dist.-Special Use)  
**P.I.N.** 15-28-352-022

**LEGAL DESCRIPTION OF PARENT TRACT:**

That Part of the Southwest Quarter of Section 28 and that Part of the Northwest Quarter of Section 33, Township 38 North, Range 8 East of the Third Principal Meridian described as follows: Beginning at the Northwest Corner of a Tract conveyed to George Stafford and Kathleen B. Stafford by Warranty Deed recorded as Document No. 1813350 on December 19, 1966; thence North 30°08'00" East, along the Easterly Right-of-Way of the Burlington Northern Santa Fe Railroad (formerly the Chicago, Burlington and Quincy Railroad Company), being parallel with and 80.0 feet normally distant Southeasterly of the centerline of the most Easterly main track of said railroad as now located, 599.30 feet to an Old Claim Line, being also the South Line of South End Addition to Aurora, according to the Plat thereof recorded in Plat Book 7 at Page 67 on January 29, 1890; thence South 83°11'56" East, along said South Line, 295.89 feet to an iron pipe monument; thence South 06°48'04" West, perpendicular to said South Line, 161.0 feet to the Southwest Corner of a Tract conveyed to Jesus R. Celis and Manuela Valenzuela by Warranty Deed recorded as Document 2006K107505 recorded October 2, 2006; thence South 82°51'17" East, along the South Line of said Celis/Valenzuela Tract (as occupied and monumented), 200.91 feet to the West Line of Sard Avenue; thence South 30°06'51" West, along said West Line, 78.17 feet; thence South 83°11'56" East, 35.93 feet to the centerline of Sard Avenue; thence South 30°06'51" West, along said centerline, 254.60 feet; thence North 83°09'43" West, 35.92 feet to the West Line of Sard Avenue; thence South 30°06'51" West, along said West Line, 100.0 feet to the Northeast Corner of said Stafford Tract; thence North 82°14'55" West, along the North Line of said Stafford Tract, 562.98 feet to the point of beginning, all in the Village of Montgomery, Kane County, Illinois.

**LEGAL DESCRIPTION OF SPECIAL USE TRACT:**

That Part of the Southwest Quarter of Section 28, Township 38 North, Range 8 East of the Third Principal Meridian described as follows: Commencing at the Northwest Corner of a Tract conveyed to George Stafford and Kathleen B. Stafford by Warranty Deed recorded as Document No. 1813350 on December 19, 1966; thence North 30°08'00" East, along the Easterly Right-of-Way of the Burlington Northern Santa Fe Railroad (formerly the Chicago, Burlington and Quincy Railroad Company), being parallel with and 80.0 feet normally distant Southeasterly of the centerline of the most Easterly main track of said railroad as now located, 323.12 feet for the point of beginning; thence continuing North 30°08'00" East, along said Easterly Right-of-Way, 276.18 feet to the South Line of South End Addition to Aurora, according to the Plat thereof recorded in Plat Book 7 at Page 67 on January 29, 1890; thence South 83°11'56" East, along said South Line, 171.20 feet; thence South 07°13'27" West, 245.91 feet to a line drawn South 84°46'49" East, 278.88 feet from the point of beginning; thence North 84°46'49" West, 278.88 feet to the point of beginning; all in the Village of Montgomery, Kane County, Illinois.

October 29, 2015

JOB NO.	12048.
JOB NAME	CORDERO
DWG FILE	12048B3

**Phillip D. Young and Associates, Inc.**  
LAND SURVEYING - TOPOGRAPHIC MAPPING - Lic.#184-002775

1107B South Bridge Street  
Yorkville, Illinois 60560  
Telephone (630)553-1580



**VILLAGE OF MONTGOMERY  
REQUEST FOR BOARD OF TRUSTEES ACTION  
FOR INCLUSION ON BOARD AGENDA**

- Resolution or Ordinance (Blue)  
 Recommendation of Boards, Commissions & Committees (Green)  
 Other Business (Pink)

**To:** Village President and Board of Trustees

**From:** Jeff Zoepfel, Village Administrator

**Date** February 5, 2016

**B of T Date:** February 8, 2016

**Subject:** Ordinance 1714 Granting an Amendment to a Special Use for Outdoor Storage and a Special Use for Alternative Surfaces for 1065 and 1079 Sard (JPC Tree Care)

**Submitted By:** Steven A. Andersson & Laura M. Julien, Village Attorneys

**Background/Policy Implications:**

This ordinance addresses Petitioner's request to amend an existing special use for outdoor storage and for a new special use for alternative surfaces. Please be advised that the upon review of this request, the Plan Commission recommended the denial of the special use by the Village Board for the reasons set forth in the findings of fact contained within PC2016-001 Advisory Report.

However, the enclosed ordinance was prepared for the Village Board in the case that it determines not to adopt the Plan Commission's recommendation and elects to grant the special use. Specifically, the ordinance grants an amendment to an existing special use for outdoor storage, allowing the Petitioner to increase the maximum height for the storage of its materials to from 10 feet to 15 feet. Petitioner was previously granted a special use for outdoor storage in May of 2013.

The ordinance also grants a special use for an alternative surface for outdoor storage, subject to Village engineer review and approval. The ordinance requires that Petitioner maintain a nuisance deposit with the Village and grant an easement for purposes of dust mitigation.

These matters are outlined in greater detail in the Plan Commission Advisory Report (PC 2016-002).

**Describe Fiscal Impact/Budget Account Number and Cost:** N/A

Village Administrator Jeff Zoepfel

**NOTE:** All materials must be submitted to and approved by the Village Administrator by 12:00 noon, Thursday, prior to the Agenda distribution.



**VILLAGE OF MONTGOMERY**

**ORDINANCE NO. 1714**

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**AN ORDINANCE GRANTING AN AMENDMENT TO A SPECIAL USE FOR OUTDOOR STORAGE AND  
A SPECIAL USE FOR ALTERNATIVE SURFACES FOR  
1065 AND 1079 SARD AVENUE,  
VILLAGE OF MONTGOMERY, KANE AND KENDALL COUNTIES, ILLINOIS  
(JPC TREE CARE, LLC)**

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PASSED BY THE PRESIDENT AND BOARD OF TRUSTEES  
OF THE VILLAGE OF MONTGOMERY, KANE AND KENDALL COUNTIES, ILLINOIS  
THIS \_\_\_\_ DAY OF \_\_\_\_\_, 2016.

PUBLISHED IN PAMPHLET FORM BY AUTHORITY  
OF THE PRESIDENT AND BOARD OF TRUSTEES  
OF THE VILLAGE OF MONTGOMERY, KANE AND KENDALL COUNTIES,  
ILLINOIS, THIS \_\_\_\_ DAY OF \_\_\_\_\_, 2016.

**ORDINANCE NO. 1714**

**AN ORDINANCE GRANTING AN AMENDMENT TO A SPECIAL USE FOR OUTDOOR STORAGE AND A SPECIAL USE FOR ALTERNATIVE SURFACES FOR 1065 AND 1079 SARD AVENUE, VILLAGE OF MONTGOMERY, KANE AND KENDALL COUNTIES, ILLINOIS (JPC TREE CARE, LLC)**

**BE IT ORDAINED** by the Board of Trustees of the Village of Montgomery, Kane and Kendall Counties, Illinois as follows:

**WHEREAS**, the Village of Montgomery is not a home rule municipality within Article VII, Section 6A of the 1970 Constitution of the State of Illinois, and therefore, acts pursuant to those powers granted to it under 65 ILCS 5/1-1 *et seq.*; and,

**WHEREAS**, the land described in Section One of this ordinance is within the boundaries of the Village of Montgomery and presently zoned (M-2) General Manufacturing District, and is sought by the petitioner to be granted an amendment to an existing special use for outdoor storage and a special use for alternative surfaces; and,

**WHEREAS**, all hearings required to be held before agencies of the Village took place pursuant to proper legal notice including publication; and,

**WHEREAS**, the Village Board, after consideration of an application to grant a special use for said property, has made the following findings of fact: (1) the establishment, maintenance, or operation of the special use will not be detrimental to or endanger the public health, safety, comfort, or general welfare; (2) the special use will not be injurious to the use and enjoyment of other property in the immediate vicinity for the purpose already permitted, and will not substantially diminish or impair property values in the neighborhood; (3) the establishment of the special use will not impede the normal and orderly development and improvement of the surrounding property for uses permitted in the district; (4) adequate utilities, access roads, drainage and/or necessary facilities have been, or are being, provided; (5) adequate measures have been, or will be, taken to provide ingress and egress so designed as to minimize traffic congestion in public streets; (6) the special use shall, in all other respects, conform to the applicable regulations of the district in which it is located, except as such regulations may, in each instance, be modified by the Village Board; and,

**NOW, THEREFORE, BE IT ORDAINED** by the President and Board of Trustees of the Village of Montgomery, Kane and Kendall Counties, Illinois, as follows:

**SECTION ONE: SPECIAL USE**

That the property legally described on the attached **Exhibit A** (hereby incorporated by reference) is granted a special use as follows:

Petitioner is granted an amendment to its existing special use for outdoor storage to allow for a maximum height of fifteen (15) feet.

Petitioner is granted a special use for an alternative surface, with such alternative surface limited to that which is allowed pursuant to its zoning as an M-2 General Manufacturing District, and as set forth in the proposed site plan attached as **Exhibit B** (hereby incorporated by reference).

The special use is granted upon the following conditions and restrictions pursuant to Sections 14.09(1-9) of the Village of Montgomery Zoning Ordinance:

- 1) The development of the site shall be in substantial conformance with **Exhibit B**, except with such deviations as are approved by Village staff in the finalization of the plans.
- 2) The use may not be expanded or changed, without prior Village approval pursuant to Section 14.09(1-9).
- 3) Said use is conditioned upon continued compliance with all Village ordinances and all regulations of the Village Zoning Ordinance, it being acknowledged that no Variances from said Ordinance have been granted in conjunction with this special use ordinance described herein and that any Variation shall require separate action by the Village Board.
- 4) The petitioner, and owner of record of the property in question (and their heirs assigns, lessees, etc.), by applying for this special use, agrees to be bound by all the terms of this ordinance and waives any claims of vested rights, reliance or other defenses, of any type or character, to defend against a revocation of said special use based on any violation of the above provisions. The provisions of this special use shall be binding on the heirs, successors and/or assigns of the petitioner and/or owners of record of the parcel described in **Exhibit A** hereof.
- 5) This special use shall expire if not commenced by owners within one year of the date of passage of this ordinance.
- 6) That in the event that the use of the property for outdoor storage with alternative surfaces ceases active operation for a period of more than six months, said use will be conclusively deemed abandoned, and may not be reinstated or continued without prior Village approval pursuant to Section 14.09(1-9).

The Village further conditions the special use on the following standards:

- 1) The Petitioner is required to submit a detailed plan describing the type and weight of vehicles, items to be stored on the surface, average daily vehicle trips conducted on the surface, other operations conducted on the site and dust mitigation activities. Processing of aggregate materials is prohibited.

- 2) Storage spaces, drives and aisles shall be constructed of a minimum of 10 inches of material uniformly compacted and approved by the Village Engineer. Specified material shall be approved by the Village Engineer. Additional material depth may be required based on the use of the site subject to Village Engineer approval.
- 3) The Petitioner is required to engage in periodic dust control measures, including treating the alternative surface with calcium chloride on an as-needed basis.
- 4) The Petitioner shall provide the Village an access easement over all areas utilizing an alternative surface pursuant to these provisions and a \$5,000 nuisance deposit. In accordance with said easement, the Village will conduct periodic reviews of the site's dust control and to perform any mitigating actions it deems necessary. Prior to engaging in site remediation, the Village will provide the Petitioner with written notice identifying the violation and the Petitioner will be afforded seventy-two (72) hours to resolve. To the extent that the Petitioner fails to adequately address said violation to the satisfaction of the Village, thereby requiring the Village to remediate said nuisance, the Village will deduct all applicable funds from the Petitioner's deposit. The Petitioner will be required to replenish said deposit within fifteen (15) days of receiving notice so that the account remains at its proper balance of \$5,000.00.
- 5) The alternative surface shall be maintained by the Petitioner to the satisfaction of the Director of the Community Development Department or other designee of the Village Administrator, including re-grading or restoration as needed due to traffic use, or storm related degradation. In the event that the alternative surface material degrades to a point that increases the nuisance occurrences the materials shall be removed and replaced with fresh material.
- 6) The Petitioner shall install and maintain all landscaping and fencing in accordance with the Landscape Plan submitted with its application so as to ensure that the storage area is adequately buffered and screened from view.

The Zoning Ordinance of the Village of Montgomery, Kane and Kendall Counties, Illinois is hereby amended to provide for said special use on said property and the clerk is directed to amend the zoning map of the Village of Montgomery to reflect this amendment.

## **SECTION TWO: GENERAL PROVISIONS**

**REPEALER:** All ordinances or portions thereof in conflict with this ordinance are hereby repealed.

**SEVERABILITY:** Should any provision of this Ordinance be declared invalid by a court of competent jurisdiction, the remaining provisions will remain in full force and effect the same as if the invalid provision had not been a part of this Ordinance.

EFFECTIVE DATE: This Ordinance shall be in full force and effect from and after its approval, passage and publication in pamphlet form as provided by law.

**PASSED AND APPROVED** by the President and Board of Trustees of the Village of Montgomery, Kane and Kendall Counties, Illinois this \_\_\_\_ day of \_\_\_\_\_, 2016.

---

Matthew Brolley,  
President of the Board of Trustees of the Village of Montgomery

ATTEST:

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Tiffany Francis,  
Clerk of the Village of Montgomery

	<b>Aye</b>	<b>Nay</b>	<b>Absent</b>	<b>Abstain</b>
Trustee Stan Bond	___	___	___	___
Trustee Pete Heinz	___	___	___	___
Trustee Steve Jungermann	___	___	___	___
Trustee Denny Lee	___	___	___	___
Trustee Doug Marecek	___	___	___	___
Trustee Theresa Sperling	___	___	___	___
Village President Matthew Brolley	___	___	___	___

**LIST OF EXHIBITS**

**Exhibit A: Legal Description**  
**Exhibit B: Site Plan**

#### LEGAL DESCRIPTION OF SPECIAL USE TRACT:

That Part of the Southwest Quarter of Section 28, Township 38 North, Range 8 East of the Third Principal Meridian described as follows: Commencing at the Northwest Corner of a Tract conveyed to George Stafford and Kathleen B. Stafford by Warranty Deed recorded as Document No. 1813350 on December 19, 1966; thence North  $30^{\circ}08'00''$  East, along the Easterly Right-of-Way of the Burlington Northern Santa Fe Railroad (formerly the Chicago, Burlington and Quincy Railroad Company), being parallel with and 80.0 feet normally distant Southeasterly of the centerline of the most Easterly main track of said railroad as now located, 323.12 feet for the point of beginning; thence continuing North  $30^{\circ}08'00''$  East, along said Easterly Right-of-Way, 276.18 feet to the South Line of South End Addition to Aurora, according to the Plat thereof recorded in Plat Book 7 at Page 67 on January 29, 1890; thence South  $83^{\circ}11'56''$  East, along said South Line, 171.20 feet; thence South  $07^{\circ}13'27''$  West, 245.91 feet to a line drawn South  $84^{\circ}46'49''$  East, 278.88 feet from the point of beginning; thence North  $84^{\circ}46'49''$  West, 278.88 feet to the point of beginning; all in the Village of Montgomery, Kane County, Illinois.

#### LEGAL DESCRIPTION OF PARENT TRACT:

That Part of the Southwest Quarter of Section 28 and that Part of the Northwest Quarter of Section 33, Township 38 North, Range 8 East of the Third Principal Meridian described as follows: Beginning at the Northwest Corner of a Tract conveyed to George Stafford and Kathleen B. Stafford by Warranty Deed recorded as Document No. 1813350 on December 19, 1966; thence North  $30^{\circ}08'00''$  East, along the Easterly Right-of-Way of the Burlington Northern Santa Fe Railroad (formerly the Chicago, Burlington and Quincy Railroad Company), being parallel with and 80.0 feet normally distant Southeasterly of the centerline of the most Easterly main track of said railroad as now located, 599.30 feet to an Old Claim Line, being also the South Line of South End Addition to Aurora, according to the Plat thereof recorded in Plat Book 7 at Page 67 on January 29, 1890; thence South  $83^{\circ}11'56''$  East, along said South Line, 295.89 feet to an iron pipe monument; thence South  $06^{\circ}48'04''$  West, perpendicular to said South Line, 161.0 feet to the Southwest Corner of a Tract conveyed to Jesus R. Celis and Manuela Valenzuela by Warranty Deed recorded as Document 2006K107505 recorded October 2, 2006; thence South  $82^{\circ}51'17''$  East, along the South Line of said Celis/Valenzuela Tract (as occupied and monumented), 200.91 feet to the West Line of Sard Avenue; thence South  $30^{\circ}06'51''$  West, along said West Line, 78.17 feet; thence South  $83^{\circ}11'56''$  East, 35.93 feet to the centerline of Sard Avenue; thence South  $30^{\circ}06'51''$  West, along said centerline, 254.60 feet; thence North  $83^{\circ}09'43''$  West, 35.92 feet to the West Line of Sard Avenue; thence South  $30^{\circ}06'51''$  West, along said West Line, 100.0 feet to the Northeast Corner of said Stafford Tract; thence North  $82^{\circ}14'55''$  West, along the North Line of said Stafford Tract, 562.98 feet to the point of beginning, all in the Village of Montgomery, Kane County, Illinois.

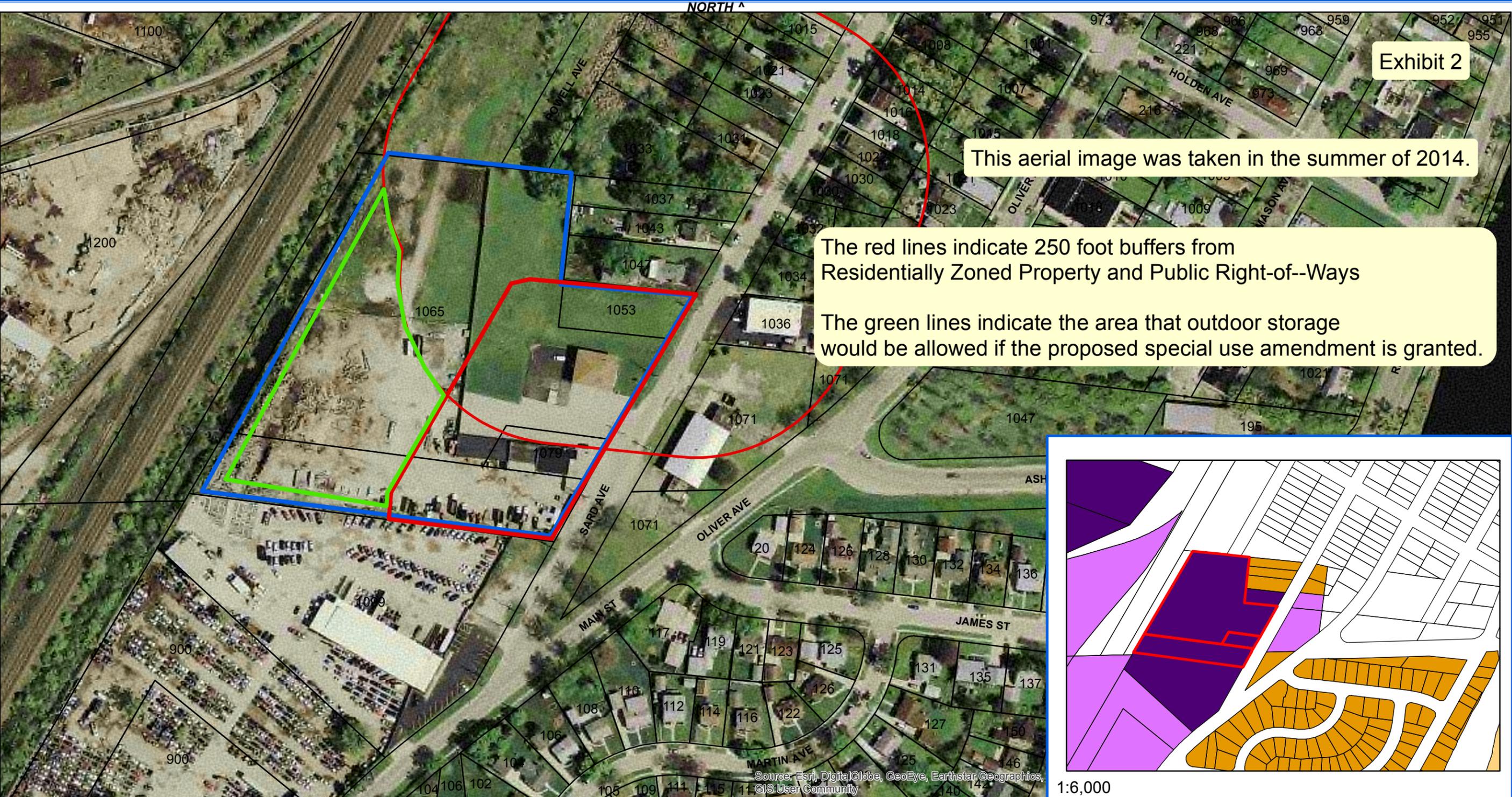


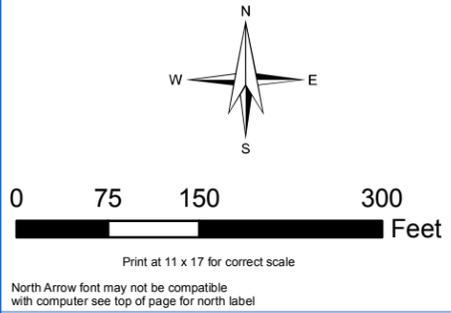
Exhibit 2

This aerial image was taken in the summer of 2014.

The red lines indicate 250 foot buffers from Residentially Zoned Property and Public Right-of--Ways  
 The green lines indicate the area that outdoor storage would be allowed if the proposed special use amendment is granted.



Village of Montgomery  
 200 N. River Street  
 Montgomery, IL 60538  
 630-896-8080



## Alternative Surfaces Setbacks from Residential and Public Right-of-Ways J.P.C. Tree Care L.L.C. 1065 and 1079 Sard Avenue

- Legend**
- MD MILL DISTRICT
  - B-1 LOCAL RETAIL BUSINESS DISTRICT
  - B-2 GENERAL RETAIL BUSINESS DISTRICT
  - B-3 GENERAL AUTOMOTIVE AND WHOLESALE BUSINESS DISTRICT
  - FLOOD
  - M-1 LIMITED MANUFACTURING DISTRICT
  - M-2 GENERAL MANUFACTURING DISTRICT
  - R-2 ONE-FAMILY RESIDENCE DISTRICT
  - R-3 TRADITIONAL NEIGHBORHOOD RESIDENCE DISTRICT
  - R-4 TRADITIONAL NEIGHBORHOOD RESIDENCE DISTRICT
  - R-5A TWO-FAMILY RESIDENCE DISTRICT
  - R-5B ATTACHED SINGLE FAMILY RESIDENCE DISTRICT
  - R-6 MULTIPLE FAMILY RESIDENCE DISTRICT
- This information is for reference purposes only and the Village of Montgomery is not responsible for its accuracy



**VILLAGE OF MONTGOMERY  
REQUEST FOR BOARD OF TRUSTEES ACTION  
FOR INCLUSION ON BOARD AGENDA**

- Resolution or Ordinance (Blue)  
 Recommendation of Boards, Commissions & Committees (Green)  
 Other Business (Pink)

**To:** Village President and Board of Trustees

**From:** Jeff Zoepfel, Village Administrator

**Date:** February 1, 2016

**B of T Date:** February 22, 2016

**Subject:** Ordinance 1715 Amending the Village Code Section 20-32 (a) Regarding Tapping Fees

**Submitted By:** Peter G. Wallers, P.E., CFM, Village Engineer

**Background/Policy Implications:**

The current Village Residential Tapping fee was derived from a calculation based on the Village's cost to provide water supply and storage to new customers; that amount is \$1,026.00 per population equivalent. A single family home is assigned a population equivalent of 3.5 PE (consistent with IEPA permitting standards); therefore the cost to provide water and sewer to a new single family home was computed to be \$3,590.00 and is what the Village uses currently.

The Village's Residential Tapping fee is a straight \$3,590.00 per residential unit regardless of whether it is a single family home or a single bedroom apartment unit.

Because population equivalents vary by residential unit type, staff is recommending that Sec. 20-32 (a) be revised to reflect a more accurate method of assigning population equivalents and therefore a more accurate method of determining residential impact and the corresponding fee.

**Describe Fiscal Impact/Budget Account Number and Cost:**

**Review:**

Village Administrator Jeff Zoepfel

**NOTE:** All materials must be submitted to and approved by the Village Administrator by 12:00 noon, Thursday, prior to the Agenda distribution.



**VILLAGE OF MONTGOMERY**

**ORDINANCE NO. 1715**

---

**AN ORDINANCE AMENDING VILLAGE CODE SECTION 20-32(A)  
(TAPPING FEES)  
IN THE VILLAGE OF MONTGOMERY  
KANE AND KENDALL COUNTIES, ILLINOIS**

---

PASSED BY THE PRESIDENT AND BOARD OF TRUSTEES  
OF THE VILLAGE OF MONTGOMERY, KANE AND KENDALL COUNTIES, ILLINOIS  
THIS \_\_\_\_ DAY OF \_\_\_\_\_, 2016.

PUBLISHED IN PAMPHLET FORM BY AUTHORITY  
OF THE PRESIDENT AND BOARD OF TRUSTEES  
OF THE VILLAGE OF MONTGOMERY, KANE AND KENDALL COUNTIES,  
ILLINOIS, THIS \_\_\_\_ DAY OF \_\_\_\_\_, 2016.

**ORDINANCE NO. 1715**

**AN ORDINANCE AMENDING VILLAGE CODE SECTION 20-32(A)  
(TAPPING FEES)  
IN THE VILLAGE OF MONTGOMERY  
KANE AND KENDALL COUNTIES, ILLINOIS**

**BE IT ORDAINED** by the Board of Trustees of the Village of Montgomery, Kane and Kendall Counties County, Illinois as follows:

**WHEREAS**, the Village of Montgomery (“Village”) is not a home rule municipality within Article VII, Section 6A of the 1970 Constitution of the State of Illinois and therefore, acts pursuant to those powers granted to it under 65 ILCS 5/1-1 *et seq.*; and,

**WHEREAS**, the Village of Montgomery Board of Trustees (“Village Board”) has established a water and sewer tap on fee ordinance; and,

**WHEREAS**, the Village Board is charged with the continuous administration of the Village of Montgomery Village Code of Ordinances (“Village Code”) and finds that it is in the best interests of it citizens to revise and update its ordinances from time to time; and,

**WHEREAS**, the Village Board finds that having a residential tap on fee based upon population equivalents relative to the type of residential unit is in the best interest of the Village and its residents and wishes to amend the Village Code in this regard; and,

**NOW, THEREFORE, BE IT ORDAINED** by the President and Board of Trustees of the Village of Montgomery, Kane and Kendall Counties, Illinois, as follows:

**SECTION ONE: ORDINANCE AMENDED.**

The Village of Montgomery Village Code Section 20-32, only as it pertains to subsection (a), is hereby amended to read as follows. All remaining provisions are to remain in full force and effect.

**Sec. 20-32. - Tapping Fees.**

(a) Before attaching to the public water mains of the Village for any residential property, a per Population Equivalent (PE) fee of \$1,026.00 per PE must be paid by the owner or his agent. All fees are paid by Population Equivalent rather than by structure and shall be paid in accordance with the following schedule for residential properties (e.g., a single family home shall pay a tap on fee of 3.5 PE times \$1,026.00/PE for a total tap on fee of \$3,591.00). Residential lines and fire suppression lines into a structure must be separate. Taps for fire suppression systems will be one-half the regular tapping fee amount.

Size of Residence	Population Equivalent
Efficiency or Studio Apartment	1 Population Equivalent
1 Bedroom Apartment	1.5 Population Equivalent
2 Bedroom Apartment	3 Population Equivalent
3 Bedroom Apartment	3 Population Equivalent
Townhome	3 Population Equivalent
Single Family Home	3.5 Population Equivalent

**SECTION TWO: GENERAL PROVISIONS.**

**REPEALER:** All ordinances or portions thereof in conflict with this ordinance are hereby repealed.

**SEVERABILITY:** Should any provision of this Ordinance be declared invalid by a court of competent jurisdiction, the remaining provisions will remain in full force and effect the same as if the invalid provision had not been a part of this Ordinance.

**EFFECTIVE DATE:** This Ordinance shall be in full force and effect from and after its approval, passage and publication in pamphlet form as provided by law.

**PASSED AND APPROVED** by the President and Board of Trustees of the Village of Montgomery, Kane and Kendall Counties, Illinois this \_\_\_\_ day of \_\_\_\_\_, 2016.

\_\_\_\_\_  
 Matthew Brolley,  
 President of the Board of Trustees of the Village of Montgomery

ATTEST:

\_\_\_\_\_  
 Tiffany Francis,  
 Village Clerk of the Village of Montgomery

	Aye	Nay	Absent	Abstain
Trustee Stan Bond	___	___	___	___
Trustee Pete Heinz	___	___	___	___
Trustee Steve Jungermann	___	___	___	___
Trustee Denny Lee	___	___	___	___
Trustee Doug Marecek	___	___	___	___
Trustee Theresa Sperling	___	___	___	___
Village President Matthew Brolley	___	___	___	___





February 18, 2016

Mr. Jeff Zoephel  
Village Administrator  
Village of Montgomery  
200 N. River Street  
Montgomery, IL 60538

**Re: 2016 Infrastructure Road Program  
Village of Montgomery  
Kane and Kendall Counties, Illinois  
Budget No.: 133-4030-520-45-05**

Dear Mr. Zoephel:

Bids were received, opened and tabulated for work to be done on the above referenced project at 11:00 a.m., February 16, 2016. Representatives from the contractors bidding the project and our firm were in attendance.

The bidding for this project consisted of two different alternates. Alternate 1 was traditional resurfacing methods on all of the roads shown to be completed this year per the Pavement Management Report. Alternate 2 was traditional resurfacing methods on all of the roads per the Pavement Management Report except the downtown alleys. In Alternate 2 the alleys are being reconstructed with a Porous Asphalt cross section to help with the long standing drainage issues.

During the bid opening it was noticed that some bid schedule sheets had been placed out of order between the two different alternates and a correction was made at the opening. After further review of the bids at the office it was noticed that the as-read totals did not match the actual bid amounts. Therefore a correction to the bid tabs needed to be made as noted in the bid tab attached. This bid irregularity was discussed with the Village Attorneys and was determined to be inconsequential and did not affect the bid outcome.

At this time we recommend the acceptance of the bid and approval of award for Alternate 1 or Alternate 2 be made to the low bidder, Geneva Construction, Rte. 25 and Indian Trail, P.O. Box 998, Aurora, Illinois, in the amount of \$1,317,343.50 (Alt. 1) or \$1,431,464.50 (Alt. 2), which is 14.31% (Alt. 1) or 17.24% (Alt. 2) under the Engineer's Estimate of \$1,537,352.00 (Alt. 1) or \$1,729,566.00 (Alt. 2). Attached please find a tabulation of bids for your reference.

Mr. Jeff Zoepfel  
Village Administrator  
February 18, 2016  
Page 2

Both Public Works and Engineering are recommending that the Board consider award of Alternate 2 which includes the construction of new aggregate base and permeable pavement in the alleys. This improvement will provide improved drainage in the older section of the Village that was constructed prior to the inclusion of rear yard drainage systems as a standard.

If you have any questions or need additional information, please call.

Respectfully submitted,

ENGINEERING ENTERPRISES, INC.



Peter G. Wallers, P.E., CFM  
President

CJO/ars  
Enclosure

pc: TVW, EEI (via email)

**BID SUMMARY  
2016 INFRASTRUCTURE ROAD PROGRAM  
VILLAGE OF MONTGOMERY**

<b>BID TABULATION</b> BIDS RECEIVED 11:00 A.M. 02/16/16	<b>D. CONSTRUCTION</b> 1488 South Broadway Coal City, IL 60416	<b>BUILDERS PAVING, INC.</b> 4413 W. Roosevelt Road Suite 108 Hillside, IL 60162	<b>GENEVA CONSTRUCTION</b> Rte 25 & Indian Trail P.O. Box 998 Aurora, IL 60507	<b>K-FIVE CONSTRUCTION CORPORATION</b> 13769 Main Street Lemont, IL 60439	<b>ENGINEER'S ESTIMATE</b> 52 Wheeler Road Sugar Grove, IL 60554
<b>TOTAL BID ALTERNATE 1 (AS READ)</b>	NO BID	\$1,348,843.00	\$1,280,378.00	NO BID	\$1,537,352.00
<b>TOTAL BID ALTERNATE 1 (CORRECTED)</b>	NO BID	\$1,348,843.00	\$1,317,343.50	NO BID	\$1,537,352.00
<b>TOTAL BID ALTERNATE 2 (AS READ)</b>	NO BID	\$1,495,594.00	\$1,468,430.00	NO BID	\$1,729,566.00
<b>TOTAL BID ALTERNATE 2 (CORRECTED)</b>	NO BID	\$1,495,594.00	\$1,431,464.50	NO BID	\$1,729,566.00
<b>ADDENDUM NO. 1</b>		X	X		
<b>BID BOND</b>		X	X		
<b>SIGNED BID</b>		X	X		





## Interdepartmental Memo

**To:** Jeff Zoephel, Village Administrator

**From:** Todd Hoppenstedt, Director of Public Works

**Date:** February 18, 2016

**Re:** Recommendation to Purchase Parkway Trees

---

The current remaining FY16 aggregate budget for the tree program is \$63,317.62, of which I am recommending the \$41,950.00 purchase of approximately 280 replacement parkway trees. These trees will be planted using in-house staff. I am attaching a breakdown of costs and tree species from the two nurseries that we would like to work with. Due to the scope of the Emerald Ash Borers damage to trees throughout the Midwest, nursery stock is significantly depleted and costs continue to rise based on demand for a quality, healthy, and appropriately sized tree. We feel that through Ed Pfaff's close work with the nurseries, we have gotten some fair pricing. While the size of these available trees may be slightly smaller than we would prefer, it will make in-house insulation easier and more efficient. Some quantities may change slightly as we get closer to the nursery dig period, as during this dormant time it is difficult for the nursery to gather data related to each tree's health and viability. As the trees are dug, we may have to adjust tree quantities and species to get the healthiest trees for our order. We will however adjust as necessary while keeping the total purchases under the approved value.

**Fox Ridge Nursery**                      **approximately 119 trees at \$17,720.00**

**Winding Creek Nursery**                **approximately 162 tree at \$24,230.00**

**Approximate total 281 trees not to exceed \$41,950**

Variety	Size	Price	# ordered	
Amelanchier Spring Flurry Serviceberry	2"	\$ 160.00	5	\$ 800.00
Acer rubrum Red Pointe	2"	\$ 140.00	10	\$ 1,400.00
Carpinus Carolina American Hornbeam	2"	\$ 170.00	0	\$ -
Celtis Chicagoland Hackberry	2"	\$ 150.00	30	\$ 4,500.00
Gleditsia Northern Acclaim Honey Locust	2"	\$ 150.00	15	\$ 2,250.00
Liriodendron Tulip tree	2"	\$ 150.00	10	\$ 1,500.00
Platanus X acerifolia Exclamation Planetree	2"	\$ 150.00	15	\$ 2,250.00
Quercus alba white Oak	2"	\$ 180.00	2	\$ 360.00
Quercus bicolor Swamp white Oak	2"	\$ 160.00	5	\$ 800.00
Quercus rubra Red Oak	2 1/2"	\$ 195.00	5	\$ 975.00
Quercus X Heritage Oak	2"	\$ 160.00	3	\$ 480.00
Ulmus X Princeton	2"	\$ 145.00	5	\$ 725.00
Ulmus X Frontier	2"	\$ 145.00	2	\$ 290.00
Ulmus X New Horizon	2"	\$ 145.00	20	\$ 2,900.00
Ulmus X Patriot	1 3/4-2"	\$ 145.00	0	\$ -
Acer Freemanii Autumn Blaze	2"	\$ 140.00	10	\$ 1,400.00
Acer Freemanii Sienna Glenn	2"	\$ 140.00	10	\$ 1,400.00
Acer sacc. Green Mountain Sugar Maple	2"	\$ 140.00	10	\$ 1,400.00
Cercis canadensis American Redbud	2"	\$ 160.00	5	\$ 800.00
Malus, Larger varieties	2"	\$ 130.00	0	\$ -
			<b>162</b>	<b>\$ 24,230.00</b>

*Fox Ridge*

							Number ordered	
SPAETHS ALDER	ALNUS SPAETHIII	2"	\$140.00	16	\$2,240.00			
PRAIRIE HORIZON ALDER	ALNUS HIRSUTA PRAIRIE HORIZON 1.75"	1.75	\$130.00	15	\$1,950.00			
KATSURA TREE	CERCIDIPHYLLUM JAPONICUM	2"	\$170.00	10	\$1,700.00			
PRINCETON SENTRY MAIDENHAIR TREE	GINKGO BILOBA PRINCETON SENTRY 1.5"	1.5"	\$150.00	15	\$2,250.00			
TULIPTREE	LIRIODENDRON TULIPIFERA	2"	\$155.00	15	\$2,325.00			
SUMMERTIME MAACKIA	MAACKIA AMURENSIS SUMMERTIME 1.5"	1.5"	\$120.00	5	\$600.00			
SOUR GUM	NYSSA SYLVATICA 1.5"	1.5"	\$150.00	5	\$750.00			
CHINKAPIN OAK	QUERCUS MUEHLENBERGII 1.5"	1.5"	\$135.00	8	\$1,080.00			
HILL'S OAK	QUERCUS ELLIPSOIDALIS	2"	\$170.00	10	\$1,700.00			
ESPRESSO KENTUCKY COFFEETREE	GYMNOCLADUS DIOICUS ESPRESSO 2"	1.75	\$160.00	5	\$800.00			
New harmony Elm	Ulmus Americana	2"	\$155.00	<u>15</u>	<u>\$2,325.00</u>			
				<b>119</b>	<b>\$17,720.00</b>			



**VILLAGE OF MONTGOMERY  
REQUEST FOR BOARD OF TRUSTEES ACTION  
FOR INCLUSION ON BOARD AGENDA**

- Resolution or Ordinance (Blue)  
 Recommendation of Boards, Commissions & Committees (Green)  
 Other Business (Pink)

**To:** Village President and Board of Trustees

**From:** Jeff Zoepfel, Village Administrator

**Date:** February 19, 2016

**B of T Date:** February 22, 2016

**Subject:** Resolution 2016-002 Authorizing Application for Kane County Riverboat Funds and Execution of All Necessary Documents

**Submitted By:** Richard Young, Director of Community Development

**Background/Policy Implications:**

The Rotary Club of Montgomery has partnered with Village staff to complete an application for a Grand Victorian Riverboat Fund Grant and is seeking approval of the application from the Village Board. The goal of the application is create funds for the installation of two Village entry monument sign areas with landscaping at key locations within the Village. The Rotary Club is an enthusiastic partner with the Village on this project and through their Foundation has picked up this idea for Village entry signage areas which has been talked about but never funded for over ten years.

**Describe Fiscal Impact/Budget Account Number and Cost:**

The grant application requests \$20,000 from the Riverboat Fund towards the creation of these areas. The Village and the Rotary Club will provide matching funds, in-kind services and donations of materials for this project.

**Review:**

Village Administrator Jeff Zoepfel

**NOTE:** All materials must be submitted to and approved by the Village Administrator by 12:00 noon, Thursday, prior to the Agenda distribution.



## RESOLUTION NO. 2016-002

### **AUTHORIZING APPLICATION FOR KANE COUNTY RIVERBOAT FUNDS AND EXECUTION OF ALL NECESSARY DOCUMENTS**

WHEREAS, the Village of Montgomery has determined that it in its best interests to submit an application for Kane County Riverboat Funds in the amount of \$20,000.00 for Village entry monument signage areas; and

WHEREAS, said project will allow the Village in cooperation with the Rotary Club of Montgomery to create Village entry monument signage areas at key locations within the Village; and;

WHEREAS, the Kane County Board must approve said application and will require the Village of Montgomery to execute a Funding Agreement and other necessary documents upon such approval:

NOW, THEREFORE, BE IT RESOLVED that Village Administrator Jeff Zoepfel is hereby authorized to execute the 2016 Kane County Riverboat Fund Program Application, a Funding Agreement and other necessary documents upon approval of the application by the Kane County Board, and any requests for payment and documentation required to be submitted by the Village of Montgomery to Kane County requesting the dispersal of funds.

BE IT FURTHER RESOLVED, that any changes to the above-stated project description must be approved by the Village Administrator.

**PASSED AND APPROVED** by the President and Board of Trustees of the Village of Montgomery, Kane and Kendall Counties, Illinois, this 22<sup>nd</sup> day of February 2016.

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President of the Board of Trustees of the Village of  
Montgomery, Kane and Kendall Counties, Illinois

AYES: \_\_\_\_\_

NAYS: \_\_\_\_\_

ABSENT: \_\_\_\_\_

ATTEST: \_\_\_\_\_  
Clerk, Village of Montgomery



**VILLAGE OF MONTGOMERY  
REQUEST FOR BOARD OF TRUSTEES ACTION  
FOR INCLUSION ON BOARD AGENDA**

- Resolution or Ordinance (Blue)
- Recommendation of Boards, Commissions & Committees (Green)
- Other Business (Pink)

**To:** Village President and Board of Trustees

**From:** Jeff Zoepfel, Village Administrator

**Date:** February 18, 2016

**B of T Date:** February 22, 2016

**Subject:** Fiscal Year 2017 Capital and Other Funds Budget

**Submitted By:** Justin VanVooren, Director of Finance

**Background/Policy Implications:**

The Village of Montgomery's annual budget is a comprehensive plan describing the dollars required for financing the many services and programs provided to its citizens. The budget process is an opportunity for the Village to assess and evaluate how it commits its financial resources and makes decisions regarding their continued use. The process will result in a clearly spelled out plan for the future of this organization and a general collective understanding of how and where limited fiscal resources will be directed.

We will discuss the following funds in detail, while the remaining funds will only be briefly mentioned:

1. Motor Fuel Tax Fund
2. Capital Improvement Fund
3. Infrastructure Improvement Fund
4. TIF #1 Fund
5. TIF #2 Fund
6. TIF #3 Fund
7. Vehicle Reserve Fund
8. Refuse Fund

**Describe Fiscal Impact/Budget Account Number and Cost:**

NA

**Review:**

Village Administrator Jeff Zoepfel

**NOTE:** All materials must be submitted to and approved by the Village Administrator by 12:00 noon, Thursday, prior to the Agenda distribution.

**Village of Montgomery  
Capital and Other Funds Annual Budget  
FY2017 Proposed Budget**

- **Motor Fuel Tax**
  - Final payment on US Route 30 widening – \$88,000
  - Road salt – \$175,000
  - No projects for fiscal year 2017 (every other year)
  
- **Capital Improvement**
  - Blackberry Crossing West bike path – \$93,000 (developer)
  - Orchard Prairie North/Saratoga Springs sidewalk – \$35,000 (developer)
  - Light Road Crossing – \$99,000 (100% ICC grant)
  - Public Works site planning (\$18,000) and leaf transfer improvements (\$60,000)
  
- **Infrastructure Improvement**
  - Revenues – \$2.6 million
  - Expenditures
    - Infrastructure Road Program (IRP) – \$1.4 million
    - Briarcliff Road LAFO – \$857,000 (\$500,000 grant)
  
- **TIF #1**
  - Expenditures
    - Rough grading and seeding (Village parcel) – \$50,000
    - Pearl Street storm sewer – \$363,000 (funded by debt issuance)
  
- **TIF #2**
  - Revenues – \$247,000
  - Expenditures – Surplus refund of \$180,000
  
- **TIF #3**
  - Consideration of new tax increment financing district
  
- **Vehicle Reserve**
  - Revenues – General and Water are now contributing at 100%
  - Expenditures
 

▪ 803	Replace 2010 Ford Crown Vic	Police	\$48,460
▪ 814	Replace 2011 Ford Crown Vic	Police	\$48,460
▪ 822	Replace 2012 Chevy Tahoe	Police	\$48,460
▪ 860	Replace 2006 Chevy Impala	Police	\$29,418
▪ 203	2003 GMC 2500HD	Public Works	\$38,000
▪ 263	2006 Ford F550	Public Works	\$93,000
▪ 264	2005 International 7400 (10 YD)	Public Works	\$203,000
▪ 270	2007 Chevy Trailblazer	Public Works	\$38,000
▪ 271	2007 Chevy Trailblazer	Public Works	\$35,000
▪ 361	2007 Dinkmar Leafmast	Public Works	\$170,000
▪ New	2016 2500HD	Public Works	\$38,000

**Village of Montgomery  
Capital and Other Funds Annual Budget  
FY2017 Proposed Budget**

- **Refuse**
  - Contract with Republic expires December 31, 2016
  - Request for Proposals with options to add refuse carts and/or street sweeping
  
- The following are the remaining funds and have normal revenue and expenditures, other than noted:
  - Economic Development Loan Fund
  - Forfeiture and Seizure Fund – Completion of Software Upgrade
  - E-911 Fund – Completion of Software Upgrade
  - Lakewood Creek Fund
  - Debt Service Fund
  - Employee Insurance Fund
  - Police Pension Fund
  - Police Gift Fund
  - Special Service Area Funds
    - Montgomery Crossing
    - Blackberry Crossing
    - Fairfield Way
    - Arbor Ridge – Dredging – \$20,000
    - Foxmoor
    - Saratoga Springs
    - Orchard Prairie North
    - Blackberry Crossing West
    - Fieldstone Place
    - Balmorea
    - Marquis Pointe
    - Ogden Hill

VILLAGE OF MONTGOMERY  
BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
MOTOR FUEL TAX FUND REVENUES AND EXPENDITURES

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected			
<b>REVENUES</b>															
<b>Other Taxes</b>															
120-420-31-09			Alloiments - High Growth Cities	46,619	46,578	49,337	49,433	49,550	49,454	49,454	49,650	49,650	-	49,750	49,850
120-420-34-01			Alloiments - Motor Fuel Tax	523,908	513,151	529,289	600,052	448,043	397,200	466,139	477,544	477,544	-	477,544	477,544
Total Other Taxes	570,527	559,729		578,626	649,485	497,593	446,654	515,593	527,194	527,194	-	527,294	-	527,394	527,394
<b>Charges for Services</b>															
120-430-49-01			Other Reimbursements - Streets	-	-	5,832	1,268	-	-	-	-	-	-	-	-
Total Charges for Services	-	-		-	-	5,832	1,268	-	-	-	-	-	-	-	-
<b>Intergovernmental Revenue</b>															
120-420-31-28			State Grant - Emergency Repair Program	-	-	-	-	-	-	-	-	-	-	-	-
120-420-31-31			State Grant - Douglas Road (LAFO)	-	-	25,841	4,203	-	7,256	-	-	-	-	-	-
120-420-31-33			State Grant - Webster Street Crossing (ICC)	-	-	-	-	100,000	-	-	-	-	-	-	-
Total Intergovernmental Revenue	-	-		-	-	25,841	4,203	100,000	7,256	-	-	-	-	-	-
<b>Investment Income</b>															
120-460-60-01			Investment Earnings - Interest Income	177	182	116	382	100	366	504	500	500	-	500	500
Total Investment Income	177	182		177	182	116	382	100	366	504	500	500	-	500	500
Total Revenues	570,704	559,911		610,415	655,338	597,693	454,276	516,097	527,694	527,694	-	527,794	-	527,894	527,894
<b>EXPENDITURES</b>															
<b>Purchased Services - Professional and Technical</b>															
120-4030-520-30-05			Engineering Services - Municipal Projects	45,404	72,898	59,711	104,397	61,000	32,311	32,311	-	-	-	63,000	-
Total Purchased Services - Professional and Technical	45,404	72,898		45,404	72,898	59,711	104,397	61,000	32,311	32,311	-	-	-	63,000	-
<b>Purchased Services - Property</b>															
120-4030-530-45-05			Infrastructure Repair and Maintenance - Streets and Alleys	317,383	366,124	480,519	649,293	429,299	430,130	430,130	88,000	88,000	-	637,000	-
Total Purchased Services - Property	317,383	366,124		317,383	366,124	480,519	649,293	429,299	430,130	430,130	88,000	88,000	-	637,000	-
<b>Supplies and Materials</b>															
120-4030-550-62-01			Public Works Supplies - Snow Removal	70,720	106,821	131,058	248,220	250,500	35,786	155,000	174,250	174,250	-	184,250	194,250
Total Supplies and Materials	70,720	106,821		70,720	106,821	131,058	248,220	250,500	35,786	155,000	174,250	174,250	-	184,250	194,250
Total Expenditures	433,507	545,843		671,288	1,001,910	740,799	498,227	617,441	262,250	262,250	-	884,250	-	884,250	194,250
Net Change in Fund Balance	137,197	14,068		(60,873)	(346,572)	(143,106)	(43,951)	(101,344)	265,444	265,444	-	(356,456)	-	333,644	333,644
Beginning Fund Balance	618,826	756,023		770,091	709,218	362,646	362,646	362,646	261,302	261,302	261,302	526,746	-	526,746	170,290
Ending Fund Balance	756,023	770,091		709,218	362,646	219,540	318,695	261,302	526,746	526,746	261,302	170,290	-	503,934	503,934

**VILLAGE OF MONTGOMERY**  
**BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017**  
**CAPITAL IMPROVEMENT FUND REVENUES AND EXPENDITURES**

		FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>													
<b>Other Taxes</b>													
130-400-12-01	Utility Tax - Electric	569,714	621,547	622,088	598,858	619,287	479,606	637,968	644,348	644,348	-	650,791	657,299
130-400-12-02	Utility Tax - Natural Gas	216,324	177,483	286,834	265,968	333,402	107,185	217,126	219,297	219,297	-	221,490	223,705
130-400-12-03	Utility Tax - Telecommunications	474,998	412,277	364,409	381,664	392,667	260,469	333,133	336,464	336,464	-	339,829	343,227
<b>Total Other Taxes</b>		<b>1,261,036</b>	<b>1,211,307</b>	<b>1,273,331</b>	<b>1,246,490</b>	<b>1,345,356</b>	<b>847,260</b>	<b>1,188,227</b>	<b>1,200,109</b>	<b>1,200,109</b>	<b>-</b>	<b>1,212,110</b>	<b>1,224,231</b>
<b>Charges for Services</b>													
130-470-70-02	Development Fees - Concord	-	-	1,699	-	-	-	-	-	-	-	-	-
130-470-70-05	Development Fees - Cambridge	8,157	-	-	-	-	-	-	-	-	-	-	-
130-470-70-10	Development Fees - Saratoga Springs	-	-	4,136	9,306	2,585	14,234	14,234	2,585	2,585	-	2,585	-
130-470-70-12	Development Fees - Orchard Prairie North	-	-	3,102	5,170	2,585	3,102	3,102	2,068	2,068	-	-	-
130-470-70-13	Development Fees - Marquis Pointe	-	-	3,400	-	6,800	-	-	6,800	6,800	-	6,800	6,800
130-470-71-02	Traffic Control Fees - Cambridge	4,455	-	-	-	-	-	-	-	-	-	-	-
<b>Total Charges for Services</b>		<b>12,612</b>	<b>-</b>	<b>12,337</b>	<b>14,476</b>	<b>11,970</b>	<b>17,336</b>	<b>17,336</b>	<b>11,453</b>	<b>11,453</b>	<b>-</b>	<b>9,385</b>	<b>6,800</b>
<b>Intergovernmental Revenue</b>													
130-420-30-08	Federal Grants - Transportation Bill	10,185	-	-	-	-	-	-	-	-	-	-	-
130-420-31-16	State Grant - LAPP Baseline	-	12,405	47,594	-	-	-	-	-	-	-	-	-
130-420-31-23	State Grant - Landmarks Illinois	2,390	-	-	-	-	-	-	-	-	-	-	-
130-420-31-29	State Grant - LAPP (Lakewood Creek)	32,843	-	-	-	-	-	-	-	-	-	-	-
130-420-32-13	Local Grant - ICC (Light Road)	-	7,000	25,290	2,579	96,000	-	3,228	99,000	99,000	-	-	-
<b>Total Intergovernmental Revenue</b>		<b>45,418</b>	<b>19,405</b>	<b>72,884</b>	<b>2,579</b>	<b>96,000</b>	<b>-</b>	<b>3,228</b>	<b>99,000</b>	<b>99,000</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Investment Income</b>													
130-460-60-01	Interest Income - Cash and Investments	22	30	5	796	1,800	2,907	3,716	4,000	4,000	-	4,500	5,000
130-460-60-03	Interest Income - Mortgages	11,747	10,346	892	-	-	-	-	-	-	-	-	-
<b>Total Investment Income</b>		<b>11,769</b>	<b>10,376</b>	<b>897</b>	<b>796</b>	<b>1,800</b>	<b>2,907</b>	<b>3,716</b>	<b>4,000</b>	<b>4,000</b>	<b>-</b>	<b>4,500</b>	<b>5,000</b>
<b>Miscellaneous</b>													
130-430-46-05	Police Reimbursements - Mobile CAD/RMS Interface	16,120	-	16,112	-	-	-	-	-	-	-	-	-
130-430-49-09	Other Reimbursements - Miscellaneous	-	-	136,251	-	90,000	90,000	90,000	127,951	127,951	-	-	-
130-430-49-14	Other Reimbursements - Sale of Village Hall	-	-	252,635	-	-	-	-	-	-	-	-	-
130-470-73-08	Donations - Settlers Cottage	3,090	3,708	811	475	1,000	237	300	1,000	1,000	-	1,000	1,000
130-465-65-02	Rents and Royalties - Water Tower Rental	-	86,052	83,274	113,578	67,783	109,255	135,548	69,817	69,817	-	71,912	74,069
<b>Total Miscellaneous</b>		<b>19,210</b>	<b>89,760</b>	<b>489,083</b>	<b>114,053</b>	<b>158,783</b>	<b>199,492</b>	<b>225,848</b>	<b>198,768</b>	<b>198,768</b>	<b>-</b>	<b>72,912</b>	<b>75,069</b>
<b>Long-Term Debt Issue</b>													
130-490-90-04	Village Hall Proceeds	9,853	10,302	-	-	-	-	-	-	-	-	-	-
<b>Total Long-Term Debt Issue</b>		<b>9,853</b>	<b>10,302</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Revenues</b>		<b>1,359,898</b>	<b>1,341,150</b>	<b>1,848,532</b>	<b>1,378,394</b>	<b>1,613,909</b>	<b>1,066,995</b>	<b>1,438,355</b>	<b>1,513,330</b>	<b>1,513,330</b>	<b>-</b>	<b>1,298,907</b>	<b>1,311,100</b>
<b>EXPENDITURES</b>													
<b>Purchased Services - Professional and Technical</b>													
134-4010-520-30-05	Engineering Services - Municipal Projects	-	-	-	-	-	617	617	35,590	35,590	-	-	-
<b>Total Purchased Services - Professional and Technical</b>		<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>617</b>	<b>617</b>	<b>35,590</b>	<b>35,590</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Purchased Services - Property</b>													
130-4010-530-45-05	Infrastructure Repair and Maintenance - Streets and Alleys	-	-	-	-	-	-	-	112,410	112,410	-	-	-
<b>Total Purchased Services - Property</b>		<b>-</b>	<b>112,410</b>	<b>112,410</b>	<b>-</b>	<b>-</b>	<b>-</b>						
<b>Purchased Services - Other</b>													
134-4010-570-84-03	Municipal Audit Contingency	-	-	-	-	-	1,012	1,446	1,736	1,736	-	1,736	289
<b>Total Purchased Services - Other</b>		<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,012</b>	<b>1,446</b>	<b>1,736</b>	<b>1,736</b>	<b>-</b>	<b>1,736</b>	<b>289</b>
<b>Capital Outlay</b>													
130-4010-560-75-02	Capital Outlay - Police Station	-	-	-	34,287	-	-	-	-	-	-	-	-
130-4010-560-75-03	Capital Outlay - Public Works Facility	-	-	988	110,968	-	16,907	16,907	78,000	78,000	-	-	-
130-4010-560-75-09	Capital Outlay - Village Hall	-	-	2,291	-	92,000	39,509	222,000	60,000	80,257	-	-	-
130-4010-560-75-10	Capital Outlay - Settler's Cottage	2,390	-	-	-	-	-	-	-	-	-	-	-
130-4010-560-76-09	Capital Outlay - Baseline Road Realignment	10,185	5,999	-	-	-	-	-	-	-	-	-	-
130-4010-560-76-19	Capital Outlay - Light Road Crossing Repair	-	697	27,003	2,579	96,000	3,228	3,228	99,000	99,000	-	-	-
130-4010-560-76-23	Capital Outlay - Lakewood Creek Drive	32,843	-	-	-	-	-	-	-	-	-	-	-
130-4010-560-79-06	Capital Outlay - NPDES MS4 Permit	-	-	450	930	10,000	1,318	10,000	20,000	20,000	-	5,000	5,000
130-4010-560-79-13	Capital Outlay - Concord Bridge Maintenance	-	97,643	-	-	-	-	-	30,000	30,000	-	-	-
<b>Total Capital Outlay</b>		<b>45,418</b>	<b>104,339</b>	<b>30,732</b>	<b>148,764</b>	<b>198,000</b>	<b>60,962</b>	<b>252,135</b>	<b>287,000</b>	<b>307,257</b>	<b>-</b>	<b>5,000</b>	<b>5,000</b>
<b>Transfers</b>													
130-4010-580-90-01	Transfer Out - General Fund	-	-	-	-	160,000	-	-	-	-	-	-	-
130-4010-580-90-03	Transfer Out - Debt Service	1,499,100	1,515,840	1,599,525	968,253	1,084,165	813,124	1,084,165	1,084,076	1,084,076	-	1,123,190	1,159,890
130-4010-580-90-08	Transfer Out	-	13,447	4,591	-	-	-	-	-	-	-	-	-
<b>Total Transfers</b>		<b>1,499,100</b>	<b>1,529,287</b>	<b>1,604,116</b>	<b>968,253</b>	<b>1,244,165</b>	<b>813,124</b>	<b>1,084,165</b>	<b>1,084,076</b>	<b>1,084,076</b>	<b>-</b>	<b>1,123,190</b>	<b>1,159,890</b>
<b>Total Expenditures</b>		<b>1,544,518</b>	<b>1,633,626</b>	<b>1,634,848</b>	<b>1,117,017</b>	<b>1,442,165</b>	<b>875,715</b>	<b>1,338,363</b>	<b>1,520,812</b>	<b>1,541,069</b>	<b>-</b>	<b>1,129,926</b>	<b>1,165,179</b>
<b>Net Change in Fund Balance</b>		<b>(184,620)</b>	<b>(292,476)</b>	<b>213,684</b>	<b>261,377</b>	<b>171,744</b>	<b>191,280</b>	<b>99,992</b>	<b>(7,482)</b>	<b>(27,739)</b>	<b>-</b>	<b>168,981</b>	<b>145,921</b>
<b>Beginning Fund Balance</b>		<b>(59,236)</b>	<b>(243,856)</b>	<b>(536,332)</b>	<b>(322,648)</b>	<b>(61,271)</b>	<b>(61,271)</b>	<b>(61,271)</b>	<b>38,721</b>	<b>38,721</b>	<b>38,721</b>	<b>10,982</b>	<b>179,963</b>
<b>Ending Fund Balance</b>		<b>(243,856)</b>	<b>(536,332)</b>	<b>(322,648)</b>	<b>(61,271)</b>	<b>110,473</b>	<b>130,009</b>	<b>38,721</b>	<b>31,239</b>	<b>10,982</b>	<b>38,721</b>	<b>179,963</b>	<b>325,884</b>

VILLAGE OF MONTGOMERY  
BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
INFRASTRUCTURE IMPROVEMENT FUND REVENUES AND EXPENDITURES

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Other Taxes</b>												
133-400-11-02 NHR Sales Tax	-	-	-	-	1,500,000	1,075,105	2,133,580	2,646,000	2,646,000	-	2,778,300	2,917,215
Total Other Taxes	-	-	-	-	1,500,000	1,075,105	2,133,580	2,646,000	2,646,000	-	2,778,300	2,917,215
<b>Charges for Services</b>												
133-430-49-01 Other Reimbursements - Streets	-	-	-	-	-	672,000	672,000	-	-	-	140,000	-
Total Charges for Services	-	-	-	-	-	672,000	672,000	-	-	-	140,000	-
<b>Intergovernmental Revenue</b>												
133-420-30-08 Federal Grants - Transportation	-	-	-	-	-	-	-	-	-	-	-	-
133-420-31-16 State Grant - LAFO	-	-	-	-	-	-	-	500,000	500,000	-	500,000	-
133-420-32-12 Local Grants	-	-	-	-	-	-	-	-	-	-	-	-
Total Intergovernmental Revenue	-	-	-	-	-	-	-	500,000	500,000	-	500,000	-
<b>Investment Income</b>												
133-460-60-01 Interest Income - Cash and Investments	-	-	-	-	1,000	-	-	1,000	1,000	-	1,000	1,000
Total Investment Income	-	-	-	-	1,000	-	-	1,000	1,000	-	1,000	1,000
<b>Long-Term Debt Issue</b>												
133-490-90-01 Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-
133-490-90-03 Premium on Bonds	-	-	-	-	-	-	-	-	-	-	-	-
Total Long-Term Debt Issue	-	-	-	-	-	-	-	-	-	-	-	-
Total Revenues	-	-	-	-	1,501,000	1,747,105	2,805,580	3,147,000	3,147,000	-	3,419,300	2,918,215
<b>EXPENDITURES</b>												
<b>Purchased Services - Professional and Technical</b>												
133-4030-520-30-05 Engineering Services - Municipal Projects	-	-	-	-	215,900	153,654	215,705	379,504	437,504	-	456,520	599,170
Total Purchased Services - Professional and Technical	-	-	-	-	215,900	153,654	215,705	379,504	437,504	-	456,520	599,170
<b>Purchased Services - Property</b>												
133-4030-530-45-05 Infrastructure Repair and Maintenance - Streets and Alleys	-	-	-	-	360,000	20,113	431,753	1,965,000	2,208,465	-	3,125,130	2,640,000
Total Purchased Services - Property	-	-	-	-	360,000	20,113	431,753	1,965,000	2,208,465	-	3,125,130	2,640,000
<b>Purchased Services - Other</b>												
133-4030-570-84-01 Property Tax Rebate	-	-	-	-	202,500	579	89,830	135,000	135,000	-	135,000	135,000
133-4030-570-84-03 Municipal Audit Contingency	-	-	-	-	-	1,544	4,644	6,200	6,200	-	6,200	2,067
Total Purchased Services - Other	-	-	-	-	202,500	2,123	94,474	141,200	141,200	-	141,200	137,067
<b>Capital Outlay</b>												
133-4030-560-76-28 Huntington Chase Improvements	-	-	-	18,000	-	594,673	594,673	60,000	60,000	-	-	-
Total Purchased Services - Other	-	-	-	18,000	-	594,673	594,673	60,000	60,000	-	-	-
Total Expenditures	-	-	-	18,000	778,400	770,563	1,336,605	2,545,704	2,847,169	-	3,722,850	3,376,237
Net Change in Fund Balance	-	-	-	(18,000)	722,600	976,542	1,468,975	601,296	299,831	-	(303,550)	(458,022)
Beginning Fund Balance	-	-	-	-	(18,000)	(18,000)	(18,000)	1,450,975	1,450,975	1,450,975	1,750,806	1,447,256
Ending Fund Balance	-	-	-	(18,000)	704,600	958,542	1,450,975	2,052,271	1,750,806	1,450,975	1,447,256	989,234

**VILLAGE OF MONTGOMERY**  
**BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017**  
**MONTGOMERY PRESERVE TIF #1 FUND REVENUES AND EXPENDITURES**

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Property Taxes</b>												
134-400-10-10 Property Tax - TIF	29,922	32,600	37,726	38,853	40,000	40,918	40,918	41,800	41,800	-	43,000	43,500
Total Property Taxes	29,922	32,600	37,726	38,853	40,000	40,918	40,918	41,800	41,800	-	43,000	43,500
<b>Long-Term Debt Issue</b>												
134-490-90-01 Bond Proceeds	-	-	-	-	-	-	-	330,000	300,000	-	-	-
134-490-90-03 Premium on Bonds	-	-	-	-	-	-	-	-	-	-	-	-
Total Long-Term Debt Issue	-	-	-	-	-	-	-	330,000	300,000	-	-	-
Total Revenues	29,922	32,600	37,726	38,853	40,000	40,918	40,918	371,800	341,800	-	43,000	43,500
<b>EXPENDITURES</b>												
<b>Purchased Services - Professional and Technical</b>												
134-4010-520-30-02 Reimbursable Services	4,381	200	-	-	-	-	-	-	-	-	-	-
134-4010-520-30-03 Engineering Services - Development (Village)	-	-	-	9,490	43,670	1,980	43,670	34,430	34,430	-	-	-
134-4010-520-31-03 Legal Services - Development (Village)	-	-	-	420	250	190	250	500	500	-	250	250
134-4010-520-32-04 Economic Development Services - TIF Consultant	1,151	-	1,700	-	500	-	-	500	500	-	500	500
134-4010-520-34-01 Accounting and Auditing Services - General	-	-	-	1,061	1,100	1,104	1,104	1,150	1,150	-	1,200	1,250
Total Purchased Services - Professional and Technical	5,532	200	1,700	10,971	45,520	3,274	45,024	36,580	36,580	-	1,950	2,000
<b>Debt Service</b>												
134-4010-570-80-20 Principal Payment - 2016	-	-	-	-	-	-	-	-	-	-	14,000	15,000
134-4010-570-81-20 Interest Payment - 2016	-	-	-	-	-	-	-	-	-	-	26,400	25,200
Total Debt Service	-	-	-	-	-	-	-	-	-	-	40,400	40,200
<b>Capital Outlay</b>												
134-4010-560-79-04 Capital Outlay - Pearl Street Storm Sewer	7,795	-	-	-	-	-	-	335,720	335,720	-	-	-
134-4010-560-79-12 Capital Outlay - Spatz Rough Grading	4,874	-	-	-	-	-	24,000	50,000	50,000	-	-	-
Total Capital Outlay	12,669	-	-	-	-	-	24,000	385,720	385,720	-	-	-
Total Expenditures	18,201	200	1,700	10,971	45,520	3,274	69,024	422,300	422,300	-	42,350	42,200
Net Change in Fund Balance	11,721	32,400	36,026	27,882	(5,520)	37,644	(28,106)	(50,500)	(80,500)	-	650	1,300
Beginning Fund Balance	38,824	50,545	82,945	118,971	146,853	146,853	146,853	118,747	118,747	118,747	38,247	38,897
Ending Fund Balance	50,545	82,945	118,971	146,853	141,333	184,497	118,747	68,247	38,247	118,747	38,897	40,197

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 AUCUTT ROAD TIF #2 FUND REVENUES AND EXPENDITURES

		FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>													
<b>Property Taxes</b>													
135-400-10-10	Property Tax - TIF	-	-	-	-	51,000	51,880	51,880	247,000	247,000	-	247,000	247,000
Total Property Taxes		-	-	-	-	51,000	51,880	51,880	247,000	247,000	-	247,000	247,000
Total Revenues		-	-	-	-	51,000	51,880	51,880	247,000	247,000	-	247,000	247,000
<b>EXPENDITURES</b>													
<b>Purchased Services - Professional and Technical</b>													
135-4010-520-30-03	Engineering Services - Development (Village)	-	-	5,333	305	-	-	-	-	-	-	-	-
135-4010-520-31-03	Legal Services - Development (Village)	-	-	8,228	350	250	2,000	2,000	2,500	2,500	-	2,500	2,500
135-4010-520-32-04	Economic Development Services - TIF Consultant	-	-	22,788	-	500	-	500	500	500	-	500	500
135-4010-520-34-01	Accounting and Auditing Services - General	-	-	-	-	-	-	-	-	-	-	1,250	1,300
Total Purchased Services - Professional and Technical		-	-	36,349	655	750	2,000	2,500	3,000	3,000	-	4,250	4,300
<b>Supplies and Materials</b>													
135-4010-550-60-04	General Supplies - Postage	-	-	890	-	-	-	-	-	-	-	-	-
Total Supplies and Materials		-	-	890	-	-	-	-	-	-	-	-	-
<b>Purchased Services - Other</b>													
135-4010-540-59-15	Other Purchased Services - Tax Districts	-	-	-	-	36,000	36,998	36,998	180,000	180,000	-	180,000	180,000
Total Purchased Services - Other		-	-	-	-	36,000	36,998	36,998	180,000	180,000	-	180,000	180,000
<b>Capital Outlay</b>													
135-4010-560-76-26	Capital Outlay - Road Extension	-	-	-	-	-	-	-	-	-	-	-	-
135-4010-560-77-09	Capital Outlay - Aucutt Road TIF	-	-	-	-	-	-	-	-	-	-	-	-
135-4010-560-78-35	Sanitary Sewer Reconstruction - TIF	-	-	-	-	-	-	-	-	-	-	-	-
135-4010-560-79-04	Capital Outlay - Storm Sewer	-	-	-	-	-	-	-	-	-	-	-	-
Total Capital Outlay		-	-	-	-	-	-	-	-	-	-	-	-
Total Expenditures		-	-	37,239	655	36,750	38,998	39,498	183,000	183,000	-	184,250	184,300
Net Change in Fund Balance		-	-	(37,239)	(655)	14,250	12,882	12,382	64,000	64,000	-	62,750	62,700
Beginning Fund Balance		-	-	-	(37,239)	(37,894)	(37,894)	(37,894)	(25,512)	(25,512)	(25,512)	38,488	101,238
Ending Fund Balance		-	-	(37,239)	(37,894)	(23,644)	(25,012)	(25,512)	38,488	38,488	(25,512)	101,238	163,938

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 TIF #3 FUND REVENUES AND EXPENDITURES

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Property Taxes</b>												
136-400-10-10 Property Tax - TIF	-	-	-	-	-	-	-	-	-	-	50,000	100,000
Total Property Taxes	-	-	-	-	-	-	-	-	-	-	50,000	100,000
Total Revenues	-	-	-	-	-	-	-	-	-	-	50,000	100,000
<b>EXPENDITURES</b>												
<b>Purchased Services - Professional and Technical</b>												
136-4010-520-30-03 Engineering Services - Development (Village)	-	-	-	-	-	-	-	5,000	5,000	-	-	-
136-4010-520-31-03 Legal Services - Development (Village)	-	-	-	-	-	-	-	8,000	8,000	-	500	1,500
136-4010-520-32-04 Economic Development Services - TIF Consultant	-	-	-	-	-	-	-	25,000	25,000	-	-	500
136-4010-520-34-01 Accounting and Auditing Services - General	-	-	-	-	-	-	-	-	-	-	-	-
Total Purchased Services - Professional and Technical	-	-	-	-	-	-	-	38,000	38,000	-	500	2,000
<b>Supplies and Materials</b>												
136-4010-550-60-04 General Supplies - Postage	-	-	-	-	-	-	-	-	-	-	-	-
Total Supplies and Materials	-	-	-	-	-	-	-	-	-	-	-	-
<b>Capital Outlay</b>												
136-4010-560-76-26 Capital Outlay - Road Extension	-	-	-	-	-	-	-	-	-	-	-	-
136-4010-560-77-09 Capital Outlay - Aucutt Road TIF	-	-	-	-	-	-	-	-	-	-	-	-
136-4010-560-78-35 Sanitary Sewer Reconstruction - TIF	-	-	-	-	-	-	-	-	-	-	-	-
136-4010-560-79-04 Capital Outlay - Storm Sewer	-	-	-	-	-	-	-	-	-	-	-	-
Total Capital Outlay	-	-	-	-	-	-	-	-	-	-	-	-
Total Expenditures	-	-	-	-	-	-	-	38,000	38,000	-	500	2,000
Net Change in Fund Balance	-	-	-	-	-	-	-	(38,000)	(38,000)	-	49,500	98,000
Beginning Fund Balance	-	-	-	-	-	-	-	-	-	-	(38,000)	11,500
Ending Fund Balance	-	-	-	-	-	-	-	(38,000)	(38,000)	-	11,500	109,500

VILLAGE OF MONTGOMERY  
BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
VEHICLE RESERVE FUND REVENUES AND EXPENDITURES

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Charges for Services</b>												
221-430-44-04 Internal Service Fees - Vehicle Reserve Contributions	50,000	241,933	560,398	605,546	687,302	515,476	687,302	683,303	601,738	-	601,738	601,738
Total Charges for Services	50,000	241,933	560,398	605,546	687,302	515,476	687,302	683,303	601,738	-	601,738	601,738
<b>Investment Income</b>												
221-460-60-01 Investment Earnings - Interest Income	-	-	-	-	500	-	-	500	500	-	500	500
Total Investment Income	-	-	-	-	500	-	-	500	500	-	500	500
<b>Miscellaneous</b>												
221-430-49-09 Other Reimbursements - Miscellaneous	25	9,734	31,176	6,654	10,000	-	-	10,000	10,000	-	10,000	10,000
Total Miscellaneous	25	9,734	31,176	6,654	10,000	-	-	10,000	10,000	-	10,000	10,000
<b>Long-Term Debt Issue</b>												
221-490-90-07 Capital Lease Proceeds	-	-	309,433	-	-	-	-	-	-	-	-	-
Total Long Term Debt	-	-	309,433	-	-	-	-	-	-	-	-	-
Total Revenues	50,025	251,667	901,007	612,200	697,802	515,476	687,302	693,803	612,238	-	612,238	612,238
<b>EXPENDITURES</b>												
<b>Capital Outlay</b>												
221-1540-560-74-01 Capital Outlay - Vehicles	125,127	318,830	453,034	304,597	606,211	372,671	406,594	619,798	789,798	-	518,062	692,849
Total Capital Outlay	125,127	318,830	453,034	304,597	606,211	372,671	406,594	619,798	789,798	-	518,062	692,849
<b>Debt Service</b>												
221-1540-570-80-18 Principal Payment - Capital Lease	-	-	107,966	98,396	103,071	103,070	103,070	-	-	-	-	-
221-1540-570-81-18 Interest Payment - Capital Lease	-	-	-	9,570	4,896	4,896	4,896	-	-	-	-	-
Total Debt Service	-	-	107,966	107,966	107,967	107,966	107,966	-	-	-	-	-
Total Expenditures	125,127	318,830	561,000	412,563	714,178	480,637	514,560	619,798	789,798	-	518,062	692,849
Net Change in Fund Balance	(75,102)	(67,163)	340,007	199,637	(16,376)	34,839	172,742	74,005	(177,560)	-	94,176	(80,611)
Beginning Fund Balance	132,191	57,089	(10,074)	329,933	529,570	529,570	529,570	702,312	702,312	702,312	524,752	618,928
Ending Fund Balance	57,089	(10,074)	329,933	529,570	513,194	564,409	702,312	776,317	524,752	702,312	618,928	538,317

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 REFUSE FUND REVENUES AND EXPENSES

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Charges for Services</b>												
230-430-40-01 Service Fees - Refuse Removal	-	-	-	1,239,870	1,296,817	1,066,924	1,293,285	1,349,964	1,350,415	-	1,416,396	1,485,627
Total Charges for Services	-	-	-	1,239,870	1,296,817	1,066,924	1,293,285	1,349,964	1,350,415	-	1,416,396	1,485,627
<b>Investment Income</b>												
230-460-60-01 Investment Earnings - Interest Income	-	-	-	-	250	-	-	250	250	-	250	250
Total Investment Income	-	-	-	-	250	-	-	250	250	-	250	250
Total Revenues	-	-	-	1,239,870	1,297,067	1,066,924	1,293,285	1,350,214	1,350,665	-	1,416,646	1,485,877
<b>EXPENSES</b>												
<b>Purchased Services - Other</b>												
230-1520-540-59-03 Other Purchased Services - Solid Waste Disposal	-	-	-	1,235,387	1,296,817	850,608	1,293,100	1,349,964	1,350,415	-	1,416,396	1,485,627
Total Purchased Services - Other	-	-	-	1,235,387	1,296,817	850,608	1,293,100	1,349,964	1,350,415	-	1,416,396	1,485,627
Total Expenses	-	-	-	1,235,387	1,296,817	850,608	1,293,100	1,349,964	1,350,415	-	1,416,396	1,485,627
Change in Net Position	-	-	-	4,483	250	216,316	185	250	250	-	250	250
Beginning Net Position	-	-	-	-	4,483	4,483	4,483	4,668	4,668	4,668	4,918	5,168
Ending Net Position	-	-	-	4,483	4,733	220,799	4,668	4,918	4,918	4,668	5,168	5,418

**VILLAGE OF MONTGOMERY**  
**BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017**  
**ECONOMIC DEVELOPMENT LOAN FUND REVENUES AND EXPENDITURES**

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Investment Income</b>												
121-460-60-01	-	-	-	300	300	413	540	600	600	-	750	900
121-460-60-02	32,284	20,651	7,539	14,379	12,561	10,621	12,561	10,845	10,845	-	9,370	7,880
Total Investment Income	32,284	20,651	7,539	14,679	12,861	11,034	13,101	11,445	11,445	-	10,120	8,780
Total Revenues	32,284	20,651	7,539	14,679	12,861	11,034	13,101	11,445	11,445	-	10,120	8,780
<b>EXPENDITURES</b>												
<b>Purchased Services - Professional and Technical</b>												
121-1330-520-32-02	1,225	1,859	7,394	1,378	3,000	1,916	3,000	3,000	3,000	-	3,000	3,000
121-1330-520-39-01	-	-	25	-	-	-	-	-	-	-	-	-
Total Purchased Services - Professional and Technical	1,225	1,859	7,419	1,378	3,000	1,916	3,000	3,000	3,000	-	3,000	3,000
<b>Transfers</b>												
121-1330-580-90-01	-	-	11,542	-	-	-	-	-	-	-	-	-
Total Transfers	-	-	11,542	-	-	-	-	-	-	-	-	-
Total Expenditures	1,225	1,859	18,961	1,378	3,000	1,916	3,000	3,000	3,000	-	3,000	3,000
Net Change in Fund Balance	31,059	18,792	(11,422)	13,301	9,861	9,118	10,101	8,445	8,445	-	7,120	5,780
Beginning Fund Balance	1,552,007	1,583,066	1,601,858	1,590,436	1,603,737	1,603,737	1,603,737	1,613,838	1,613,838	1,613,838	1,622,283	1,629,403
Ending Fund Balance	1,583,066	1,601,858	1,590,436	1,603,737	1,613,598	1,612,855	1,613,838	1,622,283	1,622,283	1,613,838	1,629,403	1,635,183

**VILLAGE OF MONTGOMERY**  
**BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017**  
**FORFEITURE AND SEIZURE FUND REVENUES AND EXPENDITURES**

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Fines and Forfeits</b>												
122-440-51-02 Kane County - Drug Fines	850	628	603	638	650	425	650	650	650	-	650	650
122-440-51-04 Kane County - DUI Prevention	7,738	6,503	5,861	5,843	6,000	5,291	6,000	6,500	6,500	-	7,000	7,500
122-440-51-05 Kane County - Patrol Car Maintenance	17,266	29,227	13,269	-	-	-	-	-	-	-	-	-
122-440-51-06 Kane County - Article 36 Forfeiture	-	-	-	-	-	2,050	2,050	1,000	1,000	-	1,000	1,000
122-440-51-07 Kane County - E-Citation	2,450	4,760	2,534	3,023	3,000	2,583	3,000	3,250	3,250	-	3,500	3,750
122-440-52-02 Kendall County - Drug Fines	283	2,031	2,509	2,203	2,000	159	200	1,500	1,500	-	1,500	1,500
122-440-52-04 Kendall County - DUI Prevention	1,762	3,777	5,567	3,710	3,000	2,713	3,000	3,000	3,000	-	3,000	3,000
122-440-52-05 Kendall County - Patrol Car Maintenance	684	826	785	-	-	-	-	-	-	-	-	-
122-440-52-06 Kendall County - Article 36 Forfeiture	-	-	-	-	-	-	-	-	-	-	-	-
122-440-52-07 Kendall County - E-Citation	98	185	206	178	200	120	200	250	250	-	250	250
<b>Total Fines and Forfeits</b>	<b>31,131</b>	<b>47,937</b>	<b>31,334</b>	<b>15,595</b>	<b>14,850</b>	<b>13,341</b>	<b>15,100</b>	<b>16,150</b>	<b>16,150</b>	<b>-</b>	<b>16,900</b>	<b>17,650</b>
<b>Investment Income</b>												
122-460-60-01 Interest Income - Cash and Investments	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Investment Income</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Revenues</b>	<b>31,131</b>	<b>47,937</b>	<b>31,334</b>	<b>15,595</b>	<b>14,850</b>	<b>13,341</b>	<b>15,100</b>	<b>16,150</b>	<b>16,150</b>	<b>-</b>	<b>16,900</b>	<b>17,650</b>
<b>EXPENDITURES</b>												
<b>Supplies and Materials</b>												
122-1410-550-63-04 Police Supplies - Kane County Drug Fines	5,639	15,462	161	96	-	1,047	1,047	1,000	1,000	-	1,000	1,000
122-1410-550-63-06 Police Supplies - Kane County DUI Prevention	-	-	-	-	-	1,396	1,500	1,500	1,500	-	1,500	1,500
122-1410-550-63-09 Police Supplies - Kendall County DUI Prevention	-	-	-	-	-	-	-	-	-	-	-	-
122-1410-550-63-16 Police Supplies - Kendall County Article 36 Forfeiture	-	-	-	-	-	-	-	-	-	-	-	-
122-1410-550-63-17 Police Supplies - Kane County Article 36 Forfeiture	7,797	44,530	76,665	29,715	-	285	450	500	500	-	500	500
122-1410-550-63-19 Police Supplies - Patrol Car Maintenance	11,452	1,211	-	-	-	-	-	-	-	-	-	-
<b>Total Supplies and Materials</b>	<b>24,888</b>	<b>61,203</b>	<b>76,826</b>	<b>29,811</b>	<b>-</b>	<b>2,728</b>	<b>2,997</b>	<b>3,000</b>	<b>3,000</b>	<b>-</b>	<b>3,000</b>	<b>3,000</b>
<b>Capital Outlay</b>												
122-1410-560-70-03 Capital Outlay - Equipment (Police)	-	-	-	-	10,000	19,410	19,410	27,550	27,550	-	-	-
<b>Total Capital Outlay</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>10,000</b>	<b>19,410</b>	<b>19,410</b>	<b>27,550</b>	<b>27,550</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Expenditures</b>	<b>24,888</b>	<b>61,203</b>	<b>76,826</b>	<b>29,811</b>	<b>10,000</b>	<b>22,138</b>	<b>22,407</b>	<b>30,550</b>	<b>30,550</b>	<b>-</b>	<b>3,000</b>	<b>3,000</b>
<b>Net Change in Fund Balance</b>	<b>6,243</b>	<b>(13,266)</b>	<b>(45,492)</b>	<b>(14,216)</b>	<b>4,850</b>	<b>(8,797)</b>	<b>(7,307)</b>	<b>(14,400)</b>	<b>(14,400)</b>	<b>-</b>	<b>13,900</b>	<b>14,650</b>
<b>Beginning Fund Balance</b>	<b>130,852</b>	<b>137,095</b>	<b>123,829</b>	<b>78,337</b>	<b>64,121</b>	<b>64,121</b>	<b>64,121</b>	<b>56,814</b>	<b>56,814</b>	<b>56,814</b>	<b>42,414</b>	<b>56,314</b>
<b>Ending Fund Balance</b>	<b>137,095</b>	<b>123,829</b>	<b>78,337</b>	<b>64,121</b>	<b>68,971</b>	<b>55,324</b>	<b>56,814</b>	<b>42,414</b>	<b>42,414</b>	<b>56,814</b>	<b>56,314</b>	<b>70,964</b>

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 EMERGENCY 911 FUND REVENUES AND EXPENDITURES

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Intergovernmental Revenue</b>												
123-420-34-02 Allotments - Kane County Wireless 911 Surcharge	85,745	100,775	81,045	-	-	141,736	141,736	-	-	-	-	-
Total Intergovernmental Revenue	85,745	100,775	81,045	-	-	141,736	141,736	-	-	-	-	-
Total Revenues	85,745	100,775	81,045	-	-	141,736	141,736	-	-	-	-	-
<b>EXPENDITURES</b>												
<b>Purchased Services - Property</b>												
110-1510-530-40-03 Utilities - Telephone	-	-	-	-	-	10,444	16,800	16,860	16,860	-	16,860	16,860
Total Purchased Services - Property	-	-	-	-	-	10,444	16,800	16,860	16,860	-	16,860	16,860
<b>Supplies and Materials</b>												
123-1410-550-63-13 Police Supplies - Other	5,505	42,131	-	-	-	-	-	-	-	-	-	-
Total Supplies and Materials	5,505	42,131	-	-	-	-	-	-	-	-	-	-
<b>Capital Outlay</b>												
123-1410-560-70-03 Capital Outlay - Equipment (Police)	-	-	89,534	390	36,000	40,260	66,260	27,550	27,550	-	-	-
Total Capital Outlay	-	-	89,534	390	36,000	40,260	66,260	27,550	27,550	-	-	-
<b>Transfers</b>												
123-1410-580-90-03 Transfer Out - General Fund	85,000	84,960	40,000	-	-	-	-	-	-	-	-	-
Total Transfers	85,000	84,960	40,000	-	-	-	-	-	-	-	-	-
Total Expenditures	90,505	127,091	129,534	390	36,000	50,704	83,060	44,410	44,410	-	16,860	16,860
Net Change in Fund Balance	(4,760)	(26,316)	(48,489)	(390)	(36,000)	91,032	58,676	(44,410)	(44,410)	-	(16,860)	(16,860)
Beginning Fund Balance	186,053	181,293	154,977	106,488	106,098	106,098	106,098	164,774	164,774	164,774	120,364	103,504
Ending Fund Balance	181,293	154,977	106,488	106,098	70,098	197,130	164,774	120,364	120,364	164,774	103,504	86,644

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 LAKEWOOD CREEK PROJECT FUND REVENUES AND EXPENDITURES

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Investment Income</b>												
131-460-60-01 Investment Earnings - Interest Income	1	1	3	3	3	2	2	2	2	-	2	2
Total Investment Income	1	1	3	3	3	2	2	2	2	-	2	2
<b>Transfers</b>												
131-480-80-04 Lakewood Creek SAA Fund	54,826	49,356	106,902	26,369	60,000	-	60,000	60,000	60,000	-	60,000	60,000
Total Transfers	54,826	49,356	106,902	26,369	60,000	-	60,000	60,000	60,000	-	60,000	60,000
Total Revenues	54,827	49,357	106,905	26,372	60,003	2	60,002	60,002	60,002	-	60,002	60,002
<b>EXPENDITURES</b>												
<b>Purchased Services - Professional and Technical</b>												
131-4010-520-39-05 Other Professional Services	61,822	61,395	48,959	47,579	60,000	24,476	60,000	60,000	60,000	-	60,000	60,000
Total Purchased Services - Professional and Technical	61,822	61,395	48,959	47,579	60,000	24,476	60,000	60,000	60,000	-	60,000	60,000
Total Expenditures	61,822	61,395	48,959	47,579	60,000	24,476	60,000	60,000	60,000	-	60,000	60,000
Net Change in Fund Balance	(6,995)	(12,038)	57,946	(21,207)	3	(24,474)	2	2	2	-	2	2
Beginning Fund Balance	25,113	18,118	6,080	64,026	42,819	42,819	42,819	42,821	42,821	42,821	42,823	42,825
Ending Fund Balance	18,118	6,080	64,026	42,819	42,822	18,345	42,821	42,823	42,823	42,821	42,825	42,827

**VILLAGE OF MONTGOMERY**  
**BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017**  
**DEBT SERVICE FUND REVENUES AND EXPENDITURES**

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Transfers</b>												
140-480-80-01												
Transfer From Capital Improvement Fund	1,499,100	1,515,840	1,599,525	968,253	1,084,165	813,124	1,084,165	1,084,076	1,084,076	-	1,123,190	1,159,890
Total Transfers	1,499,100	1,515,840	1,599,525	968,253	1,084,165	813,124	1,084,165	1,084,076	1,084,076	-	1,123,190	1,159,890
<b>Long-Term Debt Issue</b>												
140-490-90-01	4,410,000	-	3,335,000	-	-	-	-	-	-	-	-	-
Bond Proceeds												
140-490-90-03	2,857	-	21,989	-	-	-	-	-	-	-	-	-
Premium on Bonds												
Total Long Term Debt	4,412,857	-	3,356,989	-	-	-	-	-	-	-	-	-
Total Revenues	5,911,957	1,515,840	4,956,514	968,253	1,084,165	813,124	1,084,165	1,084,076	1,084,076	-	1,123,190	1,159,890
<b>EXPENDITURES</b>												
<b>Debt Service</b>												
140-3010-570-80-07	245,000	-	-	-	-	-	-	-	-	-	-	-
Principal Payment - 2005												
140-3010-570-80-11	-	-	-	-	-	-	-	-	-	-	-	500,000
Principal Payment - 2008												
140-3010-570-80-12	670,000	785,000	900,000	340,000	475,000	475,000	475,000	550,000	550,000	-	605,000	-
Principal Payment - 2010												
140-3010-570-80-13	-	295,000	290,000	300,000	250,000	250,000	250,000	205,000	205,000	-	205,000	375,000
Principal Payment - 2011												
140-3010-570-80-17	-	-	-	-	-	-	-	-	-	-	-	-
Principal Payment - 2014												
140-3010-570-81-07	216,300	-	-	-	-	-	-	-	-	-	-	-
Interest Payment - 2005												
140-3010-570-81-11	100,000	100,000	100,000	100,000	100,000	100,000	100,000	100,000	100,000	-	100,000	100,000
Interest Payment - 2008												
140-3010-570-81-12	244,225	230,825	207,275	71,275	61,075	61,075	61,075	42,075	42,075	-	24,200	-
Interest Payment - 2010												
140-3010-570-81-13	-	103,464	101,750	95,950	89,950	89,950	89,950	84,950	84,950	-	80,850	76,750
Interest Payment - 2011												
140-3010-570-81-17	-	-	-	62,622	106,340	106,340	106,340	106,340	106,340	-	106,340	106,340
Interest Payment - 2014												
140-3010-570-82-01	1,050	1,050	1,050	1,350	1,800	1,800	1,800	1,800	1,800	-	1,800	1,800
Fiscal Agent Fees												
140-3010-570-83-05	-	-	64,150	-	-	-	-	-	-	-	-	-
Bond Issuance Costs												
140-3010-570-90-03	4,412,857	-	3,289,345	-	-	-	-	-	-	-	-	-
Escrow Agent Payment												
Total Debt Service	5,889,432	1,515,339	4,953,570	971,197	1,084,165	1,084,165	1,084,165	1,090,165	1,090,165	-	1,123,190	1,159,890
Total Expenditures	5,889,432	1,515,339	4,953,570	971,197	1,084,165	1,084,165	1,084,165	1,090,165	1,090,165	-	1,123,190	1,159,890
Net Change in Fund Balance	22,525	501	2,944	(2,944)	-	(271,041)	-	(6,089)	(6,089)	-	-	-
Beginning Fund Balance	33,063	55,588	56,089	59,033	56,089	56,089	56,089	56,089	56,089	56,089	50,000	50,000
Ending Fund Balance	55,588	56,089	59,033	56,089	56,089	(214,952)	56,089	50,000	50,000	56,089	50,000	50,000

**VILLAGE OF MONTGOMERY**  
**BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017**  
**EMPLOYEE INSURANCE FUND REVENUES AND EXPENSES**

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Charges for Services</b>												
220-430-44-01 Internal Service Fees - Employer Medical Insurance Contributions	664,200	614,160	569,070	684,074	833,471	573,607	746,546	867,208	853,149	-	997,289	1,146,883
220-430-44-02 Internal Service Fees - Employer Dental Insurance Contributions	39,900	41,100	32,130	42,968	43,254	31,128	40,977	47,773	47,084	-	50,161	52,670
220-430-44-03 Internal Service Fees - Employee Medical Insurance Contributions	65,864	64,654	67,211	81,052	114,563	75,249	102,064	119,870	119,870	-	137,851	158,529
220-430-44-05 Internal Service Fees - Cobra Medical Insurance Contributions	3,384	-	11,352	10,045	15,769	92	92	-	-	-	-	-
220-430-44-06 Internal Service Fees - Retiree Medical Insurance Contributions	15,708	40,100	80,406	75,078	79,889	60,477	72,300	78,429	78,429	-	90,193	103,722
220-430-44-07 Internal Service Fees - Employer Life Insurance Contributions	-	-	-	42	4,137	3,103	4,137	4,561	4,487	-	4,561	4,561
Total Charges for Services	789,056	760,014	760,169	893,259	1,091,083	743,656	966,116	1,117,841	1,103,019	-	1,280,055	1,466,365
Total Revenues	789,056	760,014	760,169	893,259	1,091,083	743,656	966,116	1,117,841	1,103,019	-	1,280,055	1,466,365
<b>EXPENSES</b>												
<b>Purchased Services - Other</b>												
220-1230-540-50-03 Insurance - Medical (HMO)	650,986	694,286	719,032	779,671	1,043,692	651,921	921,002	1,065,507	1,051,448	-	1,225,333	1,409,134
220-1230-540-50-04 Insurance - Medical (PPO)	-	3,309	10,915	33,339	-	27,214	-	-	-	-	-	-
220-1230-540-50-05 Insurance - Dental	4,784	4,051	22,805	46,135	43,254	31,455	40,977	47,773	47,084	-	50,161	52,670
220-1230-540-50-07 Insurance - Life	-	-	-	3,038	4,137	3,098	4,137	4,561	4,487	-	4,561	4,561
Total Purchased Services - Other	655,770	701,646	752,752	862,183	1,091,083	713,688	966,116	1,117,841	1,103,019	-	1,280,055	1,466,365
Total Expenses	655,770	701,646	752,752	862,183	1,091,083	713,688	966,116	1,117,841	1,103,019	-	1,280,055	1,466,365
Change in Net Position	133,286	58,368	7,417	31,076	-	29,968	-	-	-	-	-	-
Beginning Net Position	-	133,286	191,654	199,071	230,147	230,147	230,147	230,147	230,147	230,147	230,147	230,147
Ending Net Position	133,286	191,654	199,071	230,147	230,147	260,115	230,147	230,147	230,147	230,147	230,147	230,147

VILLAGE OF MONTGOMERY  
BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
POLICE PENSION FUND ADDITIONS AND DEDUCTIONS

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>ADDITIONS</b>												
<b>Property Taxes</b>												
310-400-10-02		Property Tax - Police Pension										
	324,493	331,778	388,546	449,027	453,447	452,282	452,282	457,912	457,912	-	467,070	476,411
Total Property Taxes	324,493	331,778	388,546	449,027	453,447	452,282	452,282	457,912	457,912	-	467,070	476,411
<b>Investment Income</b>												
310-460-60-01	249,387	195,984	210,401	261,304	601,300	250,259	262,517	647,780	642,635	-	702,590	763,525
310-460-61-01	(123,054)	(3,134)	35,442	149,689	-	8,378	50,000	-	-	-	-	-
310-460-62-01	(31,080)	357,658	316,079	77,420	-	(519,809)	-	-	-	-	-	-
Total Investment Income	95,253	550,508	561,922	488,413	601,300	(261,172)	312,517	647,780	642,635	-	702,590	763,525
<b>Contributions and Donations</b>												
310-470-72-02	148,463	156,389	169,632	228,037	195,494	174,859	223,151	201,359	209,103	-	215,376	221,838
Total Contributions and Donations	148,463	156,389	169,632	228,037	195,494	174,859	223,151	201,359	209,103	-	215,376	221,838
Total Additions	568,209	1,038,675	1,120,100	1,165,477	1,250,241	365,969	987,950	1,307,051	1,309,650	-	1,385,036	1,461,774
<b>DEDUCTIONS</b>												
<b>Personal Services - Employee Benefits</b>												
310-1410-510-21-05	280,929	291,408	308,439	309,648	322,097	255,188	322,097	331,759	331,759	-	438,523	451,679
310-1410-510-21-06	20,816	20,790	20,816	20,816	20,816	13,877	20,816	20,816	20,816	-	20,816	20,816
310-1410-510-21-07	-	-	49,666	56,617	-	19,770	19,770	-	-	-	-	-
310-1410-510-21-08	44,602	44,602	44,602	44,602	44,602	29,735	44,602	44,602	44,602	-	44,602	44,602
310-1410-510-22-02	437	-	-	1,500	10,000	-	10,000	10,000	10,000	-	10,000	10,000
Total Personal Services - Employee Benefits	346,784	356,800	423,523	433,183	397,515	318,570	417,285	407,177	407,177	-	513,941	527,097
<b>Purchased Services - Professional and Technical</b>												
310-1410-520-31-09	175	983	-	-	2,500	4,099	5,000	2,500	2,500	-	2,500	2,500
310-1410-520-34-01	1,300	-	1,420	1,486	1,500	1,526	1,526	1,500	1,500	-	1,500	1,500
310-1410-520-34-02	800	1,500	1,500	3,500	2,000	2,000	2,000	2,000	2,000	-	2,000	2,000
310-1410-520-39-10	7,262	14,491	14,829	16,746	17,180	8,947	17,500	18,508	18,508	-	20,327	22,285
Total Purchased Services - Professional and Technical	9,537	16,974	17,749	21,732	23,180	16,572	26,026	24,508	24,508	-	26,327	28,285
<b>Purchased Services - Other</b>												
310-1410-540-52-01	-	-	-	-	1,000	-	1,000	1,000	1,000	-	1,000	1,000
310-1410-540-53-02	-	-	-	-	-	3,510	3,510	1,500	1,500	-	1,500	1,500
310-1410-540-59-05	1,862	1,965	2,007	2,139	2,275	1,500	2,275	2,416	2,416	-	2,524	2,698
Total Purchased Services - Other	1,862	1,965	2,007	2,139	3,275	5,010	6,785	4,916	4,916	-	5,024	5,198
<b>Supplies and Materials</b>												
310-1410-550-60-01	-	-	-	-	-	-	-	-	-	-	-	-
Total Supplies and Materials	-	-	-	-	-	-	-	-	-	-	-	-
Total Deductions	358,183	375,739	443,279	457,054	423,970	340,152	450,096	436,601	436,601	-	545,292	560,580
Change in Net Position	210,026	662,936	676,821	708,423	826,271	25,817	537,854	870,450	873,049	-	839,744	901,194
Beginning Net Position	5,948,390	6,158,416	6,821,352	7,498,173	8,206,596	8,206,596	8,206,596	8,744,450	8,744,450	8,744,450	9,617,499	10,457,243
Ending Net Position	6,158,416	6,821,352	7,498,173	8,206,596	9,032,867	8,232,413	8,744,450	9,614,900	9,617,499	8,744,450	10,457,243	11,358,437

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 POLICE GIFT FUND REVENUES AND EXPENDITURES

**REVENUES**

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>Miscellaneous</b>												
311-470-73-03 Donations - Other	200	199	2,697	300	250	300	300	250	250	-	250	250
Total Miscellaneous	200	199	2,697	300	250	300	300	250	250	-	250	250
Total Revenues	200	199	2,697	300	250	300	300	250	250	-	250	250

**EXPENDITURES**

<b>Supplies and Materials</b>												
311-1410-550-63-10 Police Supplies - Too Good for Drugs Program	-	276	-	707	300	318	318	300	300	-	300	300
311-1410-550-63-13 Police Supplies - Other	629	8,320	806	1,110	1,000	1,307	1,307	1,000	1,000	-	1,000	1,000
Total Supplies and Materials	629	8,596	806	1,817	1,300	1,625	1,625	1,300	1,300	-	1,300	1,300
Total Expenditures	629	8,596	806	1,817	1,300	1,625	1,625	1,300	1,300	-	1,300	1,300
Net Change in Fund Balance	(429)	(8,397)	1,891	(1,517)	(1,050)	(1,325)	(1,325)	(1,050)	(1,050)	-	(1,050)	(1,050)
Beginning Fund Balance	15,602	15,173	6,776	8,667	7,150	7,150	7,150	5,825	5,825	5,825	4,775	3,725
Ending Fund Balance	15,173	6,776	8,667	7,150	6,100	5,825	5,825	4,775	4,775	5,825	3,725	2,675

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 MONTGOMERY CROSSING SSA FUND REVENUES AND EXPENDITURES

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Property Taxes</b>												
347-400-10-13 Property Tax - Montgomery Crossing SSA	-	32,316	65,121	65,611	53,000	53,003	53,003	53,000	53,000	-	54,000	55,000
Total Property Taxes	-	32,316	65,121	65,611	53,000	53,003	53,003	53,000	53,000	-	54,000	55,000
<b>Miscellaneous</b>												
347-470-74-10 Developer Contribution - Closeout	-	9,860	-	-	-	-	-	-	-	-	-	-
Total Miscellaneous	-	9,860	-	-	-	-	-	-	-	-	-	-
Total Revenues	-	42,176	65,121	65,611	53,000	53,003	53,003	53,000	53,000	-	54,000	55,000
<b>EXPENDITURES</b>												
<b>Purchased Services - Property</b>												
347-1540-530-44-03 Grounds Repair and Maintenance - Detention Basins	-	-	-	-	47,207	45,400	47,207	48,623	48,623	-	50,082	51,584
347-1540-530-44-04 Grounds Maintenance - Subdivision	43,835	60,746	62,822	45,937	5,480	4,567	5,480	5,754	5,754	-	6,042	6,344
Total Purchased Services - Property	43,835	60,746	62,822	45,937	52,687	49,967	52,687	54,377	54,377	-	56,124	57,928
<b>Transfers</b>												
347-1540-580-90-01 Transfer Out - General Fund	-	11,350	-	-	-	-	-	-	-	-	-	-
Total Transfers	-	11,350	-	-	-	-	-	-	-	-	-	-
Total Expenditures	43,835	72,096	62,822	45,937	52,687	49,967	52,687	54,377	54,377	-	56,124	57,928
Net Change in Fund Balance	(43,835)	(29,920)	2,299	19,674	313	3,036	316	(1,377)	(1,377)	-	(2,124)	(2,928)
Beginning Fund Balance	184,550	140,715	110,795	113,094	132,768	132,768	132,768	133,084	133,084	133,084	131,707	129,583
Ending Fund Balance	140,715	110,795	113,094	132,768	133,081	135,804	133,084	131,707	131,707	133,084	129,583	126,655
							2,5259	2,4221			2,3089	2,1864

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 BLACKBERRY CROSSING SSA FUND REVENUES AND EXPENDITURES

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Property Taxes</b>												
348-400-10-12 Property Tax - Blackberry Crossing SSA	25,007	21,590	18,342	18,007	11,000	10,965	10,965	11,000	11,000	-	11,000	11,000
Total Property Taxes	25,007	21,590	18,342	18,007	11,000	10,965	10,965	11,000	11,000	-	11,000	11,000
Total Revenues	25,007	21,590	18,342	18,007	11,000	10,965	10,965	11,000	11,000	-	11,000	11,000
<b>EXPENDITURES</b>												
<b>Purchased Services - Property</b>												
348-1540-530-44-03 Grounds Repair and Maintenance - Detention Basins	-	-	-	-	9,085	8,496	9,085	9,358	9,358	-	9,639	9,928
348-1540-530-44-04 Grounds Maintenance - Subdivision	11,988	12,392	17,422	11,760	1,581	1,318	1,581	1,660	1,660	-	1,743	1,830
Total Purchased Services - Property	11,988	12,392	17,422	11,760	10,666	9,814	10,666	11,018	11,018	-	11,382	11,758
Total Expenditures	11,988	12,392	17,422	11,760	10,666	9,814	10,666	11,018	11,018	-	11,382	11,758
Net Change in Fund Balance	13,019	9,198	920	6,247	334	1,151	299	(18)	(18)	-	(382)	(758)
Beginning Fund Balance	34,484	47,503	56,701	57,621	63,868	63,868	63,868	64,167	64,167	64,167	64,149	63,767
Ending Fund Balance	47,503	56,701	57,621	63,868	64,202	65,019	64,167	64,149	64,149	64,167	63,767	63,009
							6,0160	5,8222			5,6024	5,3588

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 FAIRFIELD WAY SSA FUND REVENUES AND EXPENDITURES

**REVENUES**

**Property Taxes**

349-400-10-15 Property Tax - Fairfield Way SSA

Total Property Taxes

**EXPENDITURES**

**Purchased Services - Property**

349-1540-530-44-03 Grounds Repair and Maintenance - Detention Basins  
 349-1540-530-44-04 Grounds Maintenance - Subdivision  
 349-1540-530-46-01 Sewer Repair and Maintenance - Lift Stations

Total Purchased Services - Property

Total Expenditures

Net Change in Fund Balance

Beginning Fund Balance

Ending Fund Balance

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
-	67,151	64,989	65,230	50,000	49,976	49,976	40,000	40,000	-	40,000	40,000	
-	67,151	64,989	65,230	50,000	49,976	49,976	40,000	40,000	-	40,000	40,000	
-	67,151	64,989	65,230	50,000	49,976	49,976	40,000	40,000	-	40,000	40,000	
-	-	-	-	-	21,943	19,740	21,943	22,602	22,602	-	23,280	23,978
38,589	40,599	114,037	35,949	11,247	11,353	11,353	11,809	14,249	-	12,399	13,019	
-	-	-	-	5,000	6,514	6,514	-	-	-	-	-	-
38,589	40,599	114,037	35,949	38,190	37,607	39,810	34,411	36,851	-	35,679	36,997	
38,589	40,599	114,037	35,949	38,190	37,607	39,810	34,411	36,851	-	35,679	36,997	
(38,589)	26,552	(49,048)	29,281	11,810	12,369	10,166	5,589	3,149	-	4,321	3,003	
195,308	156,719	183,271	134,223	163,504	163,504	163,504	173,670	173,670	173,670	176,819	181,140	
156,719	183,271	134,223	163,504	175,314	175,873	173,670	179,259	176,819	173,670	181,140	184,143	
							4,3625	5,2094			5,0769	4,9772

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 ARBOR RIDGE SSA FUND REVENUES AND EXPENDITURES

**REVENUES**

**Property Taxes**

350-400-10-11 Property Tax - Arbor Ridge SSA

Total Property Taxes

Total Revenues

**EXPENDITURES**

**Purchased Services - Property**

350-1540-530-44-03 Grounds Repair and Maintenance - Detention Basins

350-1540-530-44-04 Grounds Maintenance - Subdivision

Total Purchased Services - Property

Total Expenditures

Net Change in Fund Balance

Beginning Fund Balance

Ending Fund Balance

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
Property Tax - Arbor Ridge SSA	26,482	23,400	22,669	18,752	18,358	18,319	18,319	25,000	20,000	-	25,000	25,000
Total Property Taxes	26,482	23,400	22,669	18,752	18,358	18,319	18,319	25,000	20,000	-	25,000	25,000
Total Revenues	26,482	23,400	22,669	18,752	18,358	18,319	18,319	25,000	20,000	-	25,000	25,000
Purchased Services - Property	-	-	-	-	10,565	8,990	10,565	10,882	30,882	-	11,208	11,544
350-1540-530-44-03 Grounds Repair and Maintenance - Detention Basins	22,453	18,937	19,870	10,875	3,901	3,253	3,901	4,096	4,096	-	4,301	4,516
350-1540-530-44-04 Grounds Maintenance - Subdivision	22,453	18,937	19,870	10,875	14,466	12,243	14,466	14,978	34,978	-	15,509	16,060
Total Purchased Services - Property	22,453	18,937	19,870	10,875	14,466	12,243	14,466	14,978	34,978	-	15,509	16,060
Total Expenditures	22,453	18,937	19,870	10,875	14,466	12,243	14,466	14,978	34,978	-	15,509	16,060
Net Change in Fund Balance	4,029	4,463	2,799	7,877	3,892	6,076	3,853	10,022	(14,978)	-	9,491	8,940
Beginning Fund Balance	19,642	23,671	28,134	30,933	38,810	38,810	38,810	42,663	42,663	42,663	27,685	37,176
Ending Fund Balance	23,671	28,134	30,933	38,810	42,702	44,886	42,663	52,685	27,685	42,663	37,176	46,116
								2,942	3,5175		2,3971	2,8715

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 FOXMOOR SSA FUND REVENUES AND EXPENDITURES

**REVENUES**

**Property Taxes**

352-400-10-16 Property Tax - Foxmoor SSA

Total Property Taxes

Total Revenues

Total Expenditures

**EXPENDITURES**

**Purchased Services - Property**

352-1540-530-44-03 Grounds Repair and Maintenance - Detention Basins

352-1540-530-44-04 Grounds Maintenance - Subdivision

Total Purchased Services - Property

Total Expenditures

Net Change in Fund Balance

Beginning Fund Balance

Ending Fund Balance

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
-	75,969	67,341	67,352	42,500	42,468	42,468	43,000	43,000	-	43,000	43,000	
-	75,969	67,341	67,352	42,500	42,468	42,468	43,000	43,000	-	43,000	43,000	
-	75,969	67,341	67,352	42,500	42,468	42,468	43,000	43,000	-	43,000	43,000	
-	-	-	-	24,055	19,116	24,055	24,777	24,777	-	25,520	26,286	
56,445	80,302	39,066	38,734	16,870	16,704	36,270	17,714	17,714	-	18,600	19,530	
56,445	80,302	39,066	38,734	40,925	35,820	60,325	42,491	42,491	-	44,120	45,816	
56,445	80,302	39,066	38,734	40,925	35,820	60,325	42,491	42,491	-	44,120	45,816	
(56,445)	(4,333)	28,275	28,618	1,575	6,648	(17,857)	509	509	-	(1,120)	(2,816)	
138,194	81,749	77,416	105,691	134,309	134,309	134,309	116,452	116,452	116,452	116,961	115,841	
81,749	77,416	105,691	134,309	135,884	140,957	116,452	116,961	116,961	116,452	115,841	113,025	
							1.9304	2.7526			2.6256	2.4669

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 SARATOGA SPRINGS SSA FUND REVENUES AND EXPENDITURES

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Property Taxes</b>												
353-400-10-19 Property Tax - Saratoga Springs SSA	12,258	11,960	7,311	7,489	12,000	12,000	12,000	12,000	12,000	-	12,000	12,000
Total Property Taxes	12,258	11,960	7,311	7,489	12,000	12,000	12,000	12,000	12,000	-	12,000	12,000
<b>Miscellaneous</b>												
353-470-74-01 Developer Contributions	-	3,800	-	-	-	-	-	-	-	-	-	-
Total Miscellaneous	-	3,800	-	-	-	-	-	-	-	-	-	-
Total Revenues	12,258	15,760	7,311	7,489	12,000	12,000	12,000	12,000	12,000	-	12,000	12,000
<b>EXPENDITURES</b>												
<b>Purchased Services - Property</b>												
353-1540-530-44-03 Grounds Repair and Maintenance - Detention Basins	-	-	-	-	10,511	10,511	10,511	10,826	10,826	-	11,151	11,486
353-1540-530-44-04 Grounds Maintenance - Subdivision	5,507	2,851	13,764	11,353	2,319	1,932	2,319	2,435	2,435	-	2,557	2,685
Total Purchased Services - Property	5,507	2,851	13,764	11,353	12,830	12,443	12,830	13,261	13,261	-	13,708	14,171
Total Expenditures	5,507	2,851	13,764	11,353	12,830	12,443	12,830	13,261	13,261	-	13,708	14,171
Net Change in Fund Balance	6,751	12,909	(6,453)	(3,864)	(830)	(443)	(830)	(1,261)	(1,261)	-	(1,708)	(2,171)
Beginning Fund Balance	28,971	35,722	48,631	42,178	38,314	38,314	38,314	37,484	37,484	37,484	36,223	34,515
Ending Fund Balance	35,722	48,631	42,178	38,314	37,484	37,871	37,484	36,223	36,223	37,484	34,515	32,344
								2,9216	2,7315		2,5179	2,2824

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 ORCHARD PRAIRIE NORTH SSA FUND REVENUES AND EXPENDITURES

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Property Taxes</b>												
354-400-10-18 Property Tax - Orchard Prairie SSA	27,205	19,800	22,455	22,458	25,000	25,000	25,000	27,000	27,000	-	29,000	31,000
Total Property Taxes	27,205	19,800	22,455	22,458	25,000	25,000	25,000	27,000	27,000	-	29,000	31,000
Total Revenues	27,205	19,800	22,455	22,458	25,000	25,000	25,000	27,000	27,000	-	29,000	31,000
<b>EXPENDITURES</b>												
<b>Purchased Services - Property</b>												
354-1540-530-44-03 Grounds Repair and Maintenance - Detention Basins	-	-	-	-	13,196	13,196	13,196	13,592	13,592	-	14,000	14,420
354-1540-530-44-04 Grounds Maintenance - Subdivision	23,191	25,349	21,599	18,599	8,642	8,630	8,642	9,074	9,074	-	9,528	10,004
Total Purchased Services - Property	23,191	25,349	21,599	18,599	21,838	21,826	21,838	22,666	22,666	-	23,528	24,424
Total Expenditures	23,191	25,349	21,599	18,599	21,838	21,826	21,838	22,666	22,666	-	23,528	24,424
Net Change in Fund Balance	4,014	(5,549)	856	3,859	3,162	3,174	3,162	4,334	4,334	-	5,472	6,576
Beginning Fund Balance	23,663	27,677	22,128	22,984	26,843	26,843	26,843	30,005	30,005	30,005	34,339	39,811
Ending Fund Balance	27,677	22,128	22,984	26,843	30,005	30,017	30,005	34,339	34,339	30,005	39,811	46,387
								1.3740	1.5150		1.6921	1.8992

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 BLACKBERRY CROSSING WEST SSA FUND REVENUES AND EXPENDITURES

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Property Taxes</b>												
355-400-10-17 Property Tax - Blackberry Crossing West SSA	-	102,990	62,977	62,865	63,000	62,979	62,979	63,000	63,000	-	63,000	63,000
Total Property Taxes	-	102,990	62,977	62,865	63,000	62,979	62,979	63,000	63,000	-	63,000	63,000
Total Revenues	-	102,990	62,977	62,865	63,000	62,979	62,979	63,000	63,000	-	63,000	63,000
<b>EXPENDITURES</b>												
<b>Purchased Services - Property</b>												
355-1540-530-44-03 Grounds Repair and Maintenance - Detention Basins	-	-	-	-	39,632	31,313	39,632	40,821	40,821	-	42,045	43,307
355-1540-530-44-04 Grounds Maintenance - Subdivision	-	89,261	51,668	48,024	12,781	10,650	12,781	13,420	13,420	-	14,091	14,796
Total Purchased Services - Property	-	89,261	51,668	48,024	52,413	41,963	52,413	54,241	54,241	-	56,136	58,103
Total Expenditures	-	89,261	51,668	48,024	52,413	41,963	52,413	54,241	54,241	-	56,136	58,103
Net Change in Fund Balance	-	13,729	11,309	14,841	10,587	21,016	10,566	8,759	8,759	-	6,864	4,897
Beginning Fund Balance	59,841	59,841	73,570	84,879	99,720	99,720	99,720	110,286	110,286	110,286	119,045	125,909
Ending Fund Balance	59,841	73,570	84,879	99,720	110,307	120,736	110,286	119,045	119,045	110,286	125,909	130,806
							2,1042	2,1947			2,2429	2,2513

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 FIELDSTONE PLACE SSA FUND REVENUES AND EXPENDITURES

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Property Taxes</b>												
356-400-10-20 Property Tax - Fieldstone Place SSA	20,999	7,982	4,221	4,203	6,500	6,500	6,500	6,500	6,500	-	6,500	6,500
Total Property Taxes	20,999	7,982	4,221	4,203	6,500	6,500	6,500	6,500	6,500	-	6,500	6,500
<b>Transfers</b>												
356-480-80-01 Transfer In	-	11,350	-	-	-	-	-	-	-	-	-	-
Total Transfers	-	11,350	-	-	-	-	-	-	-	-	-	-
Total Revenues	20,999	19,332	4,221	4,203	6,500	6,500	6,500	6,500	6,500	-	6,500	6,500
<b>EXPENDITURES</b>												
<b>Purchased Services - Property</b>												
356-1540-530-44-03 Grounds Repair and Maintenance - Detention Basins	-	-	-	-	4,620	4,620	4,620	4,759	4,759	-	4,902	5,049
356-1540-530-44-04 Grounds Maintenance - Subdivision	18,572	6,122	4,024	6,088	825	524	825	866	866	-	909	954
Total Purchased Services - Property	18,572	6,122	4,024	6,088	5,445	5,144	5,445	5,625	5,625	-	5,811	6,003
Total Expenditures	18,572	6,122	4,024	6,088	5,445	5,144	5,445	5,625	5,625	-	5,811	6,003
Net Change in Fund Balance	2,427	13,210	197	(1,885)	1,055	1,356	1,055	875	875	-	689	497
Beginning Fund Balance	2,991	5,418	18,628	18,825	16,940	16,940	16,940	17,995	17,995	17,995	18,870	19,559
Ending Fund Balance	5,418	18,628	18,825	16,940	17,995	18,296	17,995	18,870	18,870	17,995	19,559	20,056
							3,3049	3,3547			3,3659	3,3410

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 BALMOREA SSA FUND REVENUES AND EXPENDITURES

**REVENUES**

**Property Taxes**

357-400-10-21 Property Tax - Balmorea SSA

Total Property Taxes

Total Revenues

Total Expenditures

**EXPENDITURES**

**Purchased Services - Property**

357-1540-530-44-03 Grounds Repair and Maintenance - Detention Basins

357-1540-530-44-04 Grounds Maintenance - Subdivision

Total Purchased Services - Property

Total Expenditures

Net Change in Fund Balance

Beginning Fund Balance

Ending Fund Balance

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
357-400-10-21 Property Tax - Balmorea SSA	5,500	18,459	27,471	27,472	30,000	29,789	29,789	34,500	34,500	-	39,000	43,500
Total Property Taxes	5,500	18,459	27,471	27,472	30,000	29,789	29,789	34,500	34,500	-	39,000	43,500
Total Revenues	5,500	18,459	27,471	27,472	30,000	29,789	29,789	34,500	34,500	-	39,000	43,500
<b>Purchased Services - Property</b>												
357-1540-530-44-03 Grounds Repair and Maintenance - Detention Basins	-	-	-	-	28,956	28,956	28,956	29,825	29,825	-	30,720	31,642
357-1540-530-44-04 Grounds Maintenance - Subdivision	8,739	17,779	25,511	39,560	-	-	-	-	-	-	-	-
Total Purchased Services - Property	8,739	17,779	25,511	39,560	28,956	28,956	28,956	29,825	29,825	-	30,720	31,642
Total Expenditures	8,739	17,779	25,511	39,560	28,956	28,956	28,956	29,825	29,825	-	30,720	31,642
Net Change in Fund Balance	(3,239)	680	1,960	(12,088)	1,044	833	833	4,675	4,675	-	8,280	11,858
Beginning Fund Balance	-	(3,239)	(2,559)	(599)	(12,687)	(12,687)	(12,687)	(11,854)	(11,854)	(11,854)	(7,179)	1,101
Ending Fund Balance	(3,239)	(2,559)	(599)	(12,687)	(11,643)	(11,854)	(11,854)	(7,179)	(7,179)	(11,854)	1,101	12,959
							(0.4094)	(0.2407)			0.0358	0.4096

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 MARQUIS POINTE SSA FUND REVENUES AND EXPENDITURES

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Property Taxes</b>												
358-400-10-22 Property Tax - Marquis Pointe SSA	12,969	17,436	12,022	12,025	13,500	13,527	13,527	14,000	14,000	-	14,500	15,000
Total Property Taxes	12,969	17,436	12,022	12,025	13,500	13,527	13,527	14,000	14,000	-	14,500	15,000
<b>Transfers</b>												
358-480-80-01 Transfer In	-	-	25,459	-	-	-	-	-	-	-	-	-
Total Transfers	-	-	25,459	-	-	-	-	-	-	-	-	-
Total Revenues	12,969	17,436	37,481	12,025	13,500	13,527	13,527	14,000	14,000	-	14,500	15,000
<b>EXPENDITURES</b>												
<b>Purchased Services - Property</b>												
358-1540-530-44-03 Grounds Repair and Maintenance - Detention Basins	-	-	-	-	11,410	11,410	11,410	11,752	11,752	-	12,104	12,467
358-1540-530-44-04 Grounds Maintenance - Subdivision	19,041	21,370	6,624	11,736	-	-	-	-	-	-	-	-
Total Purchased Services - Property	19,041	21,370	6,624	11,736	11,410	11,410	11,410	11,752	11,752	-	12,104	12,467
Total Expenditures	19,041	21,370	6,624	11,736	11,410	11,410	11,410	11,752	11,752	-	12,104	12,467
Net Change in Fund Balance	(6,072)	(3,934)	30,857	289	2,090	2,117	2,117	2,248	2,248	-	2,396	2,533
Beginning Fund Balance	-	(6,072)	(10,006)	20,851	21,140	21,140	21,140	23,257	23,257	23,257	25,505	27,901
Ending Fund Balance	(6,072)	(10,006)	20,851	21,140	23,230	23,257	23,257	25,505	25,505	23,257	27,901	30,434
							2,0383	2,1703			2,3051	2,4412

VILLAGE OF MONTGOMERY  
 BUDGET FOR THE YEAR MAY 1, 2016 THROUGH APRIL 30, 2017  
 OGDEN HILL SSA FUND REVENUES AND EXPENDITURES

	FY12 Actual	FY13 Actual	FY14 Actual	FY15 Actual	FY16 Budget	FY16 YTD	FY16 Projected	FY17 Requested	FY17 Recommended	FY17 Approved	FY18 Projected	FY19 Projected
<b>REVENUES</b>												
<b>Property Taxes</b>												
359-400-10-23 Property Tax - Ogden Hill SSA	22,069	20,622	20,022	19,710	22,500	22,527	22,527	24,000	24,000	-	25,000	26,000
Total Property Taxes	22,069	20,622	20,022	19,710	22,500	22,527	22,527	24,000	24,000	-	25,000	26,000
Total Revenues	22,069	20,622	20,022	19,710	22,500	22,527	22,527	24,000	24,000	-	25,000	26,000
<b>EXPENDITURES</b>												
<b>Purchased Services - Property</b>												
359-1540-530-44-03 Grounds Repair and Maintenance - Detention Basins	-	-	-	-	19,429	19,429	19,429	20,012	20,012	-	20,612	21,231
359-1540-530-44-04 Grounds Maintenance - Subdivision	-	-	12,300	19,985	-	-	-	-	-	-	-	-
Total Purchased Services - Property	-	-	12,300	19,985	19,429	19,429	19,429	20,012	20,012	-	20,612	21,231
<b>Transfers</b>												
359-1540-580-90-01 Transfer Out - Marquis Pointe	-	-	25,459	-	-	-	-	-	-	-	-	-
Total Transfers	-	-	25,459	-	-	-	-	-	-	-	-	-
Total Expenditures	-	-	37,759	19,985	19,429	19,429	19,429	20,012	20,012	-	20,612	21,231
Net Change in Fund Balance	22,069	20,622	(17,737)	(275)	3,071	3,098	3,098	3,988	3,988	-	4,388	4,769
Beginning Fund Balance	-	22,069	42,691	24,954	24,679	24,679	24,679	27,777	27,777	27,777	31,765	36,153
Ending Fund Balance	22,069	42,691	24,954	24,679	27,750	27,777	27,777	31,765	31,765	27,777	36,153	40,922
								1,4297	1,5873		1,7540	1,9275



**VILLAGE OF MONTGOMERY  
REQUEST FOR BOARD OF TRUSTEES ACTION  
FOR INCLUSION ON BOARD AGENDA**

Resolution or Ordinance (Blue)  
 Recommendation of Boards, Commissions & Committees (Green)  
 Other Business (Pink)

**To:** Village President and Board of Trustees

**From:** Jeff Zoepfel, Village Administrator

**Date:** February 18, 2016

**B of T Date:** February 22, 2016

**Subject:** Quarterly Financial Report

**Submitted By:** Justin VanVooren, Director of Finance

**Background /Policy Implications:**

The Quarterly Financial and Investment Reports for January 31, 2016 (unaudited) are attached. Staff selected certain funds to include in this report based on size and activity. Staff will walk through the report and answer any questions the Board may have.

**Describe Fiscal Impact/Budget Account Number and Cost:**

**Review:**

Village Administrator Jeff Zoepfel

**NOTE:** All materials must be submitted to and approved by the Village Administrator by 12:00 noon, Thursday, prior to the Agenda distribution.

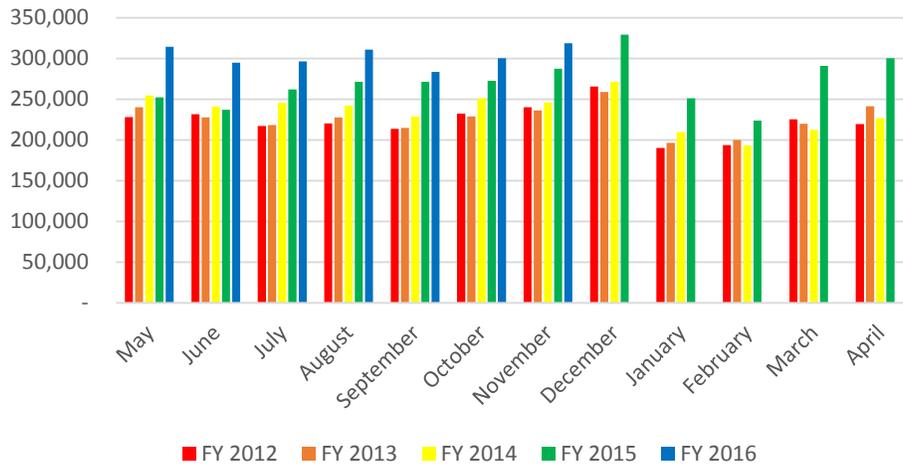
Village of Montgomery  
 Financial Report  
 3rd quarter, Fiscal Year 2016

As of January 31, 2016, the Village of Montgomery is through 9 months (75%) of fiscal year 2016. Staff has examined revenues and expenditures in the General Fund and Capital Improvement Fund, as well as revenues and expenses in the Water Fund. Staff is tracking the financial activity in the remaining funds; however, we have not included them in this report.

General Fund

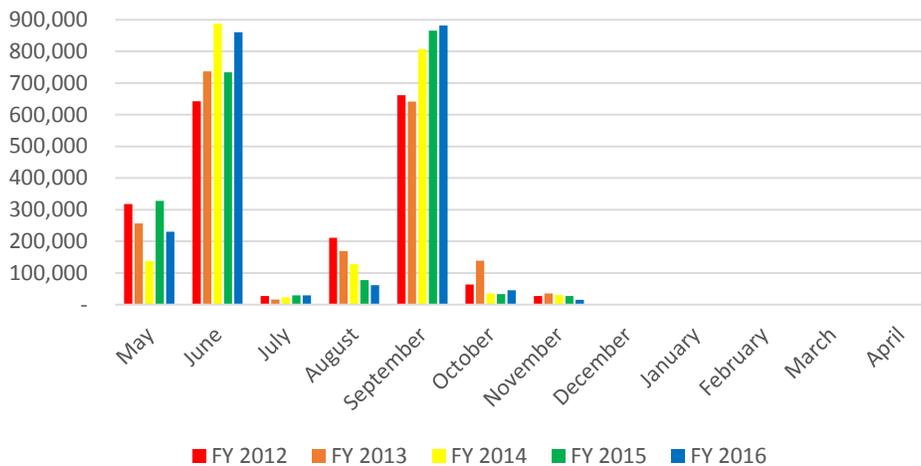
The total General Fund budgeted revenues for fiscal year 2016 are \$9,424,482, while the revenues through January are at \$8,027,706 (85.2%). The major revenues in the General Fund are as follows:

State Sales Tax (transaction date)



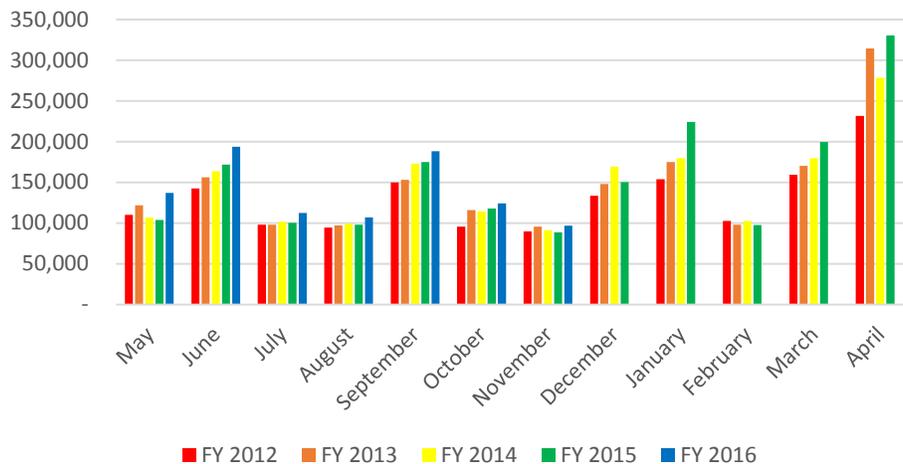
The budget for State Sales Tax for fiscal year 2016 is \$3,437,768. Fiscal year 2016 collections through January are \$265,000 higher than last year; and also \$97,000 above projections.

Property Tax



The budget for Property Tax for fiscal year 2016 is \$2,116,190. The Village has collected 100.5% of budgeted revenue through January. The Village receives the bulk of its property taxes in June and September.

## State Income Tax (reporting date)



The budget for State Income Tax for fiscal year 2016 is \$1,825,362. Fiscal year 2016 collections through January are \$104,000 higher than last year, and also \$53,000 above projections. The Illinois Municipal League estimates these numbers throughout the year. Please note this is also known as Local Government Distributive Fund (LGDF) which the state has proposed to take a portion.

There are 3 smaller revenue items to note including:

Building permits – Budget is \$110,000, Actual is \$96,359 (87.6%)

Professional service reimbursement – Budget is \$190,000, Actual is \$116,941 (61.6%)

Fines and forfeitures – Budget is \$311,500, Actual is \$249,097 (80.0%)

The total General Fund budgeted expenditures for fiscal year 2016 are \$9,239,754, while the expenditures through January are at \$6,830,812 (73.9%). The following expenditures have budget or actual amount over \$25,000 and are higher than the January budget (75%) by 10% or more:

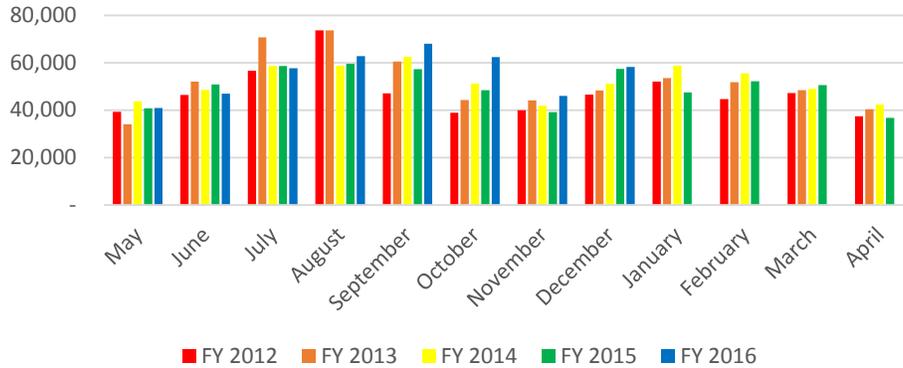
Dept.	Description	Budget	Actual	Percentage
Elected Officials	Montgomery Fest	41,000	43,677	106.5%
Accounting	Audit	30,350	28,032	92.4%
Accounting	Liability Insurance	268,615	268,802	100.1%
Police Admin	Software Maintenance	81,176	76,278	94.0%
Police Patrol	Police Pension	453,447	452,282	99.7%
Public Works Streets	Insect Spraying	35,000	34,066	97.3%
Public Works Streets	Street Lights	45,000	40,004	88.9%
Public Works Veh Mnt	Vehicle Repair and Maint	90,000	76,909	85.5%
Legal Services	Labor Issues	25,000	35,734	142.9%

Please note the items above are due to timing of payment or activities throughout the year.

Capital Improvement Fund

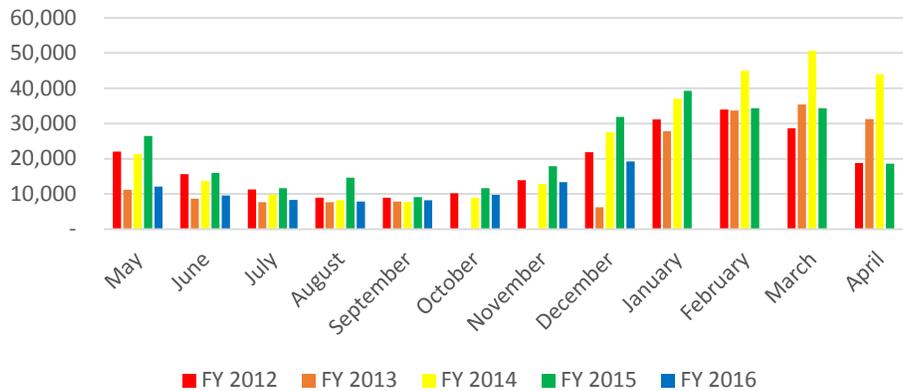
The total Capital Improvement Fund budgeted revenues for fiscal year 2016 are \$1,613,909, while the revenues through January are at \$1,061,302 (65.8%). The major revenues in the Capital Improvement Fund are as follows:

Electric Utility Tax



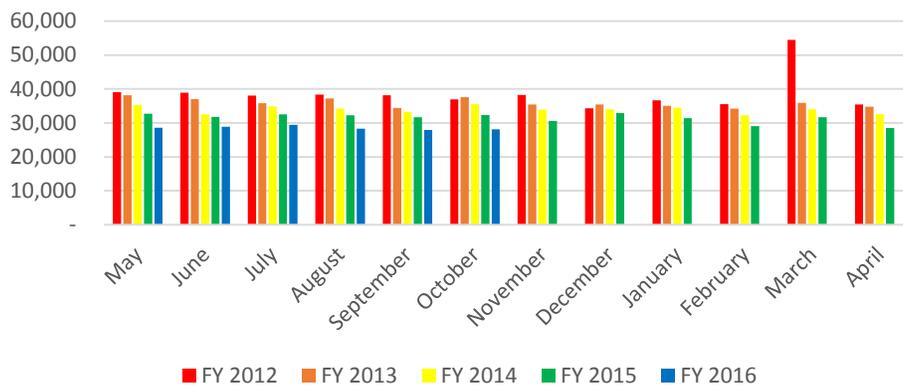
The budget for Electric Utility Tax for fiscal year 2016 is \$619,287. Fiscal year 2016 collections through January are \$31,000 higher than last year, and also \$23,000 above projections.

Natural Gas Utility Tax



The budget for Natural Gas Utility Tax for fiscal year 2016 is \$333,402. Fiscal year 2016 collections through January are \$51,000 lower than last year, and also \$52,000 below projections.

Telecommunications Utility Tax

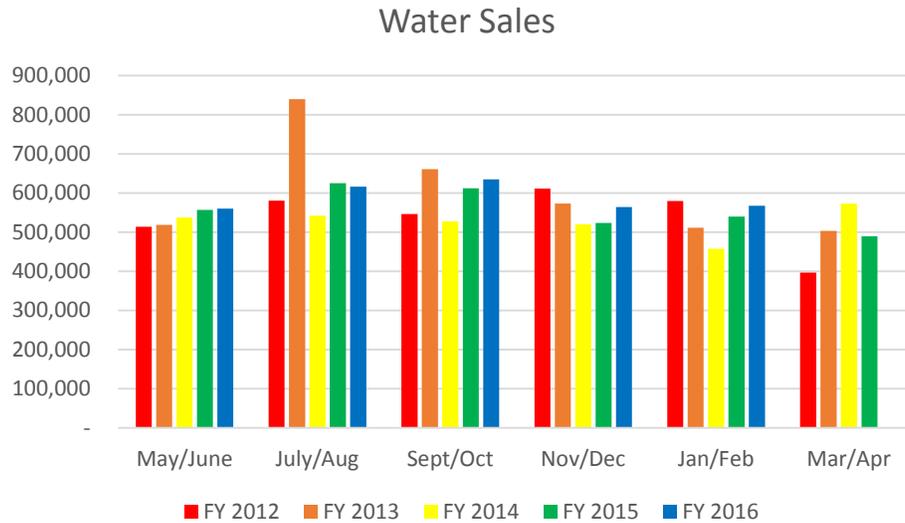


The budget for Telecommunications Utility Tax for fiscal year 2016 is \$392,667. Fiscal year 2016 collections through January are \$22,000 lower than last year, and also \$25,000 below projections.

The total Capital Improvement Fund budgeted expenditures for fiscal year 2016 are \$1,442,165, while the expenditures through January are at \$875,715 (60.7%). The majority (92.9%) of these expenditures comes from the transfer of utility taxes to the Debt Service Fund to pay for the Police Station and Village Hall.

Water Fund

The total Water Fund budgeted revenues for fiscal year 2016 are \$4,702,695, while the revenues through January are at \$3,872,183 (82.3%). The major revenue in the Water Fund is as follows:



The budget for Water Sales for fiscal year 2016 is \$3,609,273. Fiscal year 2016 collections through January are \$85,000 higher than last year, but \$46,000 below projections.

The total Water Fund budgeted expenses for fiscal year 2016 are \$5,894,132, while the expenditures through January are at \$3,980,689 (67.5%). The following expenditures have budget or actual amount over \$25,000 and are higher than the January budget (75%) by 10% or more:

Dept.	Description	Budget	Actual	Percentage
Water Plant	Hydrants	35,000	30,325	86.6%
Water Plant	Leak Survey	30,000	44,831	149.4%

Please note the items above are due to timing of payment or activities throughout the year.

Village of Montgomery  
Quarterly Cash and Investment Report  
January 31, 2016

Fund	Castle Bank	Fifth Third	Old Second Bank	Bank of Montgomery	Amalgamated Bank	MB Financial	Village Investment Securities	Other	Total
<b>Village Cash &amp; Investments</b>									
General (including payroll)	\$ 1,638,576	\$ 1,880	\$ -	\$ -	\$ -	\$ -	\$ 1,621,063	\$ 1,200	\$ 3,262,719
Motor Fuel Tax	226,617	-	-	-	-	-	-	-	226,617
Revolving Loan Fund	420,367	-	-	-	-	-	-	-	420,367
Forfeiture and Seizure	55,323	-	-	-	-	-	-	-	55,323
Emergency 911	197,130	-	-	-	-	-	-	-	197,130
Capital Improvement	235,345	418	-	-	-	-	360,236	-	595,999
Lakewood SA Project	-	-	-	-	8,194	-	-	-	8,194
Infrastructure Improvement	729,700	-	-	-	-	-	-	-	729,700
TIF #1	184,497	-	-	-	-	-	-	-	184,497
TIF #2	(25,011)	-	-	-	-	-	-	-	(25,011)
Debt Service	(214,952)	-	-	-	-	-	-	-	(214,952)
Water	334,189	1,880	1,052	536,303	-	-	1,621,063	100	2,494,587
Water Improvement	-	-	-	-	-	-	-	-	-
Employee Insurance	254,225	-	-	-	-	-	-	-	254,225
Vehicle Replacement	563,947	-	-	-	-	-	-	-	563,947
Refuse	(270,811)	-	-	-	-	-	-	-	(270,811)
Police Gift	5,825	-	-	-	-	-	-	-	5,825
Montgomery Crossings SSA	136,320	-	-	-	-	-	-	-	136,320
Blackberry Crossing SSA	65,174	-	-	-	-	-	-	-	65,174
Fairfield Way SSA	135,977	-	-	-	-	-	-	-	135,977
Arbor Ridge SSA	45,269	-	-	-	-	-	-	-	45,269
Foxmoor SSA	142,564	-	-	-	-	-	-	-	142,564
Saratoga Springs SSA	38,103	-	-	-	-	-	-	-	38,103
Orchard Prairie North SSA	30,842	-	-	-	-	-	-	-	30,842
Blackberry Crossing West SSA	121,953	-	-	-	-	-	-	-	121,953
Fieldstone SSA	18,296	-	-	-	-	-	-	-	18,296
Balmorea SSA	(11,854)	-	-	-	-	-	-	-	(11,854)
Marquis Pointe SSA	23,256	-	-	-	-	-	-	-	23,256
Ogden Hill SSA	27,778	-	-	-	-	-	-	-	27,778
<b>Subtotal Village Cash &amp; Investments</b>	<b>5,108,645</b>	<b>4,178</b>	<b>1,052</b>	<b>536,303</b>	<b>8,194</b>	<b>-</b>	<b>3,602,362</b>	<b>1,300</b>	<b>9,262,034</b>
<b>Cash &amp; Investments Held for Others</b>									
Police Pension	-	-	-	-	-	8,170,082	-	-	8,170,082
Talma Street SSA	19,445	-	-	-	-	-	-	-	19,445
Escrow Fund	515,536	-	-	-	-	-	-	-	515,536
Flexible Benefits Fund	43	-	-	-	-	-	-	-	43
Lakewood SA Agency	-	-	-	-	3,033,538	-	-	-	3,033,538
Blackberry Crossing SSA Agency	12	-	-	-	-	-	-	-	12
Cornell SSA Agency	(9,449)	-	-	-	-	-	-	-	(9,449)
Temporary Certificate of Occupancy	27,950	-	-	-	-	-	-	-	27,950
<b>Subtotal Cash &amp; Investments Held for Others</b>	<b>553,537</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>3,033,538</b>	<b>8,170,082</b>	<b>-</b>	<b>-</b>	<b>11,757,157</b>
<b>Total Cash &amp; Investments</b>	<b>\$ 5,662,182</b>	<b>\$ 4,178</b>	<b>\$ 1,052</b>	<b>\$ 536,303</b>	<b>\$ 3,041,732</b>	<b>\$ 8,170,082</b>	<b>\$ 3,602,362</b>	<b>\$ 1,300</b>	<b>\$ 21,019,191</b>
Yield	0.260%	0.170%	0.000%	0.200%	0.010%	-1.415%	1.397%	0.000%	

**Village of Montgomery**  
**Monthly Investment Report - Investment securities listing**  
**January 31, 2016**

<b>Bank</b>	<b>Investment Date</b>	<b>Maturity Date</b>	<b>Interest Rate</b>	<b>Book Value</b>
Byline Bank, Oswego, IL (collateralized)	2/17/2015	2/17/2016	0.550%	100,411.18
Byline Bank, Oswego, IL (collateralized)	3/17/2015	3/17/2016	0.560%	100,414.20
Byline Bank, Oswego, IL (collateralized)	4/17/2015	4/17/2016	0.560%	100,421.76
Firstbank PR, Santurce, PR	11/19/2014	5/19/2016	0.850%	100,000.00
Firstbank PR, Santurce, PR	12/19/2014	6/20/2016	0.800%	100,000.00
Byline Bank, Oswego, IL (collateralized)	1/16/2015	7/16/2016	0.750%	100,747.09
Byline Bank, Oswego, IL (collateralized)	2/17/2015	8/17/2016	0.700%	100,523.73
Discover Bank, New Castle, DE	3/18/2015	9/19/2016	0.700%	100,000.00
Byline Bank, Oswego, IL (collateralized)	4/17/2015	10/17/2016	0.700%	100,524.54
Discover Bank, New Castle, DE	11/19/2014	11/21/2016	1.050%	100,000.00
Goldman Sachs Bank, New York, NY	12/24/2014	12/26/2016	1.050%	100,000.00
Byline Bank, Oswego, IL (collateralized)	1/16/2015	1/16/2017	1.000%	100,998.72
Byline Bank, Oswego, IL (collateralized)	2/17/2015	2/17/2017	1.000%	100,746.05
Byline Bank, Oswego, IL (collateralized)	3/17/2015	3/17/2017	1.000%	100,751.53
Byline Bank, Oswego, IL (collateralized)	4/17/2015	4/17/2017	1.000%	100,751.53
Ally Bank, Midvale, UT	11/20/2014	5/22/2017	1.160%	100,000.00
GE Capital Bank, Salt Lake City, UT	12/19/2014	6/19/2017	1.150%	100,000.00
Byline Bank, Oswego, IL (collateralized)	1/16/2015	7/16/2017	1.150%	101,149.93
Byline Bank, Oswego, IL (collateralized)	2/17/2015	8/17/2017	1.150%	100,861.10
Goldman Sachs Bank, New York, NY	3/25/2015	9/25/2017	1.170%	100,000.00
Byline Bank, Oswego, IL (collateralized)	4/17/2015	10/17/2017	1.180%	100,887.89
American Express Fed Svgs Bank, Salt Lake City, UT	11/20/2014	11/20/2017	1.500%	100,000.00
GE Capital Bank, Salt Lake City, UT	12/19/2014	12/19/2017	1.550%	100,000.00
Ally Bank, Midvale, UT	1/22/2015	1/22/2018	1.400%	100,000.00
Byline Bank, Oswego, IL (collateralized)	2/17/2015	2/17/2018	1.400%	101,047.02
Medallion Bank, Salt Lake City, UT	9/18/2015	3/16/2018	1.400%	100,000.00
Byline Bank, Oswego, IL (collateralized)	4/17/2015	4/17/2018	1.500%	101,126.81
BMW Bank, Salt Lake City, UT	5/22/2015	5/22/2018	1.400%	100,000.00
American Express Centurion Bank, Salt Lake City, UT	6/24/2015	6/25/2018	1.600%	100,000.00
Capital One Bank, Glen Allen, VA	7/1/2015	7/2/2018	1.600%	100,000.00
Capital One Bank, Glen Allen, VA	8/19/2015	8/20/2018	1.800%	100,000.00
American Express Centurion Bank, Salt Lake City, UT	9/23/2015	9/24/2018	1.650%	100,000.00
Capital One Bank, Glen Allen, VA	10/21/2015	10/22/2018	1.650%	48,000.00
Ally Bank, Midvale, UT	10/22/2015	10/22/2018	1.650%	48,000.00
Goldman Sachs Bank, New York, NY	11/25/2015	11/26/2018	1.700%	48,000.00
American Express Centurion Bank, Salt Lake City, UT	11/25/2015	11/26/2018	1.700%	47,000.00
Oriental Bank, San Juan, PR	12/16/2015	12/16/2018	1.750%	100,000.00
BMW Bank, Salt Lake City, UT	1/22/2016	1/22/2019	1.700%	100,000.00
				3,602,363.08

Time and Dollar Weighted Portfolio Yield

1.397%

Weighted Average Portfolio Maturity

549.26 days



**VILLAGE OF MONTGOMERY  
REQUEST FOR BOARD OF TRUSTEES ACTION  
FOR INCLUSION ON BOARD AGENDA**

- Resolution or Ordinance (Blue)  
 Recommendation of Boards, Commissions & Committees (Green)  
 Other Business (Pink)

**To:** Village President and Board of Trustees

**From:** Jeff Zoepfel, Village Administrator

**Date:** February 18, 2016

**B of T Date:** February 22, 2016

**Subject:** Private Property Traffic Enforcement Agreement

**Submitted By:** Steven A. Andersson & Laura M. Julien

**Background/Policy Implications:**

A number of business owners in the Village have contacted the Police Department for assistance in enforcing certain traffic and parking regulations in their parking lots. Pursuant to State law, the Village has the authority to contract with the property owners to provide such regulation for certain types of offenses. The attached document is a copy of the type of agreement that would be used.

Although this item does not require Board action, staff wanted to bring it to the Board's attention as an item for information and discussion.

**Describe Fiscal Impact/Budget Account Number and Cost:**

N/A

**Review:**

Village Administrator Jeff Zoepfel

**NOTE:** All materials must be submitted to and approved by the Village Administrator by 12:00 noon, Thursday, prior to the Agenda distribution.

**PRIVATE PROPERTY  
TRAFFIC ENFORCEMENT  
AGREEMENT**

**PREPARED BY & RETURN TO:**

Laura M. Julien  
Mickey, Wilson, Weiler, Renzi & Andersson, P.C.  
2111 Plum Street, Suite 201  
Aurora, IL 60506  
(630) 801-9699

**PRIVATE PROPERTY TRAFFIC ENFORCEMENT AGREEMENT**

**WHEREAS**, \_\_\_\_\_, (hereinafter "OWNER") is the owner of certain property located at \_\_\_\_\_ ("hereinafter "PROPERTY") legally described in **Exhibit A** attached hereto and made a part hereof; and,

**WHEREAS**, said PROPERTY is situated within the **Village of Montgomery** (hereinafter "VILLAGE"), \_\_\_\_\_ County, Illinois; and,

**WHEREAS**, OWNER desires to authorize VILLAGE to enforce certain traffic and parking regulations on said PROPERTY; and,

**WHEREAS**, VILLAGE is not a home rule municipality within Article VII, Section 6A of the Illinois Constitution and, pursuant to the power granted to it under 65 ILCS 5/101 *et. seq.*, VILLAGE has the authority to contract with OWNER to provide such regulation under the provisions of Chapter 625 ILCS 5/11-209; and,

**IT IS THEREFORE AGREED** by and between OWNER and VILLAGE, in consideration of the public health, welfare and safety, as follows:

1. The Preambles set forth above are incorporated herein by reference as a part of this Agreement.
2. VILLAGE is empowered to accomplish all or any part of the following within the PROPERTY, subject to OWNER'S approval, which approval shall not be unreasonably withheld.
  - a. Erection of regulator signs, persons with disabilities parking area signs, parking signs, and all other traffic control signs

- b. Prohibition or regulation of the turning of vehicles and vehicle types
  - c. Regulation of pedestrian crosswalks within parking lots
  - d. Designation of one-way traffic lanes
  - e. Establishment and regulation of loading zones
  - f. Regulation of stopping, standing or parking in specified areas of lots
  - g. Designation of fire lanes and safety lanes
  - h. Provide for the removal and storage of abandoned vehicles
  - i. Provide for cost sharing of planning, installation, maintenance, and enforcement of parking and traffic regulations
  - j. Causing the installation of parking meters on the parking area and establishing maintenance responsibilities. In the case of such installation, all moneys from said meters shall belong to the Village.
  - k. Marking parking zones and signs indicating spaces reserved for persons with disabilities
  - l. Contracting for reasonable additional rules as local conditions may require
3. That the cost of planning, installation and maintenance of parking and traffic regulations, markings, signs, striping and painting pursuant to this contract shall be borne by OWNER.
4. This contract shall be effective and enforceable three days after it has been recorded in the Office of the Recorder of Deeds of \_\_\_\_\_ County and shall continue to be in full force and effect for a period of twenty (20) years, except that after one (1) year from the effective date of this Agreement, either party may cancel this Agreement upon sixty (60) days written notice to the other party.

EXECUTED this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

[Signatures on Following Page]

**VILLAGE OF MONTGOMERY  
KANE AND KENDALL COUNTIES**

By: \_\_\_\_\_  
Village President

ATTEST:

\_\_\_\_\_  
Village Clerk

\_\_\_\_\_  
OWNER

By: \_\_\_\_\_

**EXHIBIT A**

Legal Description